

AGENDA
REGULAR MEETING OF COUNCIL
November 17, 2020
6:30 PM

1. ROLL CALL
2. READING OF MINUTES
3. REPORTS & COMMUNICATIONS FROM MAYOR & HEADS OF DEPARTMENTS AND INTERESTED PARTIES AND CITY CLERK'S NOTES
 - 3.A CORRESPONDENCE RECEIVED FROM OECD DATED NOVEMBER 6, 2020 REGARDING NEPA ALLIANCE DISCLOSURES AS REQUESTED BY COUNCIL.

[Correspondence received from OECD dated 11-06-2020 NEPA Alliance.pdf](#)
 - 3.B CORRESPONDENCE RECEIVED FROM OECD EXECUTIVE DIRECTOR DATED NOVEMBER 6, 2020 REGARDING COVID- 19 REIMBURSEMENTS THROUGH LACKAWANNA COUNTY CARES ACT FUNDING.

[Correspondence received from OECD dated November 6, 2020 COVID-19.pdf](#)
 - 3.C CHECK RECEIVED FROM COMCAST IN THE AMOUNT OF \$259,772.26 FOR QUARTERLY FRANCHISE FEE.

[Check received from Comcast for 3rd Qtr 2020 Franchise Fee 11-09-2020.pdf](#)
 - 3.D CORRESPONDENCE RECEIVED FROM FIRETREE, LTD. DATED NOVEMBER 3, 2020 REGARDING RESIDENTIAL RE-ENTRY SERVICES AT 409-411 OLIVE STREET.

[Correspondence received from Firetree, Ltd. dated 11-3-2020.pdf](#)

- 3.E CORRESPONDENCE RECEIVED FROM KOHANSKI COMPANY PC DATED NOVEMBER 9, 2020 REGARDING CITY OF SCRANTON AUDIT UPDATE.

[Correspondence received from Kohanski Company PC dated 11-09-2020.pdf](#)

- 3.F HERBERT, ROWLAND & GRUBIC, INC. PRESENTATION TO CITY COUNCIL DATED NOVEMBER 10, 2020 REGARDING CHESAPEAKE BAY POLLUTANT REDUCTION PLAN.

[HRG Chesapeake Bay Pollutant Reduction Plan 11-10-2020.pdf](#)

- 3.G CITY OF SCRANTON 2020 HEALTHCARE UPDATE PRESENTED BY WILLIS TOWERS WATSON ON NOVEMBER 10, 2020.

[Willis Towers Watson Renewal & Financial Update 11-10-2020.pdf](#)

- 3.H CORRESPONDENCE RECEIVED FROM OECD EXECUTIVE DIRECTOR DATED NOVEMBER 12, 2020 REGARDING COVID-19 REIMBURSEMENTS THROUGH LACKAWANNA COUNTY CARES ACT FUNDING.

[Correspondence received from OECD dated November 12, 2020 COVID-19.pdf](#)

- 3.I CITY OF SCRANTON GENERAL OBLIGATION TAX AND REVENUE ANTICIPATION NOTE SUMMARY OF TERMS AND CONDITIONS RECEIVED NOVEMBER 10, 2020.

[TAN 2020 Summary of Terms & Conditions 11-10-2020.pdf](#)

- 3.J MEMORANDUM RECEIVED FROM COUNCIL SOLICITOR DATED NOVEMBER 10, 2020 REGARDING SUGGESTED AMENDMENT TO FILE OF THE COUNCIL NO. 31, 2020 300 BLOCK OF CENTER STREET ONE-WAY DESIGNATION.

[Memo from Council Solicitor re Center Street 11-10-2020.pdf](#)

4. CITIZENS PARTICIPATION

5. INTRODUCTION OF ORDINANCES, RESOLUTIONS,
APPOINTMENT AND/OR RE-APPOINTMENTS TO BOARDS &
COMMISSIONS MOTIONS & REPORTS OF COMMITTEES

5.A MOTIONS.

5.B FOR INTRODUCTION – AN ORDINANCE – AMENDING FILE OF THE COUNCIL NO. 95, 2015, AN ORDINANCE, ENTITLED “AMENDING FILE OF THE COUNCIL NO. 79 OF 2015, AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 145 OF 2007 ENTITLED ‘AN ORDINANCE RENAMING THE EMERGENCY AND MUNICIPAL SERVICES TAX (“EMST”) TO LOCAL SERVICE TAX (“LST”)’ AND BY IMPOSING A WITHHOLDING OF \$52.00 FOR THE CALENDAR YEAR 2015 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER BY INCREASING THE LOCAL SERVICES TAX WITHHOLDING FROM \$52.00 TO \$156.00 FOR THE CALENDAR YEAR 2015, AND PROVIDING FOR AN EXEMPTION FROM TAXATION FOR ANY PERSON WHOSE TOTAL EARNED INCOME AND NET PROFITS FROM ALL SOURCES WITHIN THE MUNICIPALITY IS LESS THAN \$15,600.00 FOR THE CALENDAR YEAR 2015 UNDER AND PURSUANT TO THE LOCAL TAX ENABLING ACT, ACT 511 OF 1965, P.L. 1257, 53 P.S. § 6924,101 ET. SEQ. AND THE MUNICIPALITIES RECOVERY ACT, ACT 47 OF 1987, P.L. 246,53 P.S. § 11701.101 ET. SEQ. AND THEIR RESPECTIVE AMENDMENTS” EFFECTIVE RETROACTIVE TO JANUARY 1, 2020. THIS TAX ENABLES THE CITY OF SCRANTON TO CONTINUE TO LEVY THE LOCAL SERVICES TAX AT THE FISCAL YEAR 2019 RATE FOR FISCAL YEAR 2020 FOR A TOTAL MAXIMUM LOCAL SERVICES RATE OF ONE HUNDRED FIFTY-SIX (\$156.00) DOLLARS FOR THE FISCAL YEAR 2020 EFFECTIVE RETROACTIVE TO JANUARY 1, 2020.

[Ordinance-2020 Local Services Tax 2020.pdf](#)

5.C FOR INTRODUCTION – AN ORDINANCE – APPROPRIATING FUNDS FOR THE EXPENSES OF THE CITY GOVERNMENT FOR THE PERIOD COMMENCING ON THE FIRST DAY OF JANUARY, 2021 TO AND INCLUDING DECEMBER 31, 2021 BY THE ADOPTION OF THE GENERAL CITY OPERATING BUDGET FOR

THE YEAR 2021.

[Ordinance-2020 General City Operating Budget 2021.pdf](#)
[Ordinance - 2020 Budget 2021.pdf](#)

- 5.D FOR INTRODUCTION – AN ORDINANCE – AMENDING FILE OF THE COUNCIL NO. 6, 2020, AMENDING FILE OF THE COUNCIL NO. 59, 2019 ENTITLED “AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 17, 1994 ENTITLED ‘AN ORDINANCE (AS AMENDED) AUTHORIZING THE GOVERNING BODY OF THE CITY OF SCRANTON TO ENACT ‘A WASTE DISPOSAL AND COLLECTION FEE’ FOR THE PURPOSE OF RAISING REVENUE TO COVER THE WASTE DISPOSAL AND COLLECTION COSTS INCURRED BY THE CITY OF SCRANTON FOR THE DISPOSAL OF REFUSE’” BY IMPOSING A WASTE DISPOSAL AND COLLECTION FEE OF \$300.00 FOR CALENDAR YEAR 2021 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER AND TO AMEND THE TIMELINE FOR PAYMENTS ALLOWING CHANGE IN THE MECHANISM OF BILLING, UPDATE THE REQUIREMENTS FOR EXONERATIONS AND INCREASE PENALTIES FOR VIOLATIONS.

[Ordinance-2020 Waste Disposal & Collection Fee 2021.pdf](#)

- 5.E FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF THE BLACK SCRANTON PROJECT TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$135,893.00 FOR THE PROJECT TO BE KNOWN AS “BLACK SCRANTON PROJECT CENTER FOR ARTS & CULTURE” LOCATED AT 1902 NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$135,893.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Black Scranton Project Ctr for Arts & Culture.pdf](#)

- 5.F FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF

THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF GREEN RIDGE LITTLE LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$121,220.00 FOR THE PROJECT TO BE KNOWN AS "FIELD SAFETY RENOVATION" LOCATED 2630 OLYPHANT AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$121,220.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Field Safety Renovation.pdf](#)

- 5.G FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF JOHNSON COLLEGE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$158,918.00 FOR THE PROJECT TO BE KNOWN AS "STORM WATER DRAINAGE PROJECT" LOCATED AT 3427 N. MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$158,918.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Storm Water Drainage Project.pdf](#)

- 5.H FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF LACE BUILDING AFFILIATES, LP TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE

COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$275,000.00 FOR THE PROJECT TO BE KNOWN AS "SCRANTON LACE ADAPTIVE USE PROJECT" LOCATED 1315 MEYLERT AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$275,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Scranton Lace Adaptive Use Project.pdf](#)

- 5.I FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF NEIGHBORWORKS OF NEPA TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$70,000.00 FOR THE PROJECT TO BE KNOWN AS "WEST SCRANTON BUSINESS CORRIDOR IMPROVEMENT PLAN" LOCATED AT 800 BLOCK OF NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$70,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant West Scranton Business Corridor Improvement Plan.pdf](#)

- 5.J FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON LIFE REALTY CO.INC. TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT

GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$262,000.00 FOR THE PROJECT TO BE KNOWN AS "SPRUCE STREET HISTORIC RENOVATION" LOCATED AT 536 SPRUCE STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$262,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Spruce Street Historic Renovation.pdf](#)

- 5.K FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF TRIPP PARK MISSY E LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$19,950.00 FOR THE PROJECT TO BE KNOWN AS "TRIPP PARK MISSY E LEAGUE FIELD RENOVATIONS" LOCATED AT 2000 DOROTHY STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$19,950.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant Tripp Park Miss E League Field Renovations.pdf](#)

- 5.L FOR INTRODUCTION – A RESOLUTION – RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF UNIVERSITY OF SCRANTON TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$315,000.00 FOR THE PROJECT TO BE KNOWN AS "MECHANICAL ENGINEERING PROGRAM EQUIPMENT PROJECT" LOCATED AT 800 LINDEN STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH

THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$315,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant U of S Mechanical Engineering Program Equip Project.pdf](#)

- 5.M FOR INTRODUCTION - A RESOLUTION - RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON AREA COMMUNITY FOUNDATION TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$63,000.00 FOR THE PROJECT TO BE KNOWN AS "NEPA MOVES" LOCATED AT 615 JEFFERSON AVENUE, SUITE 102, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$63,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

[Resolution-2020 LSA Grant NEPA Moves.pdf](#)

- 5.N FOR INTRODUCTION - A RESOLUTION - RATIFYING AND APPROVING THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON TO PENNDOT MULTIMODAL TRANSPORTATION BRIDGE PROJECT GRANT FUNDING TO BE UTILIZED TO REPLACE THREE BRIDGES IN THE CITY, NAMELY (1) MARY STREET BRIDGE, (2) HOLLOW AVENUE BRIDGE AND (3) SOUTH WEBSTER AVENUE BRIDGE.

[Resolution-2020 PennDOT Grant Multimodal Bridge Project.pdf](#)

6. CONSIDERATION OF ORDINANCES - READING BY TITLE

- 6.A READING BY TITLE - FILE OF THE COUNCIL NO. 33, 2020 - AN ORDINANCE - AUTHORIZING THE ISSUANCE OF A TAX AND REVENUE ANTICIPATION NOTE, SERIES OF 2021 IN THE PRINCIPAL AMOUNT NOT

TO EXCEED \$12,200,000; PROVIDING FOR THE DATED DATE, INTEREST RATE, MATURITY DATE, REDEMPTION PROVISIONS, PAYMENT AND PLACE OF PAYMENT IN RESPECT OF THE NOTE; ACCEPTING THE PROPOSAL ATTACHED HERETO AS EXHIBIT "B" FROM THE FINANCIAL INSTITUTION NAMED THEREIN FOR THE PURCHASE OF THE NOTE; NAMING A SINKING FUND DEPOSITARY/PAYING AGENT; AUTHORIZING THE PROPER OFFICERS OF THE CITY TO EXECUTE AND DELIVER THE NOTE AND CERTAIN OTHER DOCUMENTS AND CERTIFICATES IN CONNECTION THEREWITH; AUTHORIZING AND DIRECTING THE PREPARATION, CERTIFICATION AND FILING OF THE NECESSARY DOCUMENTS WITH THE DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT OF THE COMMONWEALTH OF PENNSYLVANIA; SETTING FORTH A FORM OF THE NOTE.

[Ordinance-2020 TAN Series of 2021.pdf](#)

7. FINAL READING OF RESOLUTIONS AND ORDINANCES

- 7.A FOR CONSIDERATION BY THE COMMITTEE ON FINANCE - FOR ADOPTION - RESOLUTION NO. 89, 2020 - AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS TO APPLY FOR AND EXECUTE A GRANT APPLICATION THROUGH THE PENNSYLVANIA DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT ACT 47 GRANT PROGRAM IN THE AMOUNT OF \$50,000.00 TO BE USED TO HIRE A CONSULTANT TO ASSIST THE CITY IN THE RE-DESIGN OF ITS OPERATING SYSTEM.

[Resolution-2020 Grant DECD assist with City's Operating System.pdf](#)

8. ADJOURNMENT

RECEIVED
NOV 06 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

City of Scranton
Request for Proposals
OECD Grant/Loan Underwriting Company RFP

Attachment A. Affirmative Action Certification

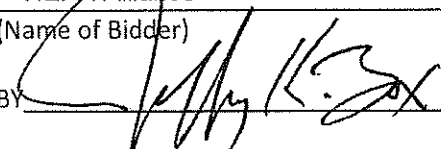
During the term of this contract, Bidder agrees as follows:

- (1) Bidder shall not discriminate against any employee, applicant for employment, independent contractor or any other person because of race, color, religious creed, ancestry, national origin, age, sex or handicap. Bidder shall take affirmative action to insure that applicants are employed, and that employees or agents are treated during employment, without regard to their race, color, religious creed, ancestry, national origin, age, sex or handicap. Such affirmative action shall include, but is not limited to the following: employment, upgrading, demotion or transfer; recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training. Bidder shall post in conspicuous places, available to employees, agents, applicants for employment, and other persons, a notice to be provided by the contracting agency setting forth the provision of this affirmative action certification.
- (2) Bidder shall, in advertisements or requests for employment placed by it or on its behalf, state all qualified applicants will receive consideration for employment without regard to race, color, religious creed, ancestry, national origin, age, sex or handicap.
- (3) Bidder shall send each labor union or workers' representative with which it has a collective bargaining agreement or other contract or understanding, a notice advising said labor union or worker's representative of its commitment to this affirmative action certification. Similar notice shall be sent to every other source of recruitment regularly utilized by bidder.
- (4) It shall be no defense to a finding of noncompliance with this affirmative action certification that bidder has delegated some of its employment practices to any union, training program, or other source of recruitment which prevents it from meeting its obligations. However, if the evidence indicates that the bidder was not on notice of the third-party discrimination or made a good faith effort to correct it, such a factor shall be considered in mitigation in determining appropriate sanctions.
- (5) Where the practices of a union or of any training program or other source of recruitment will result in the exclusion of minority group persons, so bidder will be unable to meet its obligations under this affirmative action certification, bidder shall then employ and fill vacancies through other affirmative action employment procedures.
- (6) Bidder shall comply with all state and federal laws prohibiting discrimination in hiring or employment opportunities. In the event of bidder's

noncompliance with affirmative action certification of this contract or with any such laws, this contract may be terminated or suspended, in whole or in part, and bidder may be declared temporarily ineligible for further City of Scranton contracts, and other sanctions may be imposed and remedies invoked.

- (7) Bidder shall furnish all necessary employment documents and records to, and permit access to its books, records, and accounts by, the City of Scranton Department of Business Administration, for purposes of investigation to ascertain Compliance with the provision of this certification. If bidder does not possess documents or records reflecting the necessary information requested, it shall furnish such information on reporting forms supplied by the City of Scranton Department of Business Administration.
- (8) Bidder shall actively recruit minority subcontractors or subcontractors with substantial minority representation among their employees.
- (9) Bidder shall include the provisions of this affirmative action certification in every subcontract, so that such provisions will be binding upon each subcontractor.
- (10) Bidder's obligations under this clause are limited to the bidder's facilities within Pennsylvania, or where the contract is for purchase of goods manufactured outside of Pennsylvania, the facilities at which such goods are actually produced.

DATE: November 5, 2020

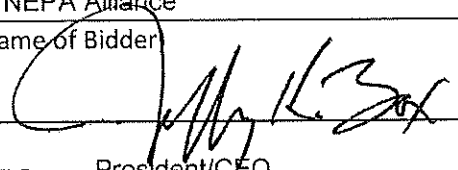
NEPA Alliance
(Name of Bidder)
BY 
TITLE President/CEO

Attachment B. Certificate of Non-Segregated Facilities

The bidder certifies that he does not maintain or provide for his employees and segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The Bidder certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The Bidder agrees that a breach of this certification will be a violation of the Equal opportunity clause in any contract resulting from acceptance of his bid. As used in this certification, the term "segregated Facilities," means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise. The Bidder agrees that (except where he has obtained identical certifications from proposal sub-contractors for specific time periods) he will obtain identical certifications from proposed sub-contractors prior to the award of sub-contracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause, and that he will retain such certification in his files.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. §1001.

DATE: November 5, 2020

NEPA Alliance
(Name of Bidder)
BY 
TITLE President/CEO

Attachment C. Non-Collusion Affidavit of Prime Bidder

STATE OF Pennsylvania

COUNTY OF Luzerne

Jeffrey K. Box, President/CEO, being
first duly sworn, deposes and says that:

1. He is Officer
(Owner, partner, officer, representative or agent)

of NEPA Alliance, the Bidder that has
submitted the bid;

2. He is fully informed respecting the preparation and contents of the attached Bid and of all pertinent circumstances respecting such Bid;
3. Such Bid is genuine and is not a collusive or sham Bid;
4. Neither the said Bidder nor any of its officers, partners, owners, agents, Representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham Bid in connection with the Contract for which the attached Bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, or to Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the City of Scranton (Local Public Agency) or any person interested in the proposed Contract; and;
5. The price or prices quoted in the attached Bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the bidder or any of its agents, representatives, owners, employees or parties in interest, including this affiant.

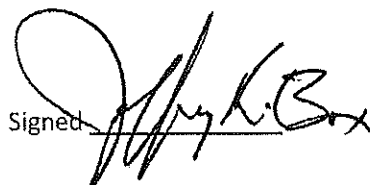
Non-Collusion Affidavit
Signature Page

Jeffrey K. Box

President/CEO

(TITLE)

Signed



11/5/2020

SUBSCRIBED AND SWORN TO BEFORE ME

THIS _____ DAY OF _____
_____, 20____

(TITLE)

MY COMMISSION EXPIRES _____
_____, 20____

Disclosures by Current Contractors

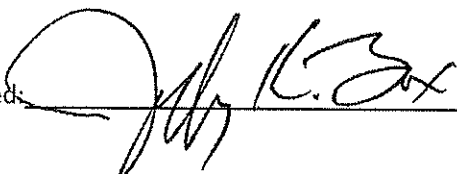
1. Provide the names and titles of all individuals providing professional services to the City of including advisors and subcontractors, if any. After each name, please provide the responsibilities of that person with regard to the professional services provided to the City of Scranton.
 - List the names of any of the above individuals who are current or former officials or employees of the City of Scranton and their position;
 - List the names of any of the above individuals who has been a registered federal or state lobbyist and the date of the most recent renewal/registration.
2. Since January 1, 2011, have any of the individuals identified in paragraph two above been employed by the City of Scranton. If yes, please identify the individual by his/her name and position with the City of Scranton and dates of employment.
3. Since January 1, 2011, has the Contractor employed paid compensation to a third party intermediary, agent, or lobbyist to directly or indirectly communicate with any individual on the list of municipal officials in connection with any transaction or investment involving the Contractor and the City of Scranton. This question does not apply to any officer or employee of the Contractor who is acting within the scope of the Contractor's standard professional duties on behalf of the Contractor including the actual provision of legal, accounting, engineering, real estate, or other professional advice, services or assistance pursuant to its professional services contract with the City of Scranton.
4. Since January 1, 2011 has any agent, officer, director, or employee of the Contractor solicited a third party to make a political contribution to any municipal official or candidate for municipal office in the City of Scranton or to the political party or political committee for whom the solicitation was made. If yes, please identify the agent, officer, director, or employee who made the solicitation; the individual or individuals who were solicited, and the municipal officers, candidates, political party, or political committee for whom the solicitation was made.
5. Since January 1, 2011, has the contractor made any Contribution to a municipal official or candidate for municipal office in the City of Scranton. If yes, please identify the recipient, the amount, and the date of the contribution.
6. Does the Contractor have a direct financial, commercial, or business relationships with any individual on the List of Municipal Officials. With regard to every municipal official for which the answer is yes, identify that individual and provide a detailed written description of that relationship.
7. Since January 1, 2011, has the Contractor conferred any gift of more than nominal value to any individual on the List of Municipal Officials. A gift includes money, services, loans, travel, and entertainment, at value or discounted value. With regard to every municipal official for which the answer is yes, identify the recipient, the gift, and the date it was conferred.

8. Did the Contractor make political contributions the meet all of the following four criteria: (i) The contribution was made at any time since January 1, 2011; (ii) the contribution was made by an officer, director, executive-level employee, or owner of at least five percent (5%) of the Contractor; (iii) the amount of the contribution was at least \$500.00 in the form of either a single contribution by an officer, director, executive-level employee or owner of at least five percent (5%) or the aggregate of all contributions by all officers, directors, executive-level employees, and owners of at least five percent (5%) and (iv) the contribution was made to a candidate for any public office in the Commonwealth of Pennsylvania or to an individual who holds that office, or to a political committee of a candidate for public office in the Commonwealth of Pennsylvania or of an individual who holds that office. If yes, then the Contractor shall provide the following information: the name and address of the contributor, the contributor's relationship to the Contractor, the name and office or position of each recipient, the amount of the contribution, and the date of the contribution.
9. Regarding the provision of professional services to the City of Scranton, are you aware of any conflicts of interest, whether apparent, potential, or actual, with respect to any officer, director, or employee of the Contractor and officials or employees of the City of Scranton. If yes, please provide a detailed written explanation of the circumstances which you believe provide a basis to conclude that an apparent, potential, or actual conflict of interest may exist.
10. Please provide the name(s) and person(s) completing this form. One of the individuals identified by the Contractor in paragraph two must participate in completing this form and must sign the verification statement below.

VERIFICATION

I, Jeffrey K. Box, hereby state that I am President/CEO
for NEPA Alliance, and am authorized to make this verification.

I verify that the facts set forth in the foregoing Act 44 Disclosure Form for entities providing professional services to the City of Scranton are true and correct to the best of my knowledge, information, and belief. I understand that false statements herein are made subject to penalties of 18 P.A.C.S section 4904 relating to unsworn falsification to authorities.

Signed:  Date: November 5, 2020



RECEIVED

NOV 09 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Date: November 6, 2020

To: Members of
Scranton City Council

From: Eileen Cipriani
Executive Director

Re: Scranton, Pennsylvania
COVID -19 Reimbursements through Lackawanna County Cares Act Funding

In accordance with Resolution #50 passed by City Council on July 28, 2020, below please find a list of additional expenses incurred in the amount of \$17,538.90. We are submitting the following expenses to Lackawanna County for reimbursement.

| | |
|---|------------|
| • Police Department overtime expenses for 280 hrs | \$13925.92 |
| • SmartFlow temperature-checking kiosk | \$1799.00 |
| • Covid Cleaning Supplies | \$810.40 |
| • COVID PPE | \$1003.58 |

Total- \$17,538.90

Sincerely

Eileen Cipriani

CITY OF SCRANTON OFFICE OF ECONOMIC AND COMMUNITY DEVELOPMENT
340 N. Washington Avenue • Scranton, Pennsylvania 18503 • Tel: (570) 348-4216 • Fax (570)348-4123 •
www.scrantonpa.gov



DEPARTMENT OF BUSINESS ADMINISTRATION

CITY HALL • 340 NORTH WASHINGTON AVENUE • SCRANTON, PENNSYLVANIA 18503 • PHONE: 570-348-4118 • FAX: 570-348-4225

MEMORANDUM

DATE: November 9, 2020
TO: Mary Jo Sheridan, City Treasurer
FROM: Nancy Krake, Staff Accountant
RE: Check for Deposit

RECEIVED
NOV - 9 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Enclosed kindly find the following check received from Comcast, representing the CATV franchise fee due the City of Scranton for Invoice/Statement Jul-Sept, 2020. Invoice #721506.

| | | |
|----------------|------------------------|---------------------|
| Comcast | Ck. # 521126918 | \$259,772.26 |
|----------------|------------------------|---------------------|

This check is to be deposited into account # 01.380.38010 (CATV Revenue).

cc: John Murray, City Controller
Scranton City Council
Liz Callela, Program Monitor
Rebecca McMullen, Finance Manager
File



COMCAST FINANCIAL AGENCY CORPORATION
A Comcast Cable Communications Group Company
1701 JFK Boulevard
Philadelphia, PA 19103-2838

18225316

PAGE: 1 of 1

DATE: November 2, 2020
CHECK NUMBER: 521126918
AMOUNT PAID: \$259,772.26

RECEIVED

NOV 09 2020

OFFICE OF CITY
COUNCIL/CITY CLERK



00505 127 CKS ZA 20307 - 0521126918 NNNNNNNNNNN 3075100004003 X143A1 C
SCRANTON CITY OF PA
ATTN CITY CLERK
340 N WASHINGTON AVE
SCRANTON PA 18503



VENDOR NUMBER: 267205

VENDOR: SCRANTON CITY OF PA

| INVOICE NO. | INVOICE DATE | ACCOUNT NUMBER | DESCRIPTION | DISCOUNT AMOUNT | NET AMOUNT |
|-------------|--------------|----------------|--------------------|-----------------|--------------|
| 721506 | 09/30/20 | | 303121-Scranton PA | \$0.00 | \$259,772.26 |
| | | | TOTALS | \$0.00 | \$259,772.26 |

PLEASE DETACH BEFORE DEPOSITING CHECK



COMCAST FINANCIAL AGENCY CORPORATION
A Comcast Cable Communications Group Company
1701 JFK Boulevard
Philadelphia, PA 19103-2838

CHECK
NUMBER 521126918

56-1544
441

November 2, 2020

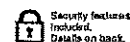
*** VOID AFTER 180 DAYS ***

PAY TO THE ORDER OF: SCRANTON CITY OF PA
ATTN CITY CLERK
340 N WASHINGTON AVE
SCRANTON, PA 18503

CHECK AMOUNT

\$259,772.26

EXACTLY *****259,772 DOLLARS AND 26 CENTS



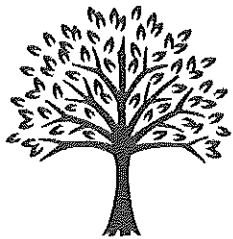
JPMorgan Chase Bank, N.A.
Columbus, OH

[Signature]

Authorized Signature

⑈ 5 2 1 1 2 6 9 1 8 ⑈ ⑈ 0 4 4 1 1 5 4 4 3 ⑈

6 7 5 5 2 8 3 4 3 ⑈



Firetree, Ltd.
800 West Fourth Street
Williamsport Pa 17701

November 3, 2020

City of Scranton
William Gaughan, City Council President
340 North Washington Ave.
Scranton, PA 18503

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Re: Notification of Proposed Performance Location

Dear Councilman Gaughan:

The purpose of this letter is to inform you that Firetree, Ltd. has submitted an offer to provide Residential Re-entry Center services or "halfway house" services for federal offenders releasing within the city limits of Scranton, PA. This action is being taken in response to a request for proposals (RFP) issued by the Federal Bureau of Prisons (BOP). The BOP encourages full and open competition in the procurement of these services; consequently, other offerors may also be responding to this RFP.

As part of the RRC contracting process, the BOP requires that all offerors notify and seek input from the local law enforcement authority and two levels of locally elected government officials. This letter will serve as documentation of partial satisfaction of this requirement.

Firetree, Ltd. is proposing to provide these services at 409-411 Olive Street, Scranton, Pennsylvania. The property is being purchased from the Diocese of Scranton, who operated the re-entry facility under the name of Catholic Social Services. Firetree, Ltd. will be using the facility for the same re-entry purpose. The property is currently located in a C-G General Commercial zoning district and Firetree, Ltd. will be seeking a continuance of the non-conforming use of the property. The site is accessible to public transportation, which enables the residents to easily access employment opportunities, medical services and various community support agencies.

The total term of the proposed contract is five years. The estimated requirement specifies a maximum of 20 beds (minimum 8), 16 beds for males and 4 beds for females at the maximum levels. These numbers reflect the BOP's best estimates of bed space needed at this time. However, the proposed site will be able to accommodate additional bed space if there is an unanticipated need by the BOP for additional bed space in this geographic area. Performance is to begin within 120 days of award.

The BOP has a long history of transferring inmates who are within a few months of release to a contractor-operated RRC for transitional programming. These inmates have often been removed

from the community for an extended period of time. Sound correctional practice suggests that RRCs enhance public safety by offering offenders the opportunity to find employment, establish a residence, and re-enter the community through a structured, supportive environment.

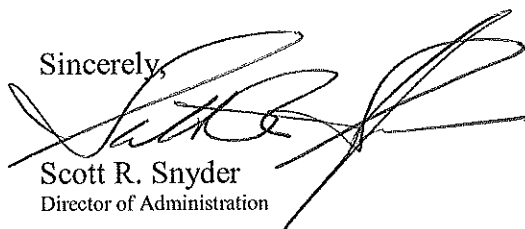
The BOP takes responsibility for contract oversight very seriously. Contract language establishes requirements for inmate accountability, programming, life safety, staffing, inmate discipline, urine and alcohol surveillance, and a variety of other areas. These requirements are closely monitored by BOP staff, who provide training and conduct both scheduled and unannounced on-site inspections.

Federal offenders at the RRC will come from two sources: inmates who are transferred from another prison to the RRC for release programming, and offenders under the supervision of the U.S. Probation Office for whom residence at the RRC is a condition of supervision. Nationwide, the average RRC placement is three to four months in length, although longer placements are sometimes made.

Firetree, Ltd. was founded in 1990 and is a non-profit 501(c)(3) corporation that currently manages two additional RRC facilities one in Harrisburg, Pennsylvania, the other in Syracuse, New York. Firetree, Ltd. facilities are accredited by the American Correctional Association (ACA), and are always awarded the highest marks during inspections by the BOP and ACA. More information about Firetree, Ltd. can be found at our website www.firetree.com.

The BOP is currently evaluating all proposals that were submitted for this RFP. The BOP and Firetree, Ltd. invite you to express your support for or concerns about this proposed RRC facility. Comments may be directed to Kevin Hoff, Contract Specialist, U.S. Department of Justice, Federal Bureau of Prisons, 200 Chestnut Street, US Customs Building, 7th Floor Philadelphia, PA 19106. The phone number is (215) 521-7454. Please forward a copy of any correspondence to Firetree, Ltd., 800 West Fourth Street, Williamsport, PA 17701.

Sincerely,



Scott R. Snyder
Director of Administration

"Together Building a New Way of Life"

www.firetree.com

Phone 570-601-0877 Fax 570-326-1050

From: Kelley Lindsay <klindsay@kohanskico.com>
Sent: Monday, November 9, 2020 3:28 PM
To: Lori Reed
Cc: Carl Deeley; Rebecca McMullen; Mark Zavislak
Subject: 11-9 Update to Council
Attachments: Open Audit Testing Updated 11-9-20.xlsx

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NOV 09 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Hi Lori,

Our weekly update to Council is as follows, updates from the 10-30 list are in RED.

The following information, required for complete financial reporting, is still outstanding:

1. GASB 75 Actuary report (OPEB liability) – prepared by Bayer Barber, delivery date unknown, we believe that Amber Viola has been in touch with the actuary but we have not been made aware of any updates to the delivery date.
2. Scranton Redevelopment Authority audit – prepared by Murphy Dougherty, delivery expected no later than 11/13
3. Scranton Sewer Authority Audit - prepared by Kohanski & Co., will be finalized this week

Other audit areas:

1. General fund – in process, see attached list of open items
2. Special Cities fund - we had a meeting with Tom Rainey and Rebecca on Friday 11/6. An updated Special Cities workbook and adjusting entries are expected this week.
3. OECD –in process. We were unable to complete testing last week as we had a COVID exposure in our office. We continue to do what we can remotely and hope to be clear to return next week to complete on-site testing
4. Liquid Fuels fund – complete
5. Internal Service fund – complete
6. Pension fund – complete
7. Capital assets – in process, see attached list of open items
8. Long-term debt – complete

Additional open items may be added as the audit is reviewed.

We are working to have a draft of the financial statements, without footnotes, available to Council for the November 17 budget meeting. However, our ability to do so is dependent on receiving outstanding items from the business office, OECD, Rainey and Rainey, Murphy Dougherty, and the City's actuary.

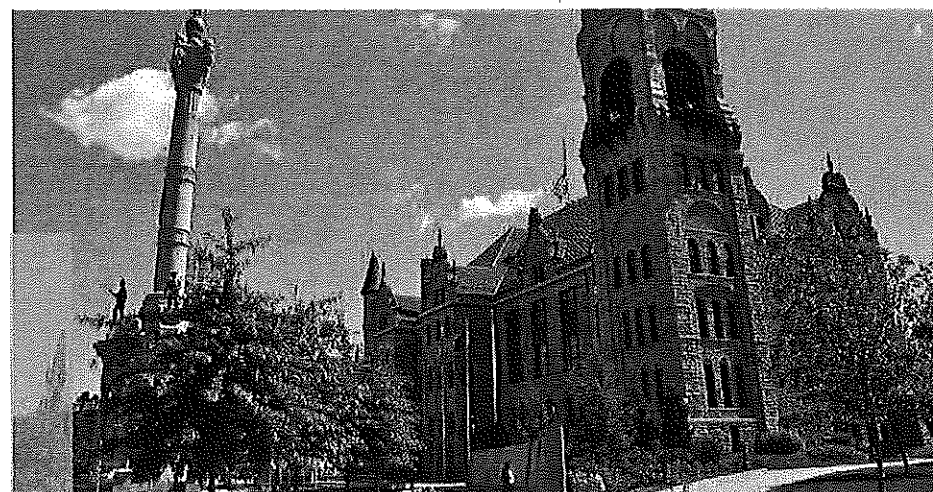
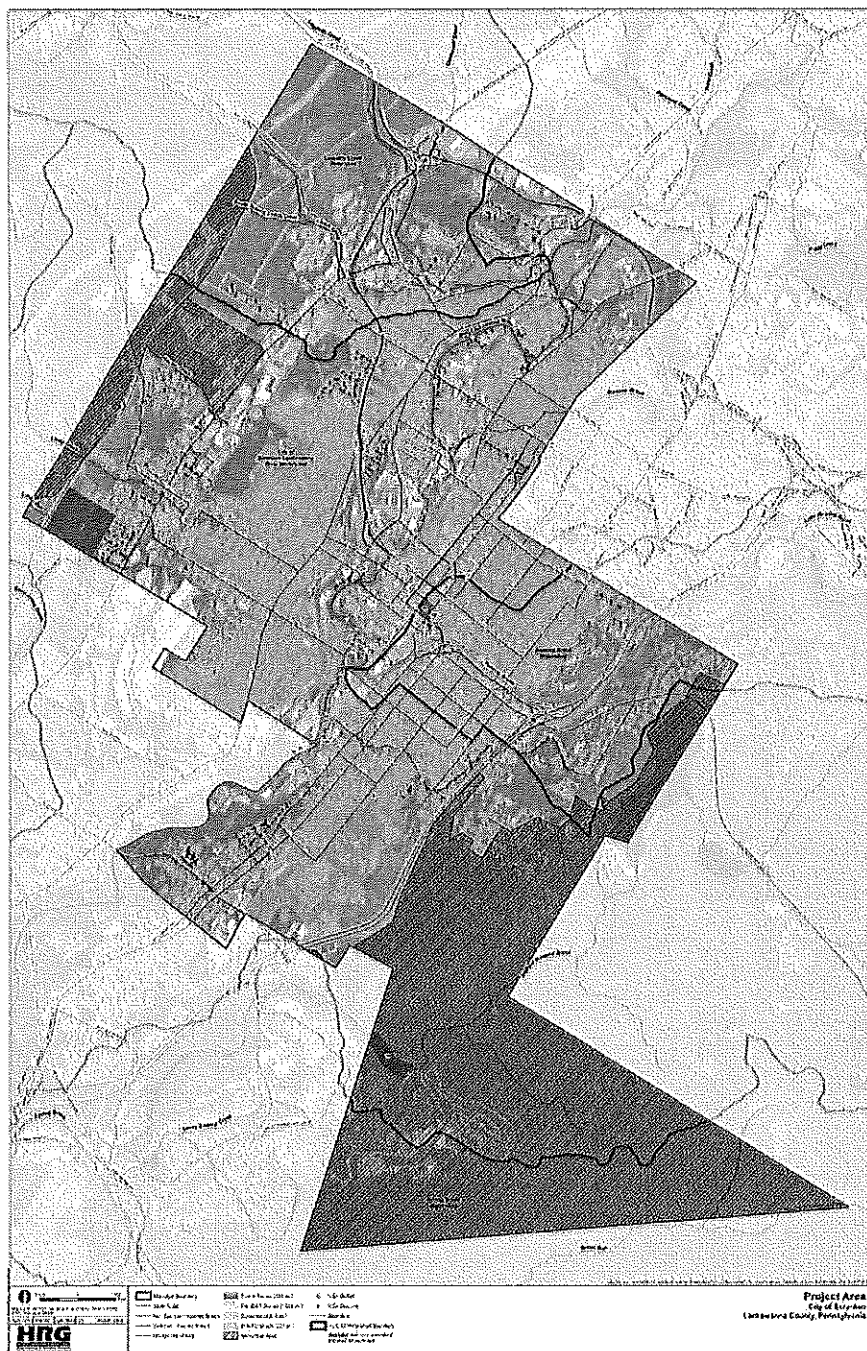
We have not received a schedule of expenditures of Federal awards; however, the financial statement audit can be released without the compliance reports.

Please let me know if there are any questions.

Kelley

KOHANSKI
Company, P.C.

Kelley Lindsay, CPA
3939 Birney Avenue
Moosic, PA 18507



City of Scranton

Lackawanna County, Pennsylvania

Chesapeake Bay Pollutant Reduction Plan

January 2020

HRG Project No. R004441.0434



HRG

Herbert, Rowland & Grubic, Inc.
Engineering & Related Services
AN EMPLOYEE-OWNED COMPANY

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COUNCIL/CITY CLERK

Timeline

August 23, 2019 – HRG hired

October 17, 2019 – Addressed DEP Comments on City's MS4 Renewal Application

October 10, 2019 – DEP Inspection

November 20, 2019 – Transmitted Rough Draft of PRP to DEP

January 14, 2020 – Transmitted Final Draft of PRP to City for Review

March 2, 2020 – Transmitted Final Draft of PRP to DEP

March 2020 – City Advertised PRP for public comment

COVID-2019

Table 7: Proposed BMP Strategy Summary

| BMP Type | Planning Area ** | Stream | Watershed | # of Projects | Pollutant Load Reduction TSS (lbs/yr) |
|---------------------------------------|---|----------------|-------------------------------------|---------------|---------------------------------------|
| Stream Restoration | City of Scranton – Lackawanna River | Keyser Creek | Keyser Creek | 5 | 285,000 |
| Street Sweeping \ Catchbasin Cleaning | City of Scranton – Lackawanna River | Varies * | City of Scranton – Lackawanna River | n/a | 19,688 |
| Street Sweeping \ Catchbasin Cleaning | Leggetts Creek | Leggetts Creek | Leggetts Creek | n/a | 3,008 |
| Street Sweeping \ Catchbasin Cleaning | CBPRP Planning Area outside of PRP Planning Areas | Various * | Various * | n/a | 4,369 |
| Total | | | | | 312,065 |

*See BMP Maps

** All Planning Areas are included in the CBPRP planning area as outlined in Section E.1.

The BMP strategy outlined in Table 7 represents the most cost-effective approach to meeting the required pollutant reductions while also improving the quality of local impaired waterways. The pollutant load

Table 8: Pollutant Load Reductions Achieved by Planning Area

| Planning Area | Adjusted Base Load (lbs/yr) | | Reduction from Proposed BMPs (lbs/yr) | | % of Reduction Goal Achieved |
|-------------------------------------|-----------------------------|----|---------------------------------------|----|------------------------------|
| | TSS | TP | TSS | TP | |
| CBPRP | 2,830,548 | -- | 312,065 | -- | 11.0% |
| City of Scranton – Lackawanna River | 1,985,456 | -- | 304,688 | -- | 15.3% |
| Leggetts Creek | 578,899 | -- | 3,008 | -- | 0.5% |



KC-07



KC-08



KC-09



KC-10



KC-11

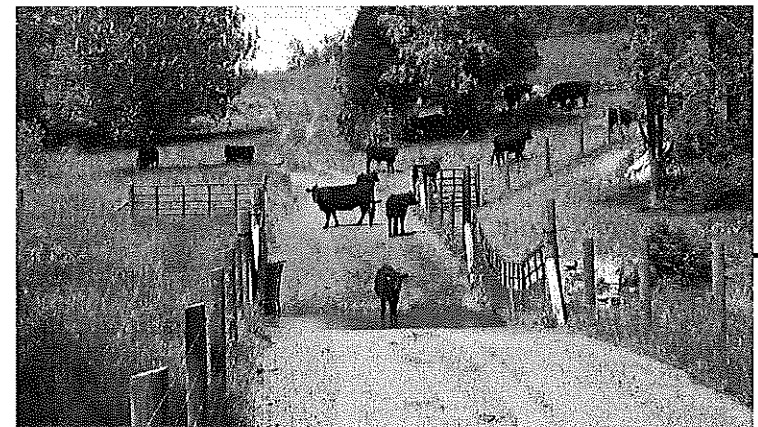


KC-12



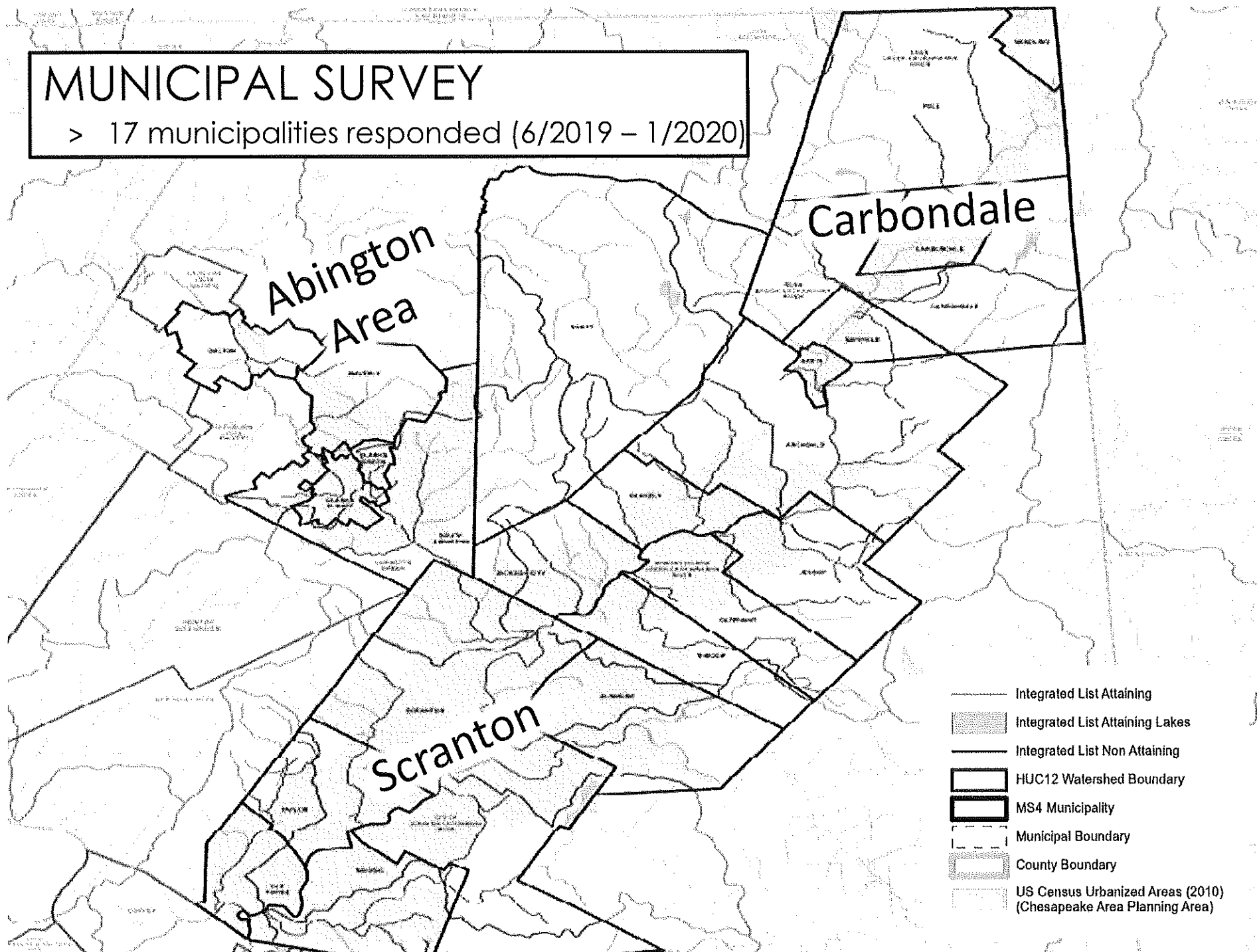
MS4 Permit Requirements - Future

- > Where we are now (2018-2023)
 - > MS4 – BMPs for sediment reductions
 - > Rural - Ag Conservation & Nutrient Management Planning
- > Where we are headed (2023 +)
 - > All municipalities may fall under MS4 Requirements
 - > Urban- Ag Sector cooperation
 - > MS4 sediment reductions
 - > MS4 nutrient (nitrogen) reductions
 - > BMP construction on Ag properties to achieve compliance at lowest cost



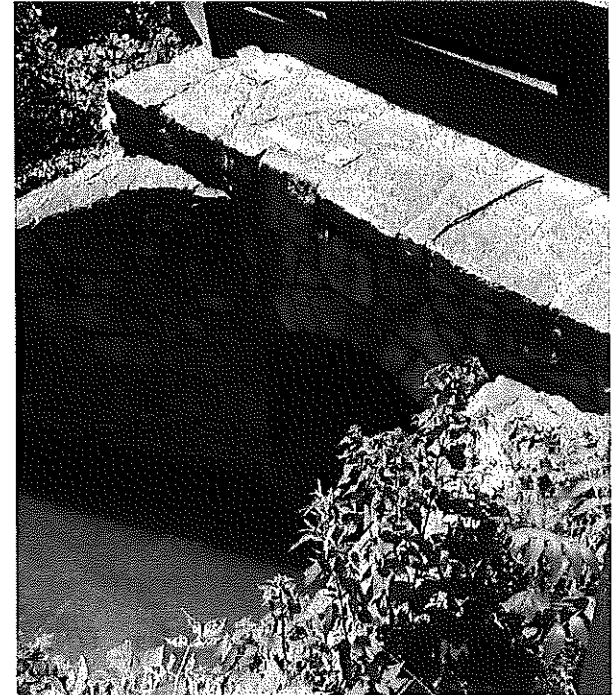
MUNICIPAL SURVEY

> 17 municipalities responded (6/2019 – 1/2020)



MUNICIPAL SURVEY>

- > General Results
 - > **Willing to give up municipal control** over water quality and flood control if a regional cooperative is more effective and efficient.
 - > See a need to increase funding and **interested in a new funding source** dedicated to water resource improvements
 - > **Interested in receiving money back from a regional fee** to fund maintenance of locally owned stormwater infrastructure
- > Can use the most support with:
 - > **Funding** to improve existing stormwater infrastructure
 - > Sediment and Nutrient Reduction (**BMP Design and Implementation**)
 - > MS4 Administration
 - > Watershed Based Flood Reduction and Prevention



Regional Programming for Further Consideration

1. Regulatory Compliance

- a. Joint MS4 Permit between County and Municipalities
- b. Mapping of existing infrastructure
- c. MS4 Administration
 - a. Regional BMP Implementation
 - b. Certain Minimum Control Measures
 - c. Pollution Control Measures

2. Existing Infrastructure Maintenance

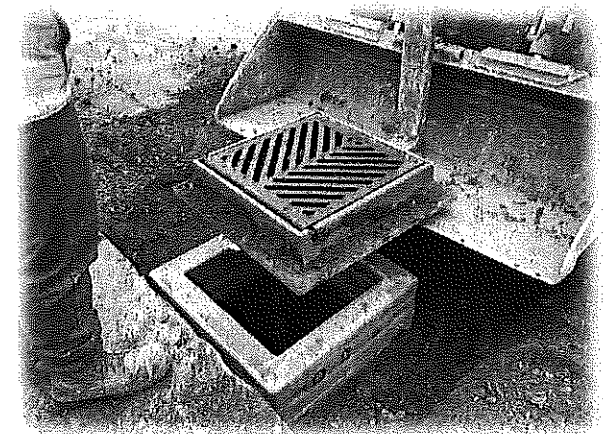
- a. Street Sweeping
- b. Review of SW Development Plans
- c. Engineering Services

3. Flood Control/Levee:

- a. Levee infrastructure ownership & maintenance
- b. Capital projects for regional flood mitigation

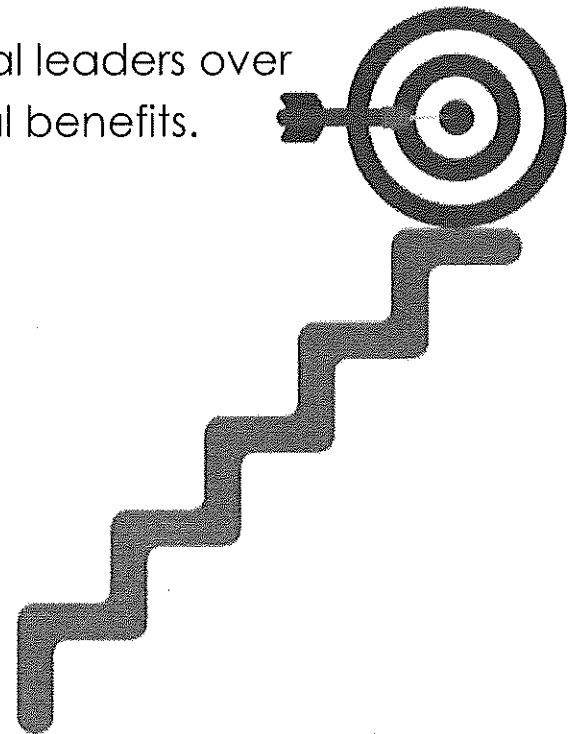
4. Funding For Existing Infrastructure & Regulatory Compliance

- a. New County Stormwater Fee for County-led services with money back to municipalities for ex. Infrastructure
- b. Grant administration for County & local SW projects.



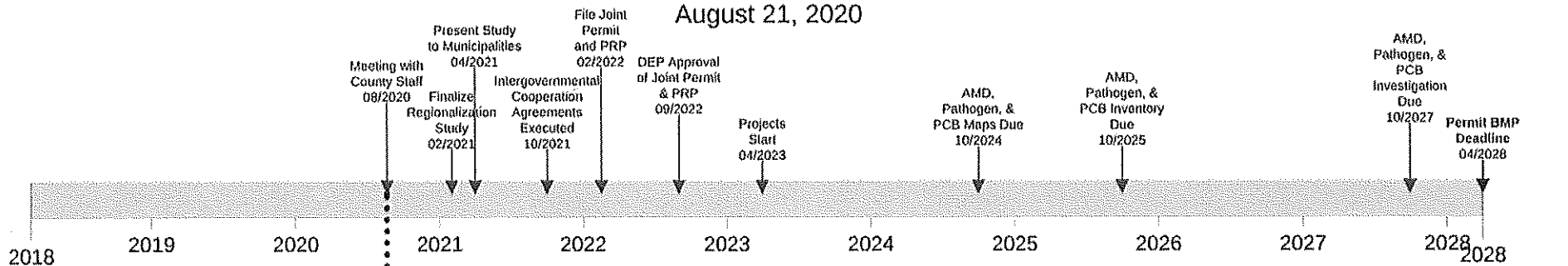
Next Steps for Lackawanna County

- Pivot Feasibility Study to County level implementation, as appropriate.
- Meet with County Staff
 - discuss programming provided by County Maintenance Dept., Office of Environmental Sustainability, Conservation District, etc. in exchange for a portion of fee revenue.
- Verify feasibility and cost effectiveness through business plan model (already under contract via City of Scranton)
- Hold a series of zoom or in person meetings with municipal leaders over the next few months to discuss opportunity and municipal benefits.
- Draft inter-governmental cooperation agreement.
- Monitor federal and state funding opportunities.

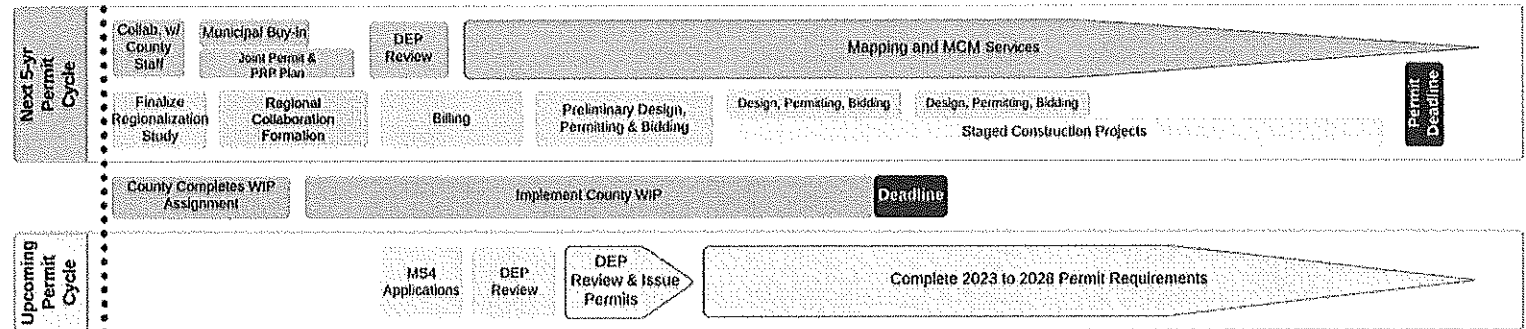


Lackawanna County Stormwater Regionalization

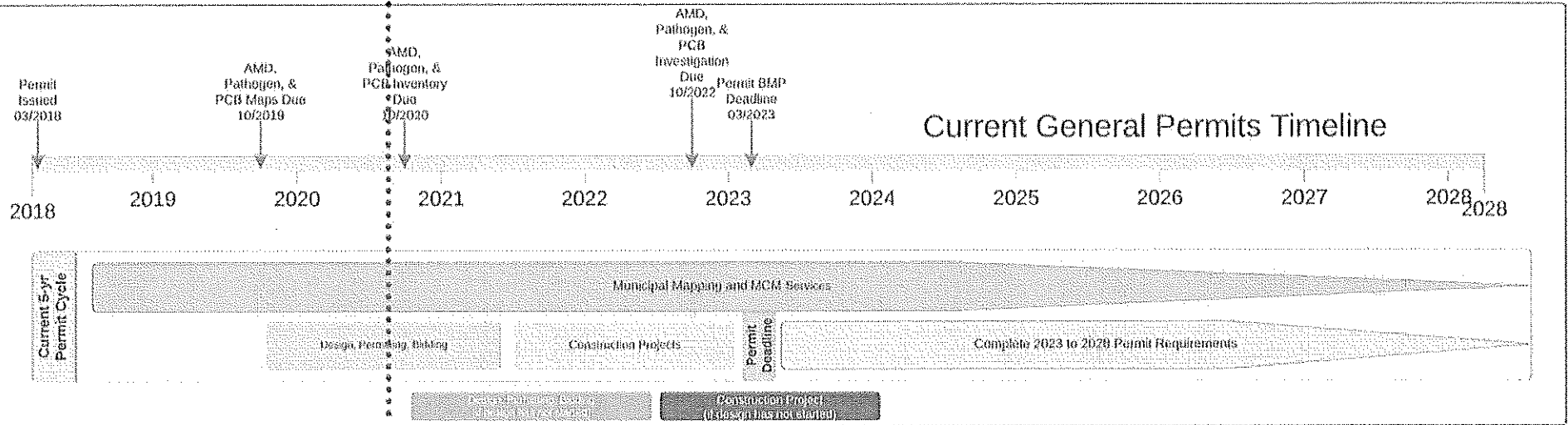
August 21, 2020



DRAFT



Current General Permits Timeline



City of Scranton

2020 Renewal & Financial Update

November 10th, 2020

Council Meeting

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Agenda

- **Pharmacy Financials**
- **Stop Loss Marketing**
- **Highmark Administration**
- **Performance Health Administration**
- **Benistar Retiree Program**
- **Commissions Reduction**
- **Total Savings Analysis**
- **2021 Self-Funded Cost Projection – Active Employees**

City of Scranton

Pharmacy Pricing Update – Effective 1/1/21

| Pharmacy Financials | | | | |
|--------------------------|--|------------------|----------|------------|
| Measurement and Criteria | Elixir | Effective 1/1/21 | | |
| | Combined Discount Guarantee | | Proposed | Negotiated |
| | Retail Brand, Average Wholesale Price (AWP) less | | 17.0% | 18.5% |
| | Retail Generic, AWP less | | 76.3% | 85.0% |
| | Mail Order Brand, AWP less | | 25.0% | 25.0% |
| | Mail Order Generic, AWP less | | 80.0% | 85.0% |
| | The Guaranteed Discount amount will be determined by multiplying the AWP by the guaranteed discount off AWP by each component and adding the amounts together. | | | |
| | Dispensing Fees | | | |
| | Retail Brand | | \$0.70 | \$0.70 |
| | Retail Generic | | \$0.70 | \$0.70 |
| | Dispensing fee totals are calculated by multiplying the actual scripts for each type by the | | | |
| | Minimum Rebate Guarantee (Advantage PDL) | | | |
| | Rebate Sharing Percentage | | 80.0% | 95.0% |
| | Basis, per script | | Brand | Brand |
| | Retail - 30 | | \$50.00 | \$50.00 |
| | Retail - 90 Day | | \$150.00 | \$150.00 |
| Mail Order | | \$150.00 | \$150.00 | |
| Specialty | | \$250.00 | \$250.00 | |

Negotiated terms expected to yield \$220k in claim savings for the 2021 plan year.

Furthermore, increased rebate sharing will generate another \$100k in annual rebates without impacting a single member.

Revised discounts on par with WTW large clients

City of Scranton

Stop Loss Marketing – Effective 1/1/21

| | | Vista | | | Stop Loss Collaborative | | | |
|---|----------|--------------|-----------------|--------------|-------------------------|--------------|--------------|--------------|
| Premium Summary | Enrolled | Current | Initial Renewal | Firm Renewal | Sunlife | Symetra | HMIG | QBE |
| ISL Rate - Single | 183 | \$28.44 | \$35.37 | \$32.73 | \$28.32 | \$27.14 | \$69.05 | \$68.07 |
| ISL Rate - Family | 358 | \$76.84 | \$93.37 | \$85.38 | \$74.33 | \$75.55 | \$175.67 | \$68.07 |
| ASL Rate - Composite | 541 | \$14.22 | \$8.99 | \$8.99 | \$4.53 | \$12.94 | \$14.26 | \$12.49 |
| Annual Premium | | \$484,875 | \$537,153 | \$497,031 | \$410,921 | \$468,169 | \$998,888 | \$522,996 |
| \$ Change vs. Current | | | \$52,278 | \$12,156 | -\$73,954 | -\$16,706 | \$514,013 | \$38,120 |
| % Change vs. Current | | | 10.8% | 2.5% | -15.3% | -3.4% | 106.0% | 7.9% |
| \$ Change vs. Renewal | | | | | -\$86,109 | -\$28,862 | \$501,857 | \$25,965 |
| % Change vs. Renewal | | | | | -17.3% | -5.8% | 101.0% | 5.2% |
| Firm Rates | | NA | No | Yes | Yes | Yes | Yes | No |
| Individual Stop Loss (ISL) Provisions | | | | | | | | |
| ISL Deductible | | \$325,000 | \$325,000 | \$325,000 | \$325,000 | \$325,000 | \$325,000 | \$325,000 |
| ISL Contract | | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 |
| ISL Laser Amounts | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Coverage | | Medical & Rx | Medical & Rx | Medical & Rx | Medical & Rx | Medical & Rx | Medical & Rx | Medical & Rx |
| ISL Maximum Annual Reimbursement | | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited |
| ISL Maximum Lifetime Reimbursement | | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited | Unlimited |
| Renewal Rate Cap | | No | No | No | 75% | 65% | 65% | 65% |
| No New Lasers at Renewal | | No | No | No | Yes | Yes | Yes | Yes |
| Aggregate Stop Loss (ASL) Provisions | | | | | | | | |
| ASL Level | | 125% | 125% | 125% | 120% | 120% | 120% | 120% |
| ASL Contract | | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 | 24/12 |
| ASL Maximum Annual Reimbursement | | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 |
| ASL Attachment Factor - Single | | \$1,332.83 | \$1,282.91 | \$1,282.91 | \$1,341.81 | \$1,656.85 | \$1,412.69 | \$1,237.19 |
| ASL Attachment Factor - Family | | \$3,944.55 | \$3,773.08 | \$3,773.08 | \$3,757.06 | \$3,760.49 | \$3,390.45 | \$3,557.02 |
| ASL Maximum Liability including Lasers and Aggregating Specific | | \$19,872,681 | \$19,026,422 | \$19,026,422 | \$19,086,945 | \$19,793,508 | \$17,667,640 | \$17,997,827 |
| % Change vs. Current | | | -4.3% | -4.3% | -4.0% | -0.4% | -11.1% | -9.4% |

WTW was able to secure better stop loss contract terms with pricing 15% below current rates; a savings of \$74k.

City of Scranton

Highmark Administration– Effective 1/1/21

| | | Current | Initial Renewal | Negotiated |
|---------------|--|------------------|------------------|------------------|
| | Medical Administrator | Highmark | Highmark | Highmark |
| 536 | Highmark PPO Admin | \$56.52 | \$62.00 | \$56.52 |
| 18 | Highmark Traditional Admin | \$48.21 | \$53.27 | \$48.21 |
| 9 | Highmark Signature-65 Admin | \$53.49 | \$58.81 | \$53.49 |
| | Monthly Administration Costs | \$31,652 | \$34,729 | \$31,652 |
| | Total Annual Administration Costs | \$379,829 | \$416,754 | \$379,829 |
| | Percent of Cost Difference | --- | 9.7% | 0.0% |
| | \$ Cost Difference | --- | \$36,925 | \$0 |
| NOTES: | | | | |

Initial cost increases sought by Highmark would have increased current medical admin expenses by \$37k. Through negotiation, WTW was able to keep Highmark rates flat.

City of Scranton

Performance Health Administration– Effective 1/1/21

| | | Current | Initial Renewal | Negotiated |
|---|-------------------------------------|--------------------|--------------------|--------------------|
| Medical/Rx Administrator | | Performance Health | Performance Health | Performance Health |
| 563 | Performance Health Admin | \$10.00 | \$10.00 | \$3.00 |
| -- | Performance Health % of Savings Fee | 10% | 10% | 7% |
| -- | Estimated Cost of % of Savings Fee | \$160,000 | \$160,000 | \$112,000 |
| Total Annual Administration & % of Fee Costs | | \$227,560 | \$227,560 | \$132,268 |
| Percent of Cost Difference | | --- | 0.0% | -41.9% |
| \$ Cost Difference | | --- | \$0 | -\$95,292 |

**Above savings based on 2019 gross claims incurred through Performance Health.*

Through negotiation, WTW was able to reduce Performance Health costs by \$95k.

City of Scranton

Benistar Retiree Program – Effective 1/1/2021

| | | Current | Initial Renewal | Negotiated |
|---|----------------------------|--------------------|------------------------|--------------------|
| # | Retiree | Benistar | Benistar | Benistar |
| 451 | Retiree Medical Plan | \$253.00 | \$268.00 | \$246.00 |
| 451 | Group Medicare Part D Plan | \$362.81 | \$371.88 | \$325.88 |
| PEPM Retiree Cost | | \$615.81 | \$639.88 | \$571.88 |
| Total Annual Cost | | \$3,332,764 | \$3,463,031 | \$3,095,015 |
| Percent of Cost Difference | | -- | 3.91% | -7.13% |
| \$ Cost Difference | | -- | \$130,267 | -\$237,749 |
| NOTES: Based on current headcounts (451) | | | | |

Through negotiation, WTW was able to get the initial renewal increase of 3.91% down to a -7.13% decrease to the current rates; savings of \$238k below current and \$368k below initial renewals.

City of Scranton

Commission Reductions – Effective 10/1/2020

| Recipient | Line of Coverage | Current |
|---|---|------------------|
| | | Benistar |
| Millenium | Vista Partner's - Stop Loss - 15% Commission | \$90,000 |
| Remedy Analytics | Elixir - Rx - \$3/script fee | \$70,000 |
| Millenium | Elixir - Rx - \$4,500/quarter | \$18,000 |
| Millenium | Benistar - Retiree - 1.5% medical & \$4.10 Rx | \$43,000 |
| Millenium | Consulting Fee - \$2,350/month | \$28,200 |
| Total Annual Commission/Fees Removed | | \$249,200 |
| NOTES: No commissions in place for Highmark, Delta Dental, or NVA | | |

Over \$249k in commissions/fees have been removed from 2020 contracts, effective 10/1/20. These commissions were embedded within the stop loss, pharmacy, and retiree policies.

City of Scranton

Total Savings Analysis

| | 2020 Costs Annual Costs | Initial 2021 Renewal Annual Costs | Negotiated Renewal Annual Costs | Savings Annual Costs |
|-----------------------------------|----------------------------|--------------------------------------|------------------------------------|-------------------------|
| Highmark Medical Administration | \$379,828 | \$416,754 | \$379,828 | -\$36,926 |
| Performance Health Administration | \$227,560 | \$227,500 | \$132,268 | -\$95,232 |
| Retiree Medical & Rx | \$3,332,764 | \$3,463,061 | \$3,095,015 | -\$368,046 |
| Pharmacy Pricing (Elixir) | | | -\$320,000 | -\$320,000 |
| Stop Loss Marketing | \$484,875 | \$537,153 | \$410,921 | -\$126,232 |
| Consultant Income | \$249,200 | \$249,200 | \$100,000 | -\$149,200 |
| Annual Total | \$4,674,227 | \$4,893,668 | \$3,798,032 | -\$1,095,636 |
| Cost Difference (%) | -- | 4.7% | -18.7% | |
| Cost Difference (\$) | -- | \$219,441 | -\$876,195 | |

WTW has been able to reduce current program costs by \$876k w/o impacting a single member; A cost avoidance of \$1.1M against initial 2021 renewals.

City of Scranton

2021 Self-Funded Cost Projection – Active Employees

| | 2020 Budget - Based on Final 2019 Financials | | 2020 Re-Forecast | | 2021 Projection | |
|---|---|-----------------------|-------------------------|-----------------------|-------------------------|-----------------------|
| Assumed Enrollment | 563 | | 563 | | 563 | |
| | Total Annual | Per Capita | Total Annual | Per Capita | Total Annual | Per Capita |
| A. Fixed Costs | | | | | | |
| Administration fees | \$517,682 | | \$506,892 | | \$382,390 | |
| Stop-loss premium | \$581,266 | | \$556,649 | | \$419,594 | |
| Total fixed costs | \$1,098,948 | \$1,952 | \$1,063,541 | \$1,889 | \$801,984 | \$1,424 |
| B. WTW Projected Claims | \$12,118,252 | \$21,524 | \$10,570,540 | \$18,775 | \$12,326,984 | \$21,895 |
| C. Total Projected Costs (A + B) | \$13,217,200 | \$23,476 | \$11,634,081 | \$20,664 | \$13,128,967 | \$23,320 |
| <i>% change vs. 2020 budget</i> | | | -12.0% | -12.0% | -0.7% | -0.7% |
| <i>% change vs. 2020 re-forecast</i> | | | | | 12.8% | 12.8% |
| D. Premium Equivalent Rates | \$9,737,532 | \$17,296 | \$9,737,532 | \$17,296 | \$13,128,967 | \$23,320 |
| \$ Change | | | | | \$3,391,436 | \$6,024 |
| % Change | | | | | 34.83% | |

While the 2021 projection shows a -0.7% decrease PEPM (per employee per month) when compared to final 2019 costs, premium equivalent rates need to increase approximately 35%. The previous consultant responsible for developing COBRA rates was not accurately capturing all program costs.



RECEIVED
NOV 12 2020

Date: November 12, 2020

To: Members of
Scranton City Council

OFFICE OF CITY
COUNCIL/CITY CLERK

From: Eileen Cipriani
Executive Director

Re: Scranton, Pennsylvania
COVID -19 Reimbursements through Lackawanna County Cares Act Funding

In accordance with Resolution #50 passed by City Council on July 28, 2020, below please find a list of additional expenses incurred in the amount of \$321,878.69. We are submitting the following expenses to Lackawanna County for reimbursement.

- Fire Department personnel expenses for COVID response \$42,139.44
 - Unemployment Compensation costs due to COVID related layoffs of city personnel from end of first quarter through 3rd quarter 2020 \$275,859.65
 - October invoice Commingled Recycling 96.99 tons @ \$40/ton \$3,879.60
- Total- \$321,878.69**

Sincerely

Eileen Cipriani

CITY OF SCRANTON OFFICE OF ECONOMIC AND COMMUNITY DEVELOPMENT
340 N. Washington Avenue • Scranton, Pennsylvania 18503 • Tel: (570) 348-4216 • Fax (570)348-4123 •
www.scrantonpa.gov

City of Scranton, Pennsylvania
Summary of Terms & Conditions¹
\$12,200,000 General Obligation Tax and Revenue Anticipation Note
(The "Loan")

The terms and conditions contained herein are subject to satisfactory completion of due diligence, internal credit approval and such other conditions as may be required by Webster Bank, N.A. and the Webster Public Finance Corporation in its sole discretion. This term sheet is confidential and is not to be relied upon by third parties. Webster Bank, N.A. and the Webster Public Finance Corporation are not registered municipal advisors and cannot provide advice in connection with municipal financial products.

Submission Date: October 28, 2020.

Borrower: City of Scranton, Pennsylvania.

Lender: Webster Public Finance Corporation.

Paying Agent: Fidelity Bank.

Par Amount: Up to \$12,200,000.

Closing: Settlement will occur on or around January 4, 2021.

Final Maturity: December 15, 2021.

Purpose: Proceeds from the Loan will be used to fund FY 2021 operations in anticipation of tax revenue.

Tax Status: The Loan will be exempt from Federal and State Income Tax.

Bank Qualification: The Loan will not be designated Bank Qualified under IRS Section 265(b)(3) as amended.

Sinking fund payments: Balances available in the Debt Service Account on the 15th of each month, beginning April 15, 2021, shall be applied in full towards any outstanding principal on the Loan until the Loan is repaid in full.

Security: The Loan will be payable from and equally and ratably secured by a pledge of, security interest in, and lien upon, the taxes and other revenues of the City to be received during the period in which the Loan is outstanding, as set forth in the Ordinance, and as evidenced by a UCC-1 filing.

The Loan will be further secured by a lockbox structure in which the Borrower will direct its Earned Income Tax Collector to transmit to the Paying Agent, the Borrower's Earned Income Taxes collected in fiscal year 2021 each business

¹ For discussion purposes only. Does not constitute an offer or agreement to lend.

day starting January 3, 2021. The Paying Agent will retain approximately 60% of the collections for the period from January 3, 2021 through December 15, 2021, in a restricted account for withdrawal, payment or transfer at the sole discretion of the Paying Agent until the Loan is paid in full. In connection with such structure, the City will enter into a Continuing General Security Agreement, a Debt Service Agreement, and a Deposit account Control Agreement, collectively referred to herein as "Note Security Agreements." Note Security Agreements will be in substantially similar forms to those utilized in connection with the City's Tax and Revenue Anticipation Notes, Series of 2018, dated December 20, 2017, as accessed via emma.msrb.org.

The Loan is a general obligation of the City and, if the Amounts due on the Loan are not paid within the fiscal year in which the Loan is issued, the Act provides that the Loan will be deemed to be non-electoral debt of the City enforceable in the manner of a general obligation of the City, which, unless otherwise funded, shall be included in the budget of the City for the ensuing fiscal year and payable from the taxes and revenues of such ensuing year without regard to any other debt limitations which may be imposed upon the City by the Act.

Legal Opinion: A Legal Opinion will be provided documenting (i) the validity of the Loan, (ii) that the Loan will be legally binding general obligations of the Borrower payable as to both principal and interest from ad valorem taxes which may be levied on all taxable property subject to taxation by the Borrower without limitation as to rate or amount, and (iii) that interest will be excluded from federal and state income tax.

Private Placement: The Loan is being offered pursuant to private placement. No offering document constituting an "official statement" will be prepared, and the Loan will be in minimum authorized denominations of \$100,000. The Lender will deliver a certificate to the Borrower and Bond Counsel at Settlement to the effect that the Lender agrees to purchase the Loan without an official statement and will not reoffer the Loan for sale or sell the Loan to more than thirty-five persons each of whom the Borrower reasonably believes: (i) has such knowledge and experience in financial and business matters that it is capable of evaluating the merits and risks of the prospective investment; and (ii) is not purchasing for more than one account or with a view to distributing the Loan and shall agree on the foregoing limitations within the meaning of Section 1273 of the Internal Revenue Code of 1986, as amended.

Rating & Disclosure: The Loan will not be rated and no offering document constituting an "official statement" will be prepared.

Interest Rate: Based upon current market conditions, the indicative fixed tax-exempt rate is: **1.209%.**

This fixed rate is preliminary and subject to change based upon but not limited to, market conditions, due diligence, and final structure.

The final fixed rate may be set up to seven (7) calendar days prior to closing.

Based upon current market conditions, the indicative tax-exempt variable rate is **0.831%** based on the formula:

$$\text{Rate} = 79\% \times (1\text{-month LIBOR} + 90 \text{ bps}).$$

This rate is subject to a floor of 0.80% and will be capped at a maximum rate of 4.50%.

If the Lender, in its sole discretion, determines that (a) (i) the interest rate applicable to LIBOR loans cannot be readily determined or does not adequately and fairly reflect the cost of making or maintaining the Note or (ii) deposits of a type and maturity appropriate to match fund the Note are not available to the Lender, and such circumstances are likely to be permanent, (b) ICE Benchmark Administration (or any Person that takes over the administration of such rate) ceases its administration and publication of interest settlement rates for deposits in U.S. dollars, or (c) the supervisor for the administrator of the publication of such rates or a Governmental Authority having jurisdiction over the Lender has made a public statement identifying a specific date after which such interest settlement rate shall no longer be used for determining interest rates for loans, then the Lender shall determine an alternate rate of interest to the LIBOR rate taking into account then prevailing standards in the market for determining interest rates for comparable commercial loans made by financial institutions in the United States at such time, provided that such alternate rate of interest shall be based on an index and with an applicable spread that is equivalent to the index and applicable spread in existence immediately prior to the time that one of the circumstances described in clause (a), (b) or (c) above occurs. The Lender and the Borrower hereby agree to enter into an amendment to the Loan Agreement, if necessary, to incorporate such alternate interest rate and other accompanying changes to the Loan Agreement that are reasonably determined to be applicable thereto. In the event that the alternate rate of interest determined pursuant to this Section shall be less than zero, such rate shall be deemed to be zero for the purposes of the Loan Agreement.

Other Fees

The Lender will charge no fees for this loan; however the Borrower is responsible for any issuer expenses incurred including, but not limited to bond counsel, Lender's Counsel, verification agent and municipal advisor. Lender's Counsel will be at a cost to the Borrower not to exceed \$5,000 to be paid at closing.

Covenants:

Usual and customary for transactions of this type, including, without limitation, the following: (i) payment of obligations; (ii) compliance with laws; (iii) maintenance of books and records; (iv) use of proceeds; and (vi) such other customary covenants for a transaction of this type. The Lender requires that the Borrower submit the following information to the Lender annually, unless otherwise made publicly available on emma.msrb.org:

1. Audited annual financial statements of the Borrower, delivered to the Lender within nine months of the close of the Borrower's fiscal year.
2. Most recent tax roll data that shows the assessed value of the Borrower and top ten taxpayers.
3. Proposed and approved budgets of the Borrower.

4. Statement of monthly earned income tax collections deposited into the Debt Service Account.

Any other information as the Lender may reasonably request.

Conditions Precedent:

The Closing will be conditioned upon: (i) the negotiation, execution and delivery of definitive documentation in form and substance satisfactory to the Lender, (ii) delivery to the Lender in form and substance satisfactory to the Lender of a standard approving opinion of bond counsel regarding validity and legality of, and security for the Loan, an opinion of Borrower's counsel in customary form, and closing certificates of officials of the Borrower's as to the issuance of the Loan, (iii) receipt of satisfactory financial information, budgets, projections, etc. as requested by the Lender, and (iv) such other conditions precedent as are customary for a financing of the type contemplated.

Representations and Warranties:

Usual and customary for transactions of this type including, without limitation, the following: (i) legal existence, qualification and power; (ii) due authorization and no contravention of law, contracts or organizational documents; (iii) governmental and third party approvals and consents; (iv) enforceability; (v) accuracy and completeness of specified financial information; (vi) no material litigation; (vii) no default; (viii) compliance with laws; (ix) no bankruptcy or insolvency proceedings; (x) no Material Adverse Change with respect to the Borrower and its subsidiaries; and (xi) such other representations and warranties as are customary for a transaction of this type.

"Material Adverse Change" means, with respect to any change or effect, a material adverse change in, or effect on, as the case may be, (a) the business, assets, operations or condition, financial or otherwise, of the Borrower and its subsidiaries taken as a whole, (b) the ability of the Borrower and its subsidiaries, taken as a whole, to perform their obligations under the loan documents to which they are a party, (c) the rights of, or benefits available to, the Lender under the loan documents, or (d) the legality or enforceability of any loan document.

Redemption:

Prepayment is allowed at any time.

Expiration:

This term sheet will expire forty (40) days from the Submission Date.

[Remainder of Page Left Blank]

WEBSTER PUBLIC FINANCE CORPORATION

IN WITNESS WHEREOF, and acknowledging acceptance and agreement of the foregoing, the Lender and the Borrower affix their signatures hereto on this 5th day of November, 2020.

By:

Name:

Title:



Xaykham Khamsyvoravong

Delegate for Christopher Motl

Vice President

Webster Public Finance Corporation

CITY OF SCRANTON, PENNSYLVANIA

IN WITNESS WHEREOF, and acknowledging acceptance and agreement of the foregoing, the Lender and the Borrower affix their signatures hereto on this ____ day of _____, 2020.

By:

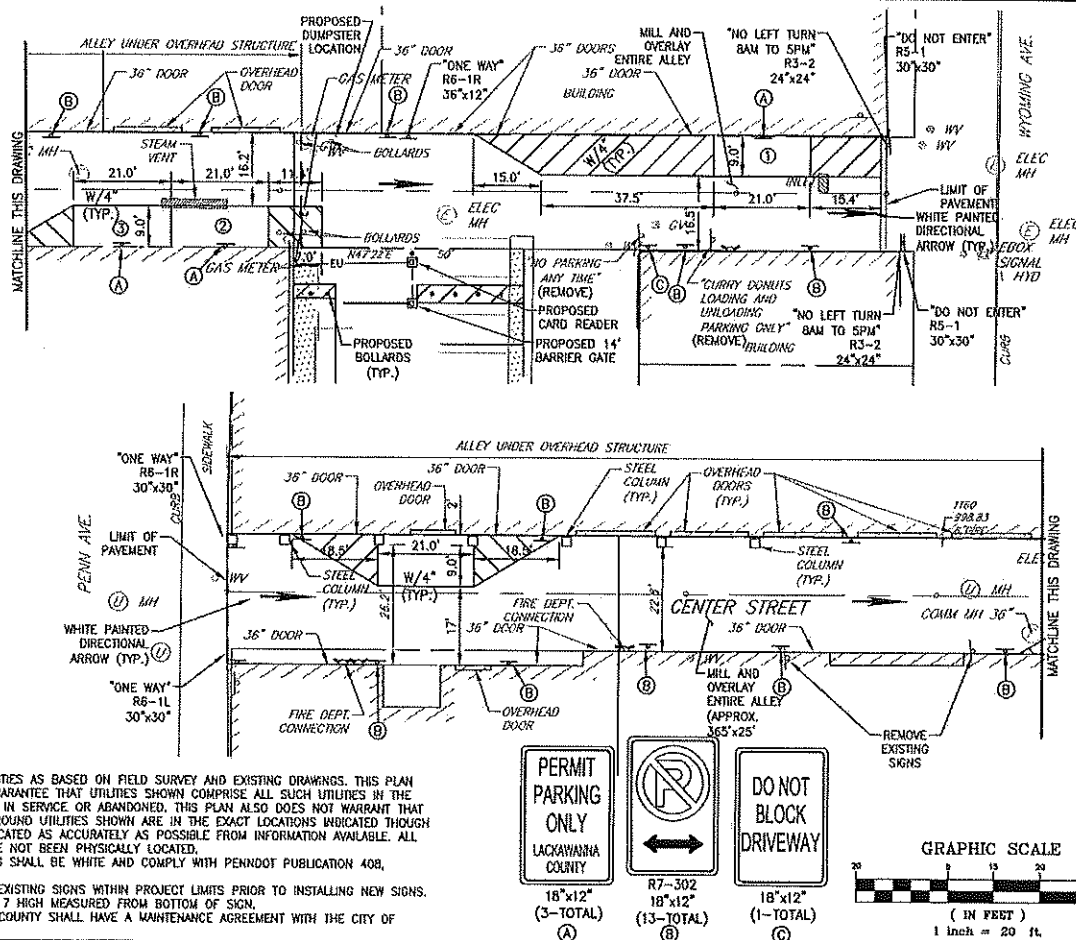
Name:

Title:

MEMORANDUM

TO: Members of Scranton City Council
FROM: Kevin C. Hayes, Esq., City Council Solicitor
DATE: November 10, 2020
RE: Suggested Amendments to **File of Council No. 31, 2020**
(Authorizing the Designation of the 300 Block of Center Street)

It is contemplated that File of Council No. 31, 2020 (Authorizing the Designation of the 300 Block of Center Street) will appear in the Agenda's Seventh Order for Council's November 17, 2020 Public Meeting. I have reviewed this ordinance and suggest the revisions which are identified in the attached document. Specifically, Frank Summa, P.E., Lackawanna County's engineer, has provided a revised design plan dated November 4, 2020 which calls for certain changes to the initial design plan which was dated September 29, 2020, including the addition of a "Do Not Block Driveway" sign and an additional parking spot. These revisions were made by the County in response to certain concerns raised by neighboring businesses.



Frank J. Summa
11/4/2020

Signature and Seal
Professional License No. **PE080712**

**LACKAWANNA
CTY. PARKING**
CITY OF SCRANTON
LACKAWANNA Co.

Sheet Title
**CENTER STREET
PARKING**

| | |
|--------------------|---------------------------|
| Date 11.04.2020 | Project No. 2019701.00 |
| Scale AS NOTED | Sheet No. |
| Drawn DBA | C-4 |
| Checked GGC | |

FILE OF THE COUNCIL NO. _____

2020

AN ORDINANCE

AMENDING FILE OF THE COUNCIL NO. 95, 2015, AN ORDINANCE, ENTITLED "AMENDING FILE OF THE COUNCIL NO. 79, OF 2015, AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 145 OF 2007 ENTITLED 'AN ORDINANCE RENAMING THE EMERGENCY AND MUNICIPAL SERVICES TAX ("EMST") TO LOCAL SERVICE TAX ("LST")' AND BY IMPOSING A WITHHOLDING OF \$52.00 FOR THE CALENDAR YEAR 2015 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER BY INCREASING THE LOCAL SERVICES TAX WITHHOLDING FROM \$52.00 TO \$156.00 FOR THE CALENDAR YEAR 2015, AND PROVIDING FOR AN EXEMPTION FROM TAXATION FOR ANY PERSON WHOSE TOTAL EARNED INCOME AND NET PROFITS FROM ALL SOURCES WITHIN THE MUNICIPALITY IS LESS THAN \$15,600.00 FOR THE CALENDAR YEAR 2015 UNDER AND PURSUANT TO THE LOCAL TAX ENABLING ACT, ACT 511 OF 1965, P.L. 1257, 53 P.S. §6924.101 ET. SEQ., AND THE MUNICIPALITIES RECOVERY ACT, ACT 47 OF 1987, P.L. 246, 53 P.S. § 11701.101 ET. SEQ. AND THEIR RESPECTIVE AMENDMENTS" EFFECTIVE RETROACTIVE TO JANUARY 1, 2020. THIS TAX ENABLES THE CITY OF SCRANTON TO CONTINUE TO LEVY THE LOCAL SERVICES TAX AT THE FISCAL YEAR 2019 RATE FOR FISCAL YEAR 2020 FOR A TOTAL MAXIMUM LOCAL SERVICES RATE OF ONE HUNDRED FIFTY-SIX (\$156.00) DOLLARS FOR THE FISCAL YEAR 2020 EFFECTIVE RETROACTIVE TO JANUARY 1, 2020.

WHEREAS, the Local Tax Enabling Act (hereinafter "LTEA"), specifically 53 P.S. § 6924.311 (8), limits a municipality to levying a \$52.00 Local Services Tax on individuals who are employed within its limits; and

WHEREAS, the Municipalities Financial Recovery Act (hereinafter "MFRA"), 53 P.S. § 11701.123 (c)(1) and (d)(1), allows a Municipality that has adopted a Plan under 53 P.S. § 11701.241, et.seq. to petition the Court of Common Pleas of proper jurisdiction to increase its Local Services Tax beyond the maximum amount allowed by the LTEA up to a new maximum rate of \$156.00 on individuals who are employed within its limits; and

WHEREAS, the City of Scranton approved and adopted the Act 47 Exit Plan under 53 P.S. § 11701.245 on July 28, 2017, via File of the Council No. 111, 2017, which specifically recommended an increase in the Local Services Tax to the new maximum rate allowed by law of \$156.00 for fiscal year 2015 and going forward; and

WHEREAS, based on the foregoing, the City of Scranton Petitioned and received Court approval to increase the Local Services Tax from \$52.00 per year to \$156.00 per year in and for fiscal year 2015; and

WHEREAS, File of the Council No. 95, 2015, which amended File of the Council No. 79, 2015, which amended File of the Council No. 145 of 2007, passed final reading by City Council on May 7, 2015 and was signed into law on May 8, 2015 imposing a withholding of

\$156.00 for the fiscal year 2015 and exempting any person from the local services tax whose total earned income and net profits from all sources within the municipality is less than \$15,600.00 for the calendar year in which the local services tax is levied.

WHEREAS, MFRA requires Municipalities seeking to continue utilizing an increased Local Services Tax to Petition the Court annually for authorization of the same; and

WHEREAS, On January 31, 2020, the City of Scranton petitioned the Court of Common Pleas of Lackawanna County for approval to continue levying the Local Services Tax at the Fiscal Year 2019 rate for Fiscal Year 2020 for a total maximum Local Services Tax rate of One Hundred Fifty-Six (\$156.00) dollars for Fiscal Year 2020; and

WHEREAS, on November 5, 2020, the Honorable Thomas J. Munley of the Court of Common Pleas of Lackawanna County granted the City's Petition to continue levying the Local Services Tax at the Fiscal Year 2019 rate for Fiscal Year 2020 for a total maximum Local Services Tax rate of One Hundred Fifty-Six (\$156.00) dollars for Fiscal Year 2020, a copy of which is attached hereto as Exhibit "A".

NOW, THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF SCRANTON, that Section 1 of File of the Council No. 95 of 2015 shall be amended to read as follows:

SECTION 1.

§ 231-9. Levy of tax

For specific revenue purposes, an annual tax is hereby levied and assessed, commencing January 1, 2020; upon the privilege of engaging in an occupation with a primary place of employment within the City of Scranton during the tax year. Each natural person who exercises such privilege for any length of time during any tax year shall pay the tax for that year in the amount of \$156.00, assessed on a pro rata basis, in accordance with the provisions of this article.

This tax may be used solely for the following purposes as the same may be allocated by the City of Scranton from time to time: (1) emergency services, which shall include emergency medical services, police services and/or fire services; (2) road construction and/or maintenance; (3) reduction of property taxes; or (4) property tax relief through implementation of a homestead and farmstead exclusion in accordance with 53 Pa.C.S. Ch. 85, Subch. F (relating to homestead property exclusion). The political subdivision shall use no less than twenty-five percent of the funds derived from the tax for emergency services. This tax is in addition to all other taxes of any kind or nature heretofore levied by the political subdivision. The tax shall be no more than \$156.00 on each person for each calendar year, irrespective of the number of political subdivisions within which a person may be employed.

SECTION 2. Section 6 of File of the Council No.95 of 2015, is hereby amended as follows:

The tax imposed herein is effective for fiscal year 2020.

SECTION 3. In all other respects, File of the Council No. 95 of 2015 shall remain in full force and effect.

SECTION 4. This Ordinance is enacted under and pursuant to the Municipalities Financial Recovery Act, 53 P.S. § 11701.123 (c)(1) and (d)(1).

SECTION 5. If any section, clause, provision or portion of this Ordinance shall be held invalid or unconstitutional by any court of competent jurisdiction, such decision shall not affect any other section, clause, provision or portion of this ordinance so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this ordinance or any portion thereof from time to time as it shall deem advisable in the best interests of the promotion of the purposes and intent of this ordinance, and the effective administration thereof.

SECTION 6. This Ordinance shall be effective retroactive to January 1, 2020.

SECTION 7. This Ordinance is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

ORDINANCE AMENDING FILE OF THE COUNCIL NO. 95, 2015, AN ORDINANCE, ENTITLED “AMENDING FILE OF THE COUNCIL NO. 79, OF 2015, AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 145 OF 2007 ENTITLED ‘AN ORDINANCE RENAMING THE EMERGENCY AND MUNICIPAL SERVICES TAX (“EMST”) TO LOCAL SERVICE TAX (“LST”)” AND BY IMPOSING A WITHHOLDING OF \$52.00 FOR THE CALENDAR YEAR 2015 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER BY INCREASING THE LOCAL SERVICES TAX WITHHOLDING FROM \$52.00 TO \$156.00 FOR THE CALENDAR YEAR 2015, AND PROVIDING FOR AN EXEMPTION FROM TAXATION FOR ANY PERSON WHOSE TOTAL EARNED INCOME AND NET PROFITS FROM ALL SOURCES WITHIN THE MUNICIPALITY IS LESS THAN \$15,600.00 FOR THE CALENDAR YEAR 2015 UNDER AND PURSUANT TO THE LOCAL TAX ENABLING ACT, ACT 511 OF 1965, P.L. 1257, 53 P.S. §6924,101 ET. SEQ., AND THE MUNICIPALITIES RECOVERY ACT, ACT 47 OF 1987, P.L. 246, 53 P.S. § 11701.101 ET. SEQ. AND THEIR RESPECTIVE AMENDMENTS” EFFECTIVE RETROACTIVE TO JANUARY 1, 2020. THIS TAX ENABLES THE CITY OF SCRANTON TO CONTINUE TO LEVY THE LOCAL SERVICES TAX AT THE FISCAL YEAR 2019 RATE FOR FISCAL YEAR 2020 FOR A TOTAL MAXIMUM LOCAL SERVICES RATE OF ONE HUNDRED FIFTY-SIX (\$156.00) DOLLARS FOR THE FISCAL YEAR 2020 EFFECTIVE RETROACTIVE TO JANUARY 1, 2020.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

Local Services Tax – continue levying \$156.00 for 2020 Fiscal year

- *Summary and Facts of the legislation*

The Local Tax Enabling Act (hereinafter “LTEA”), specifically 53 P.S. § 6924.311 (8), limits a municipality to levying a \$52.00 Local Services Tax on individuals who are employed within its limits. The Municipalities Financial Recovery Act (hereinafter “MFRA”), 53 P.S. § 11701.123 (c)(1) and (d)(1), allows a Municipality that has adopted a Plan under 53 P.S. § 11701.241, et.seq. to petition the Court of Common Pleas of proper jurisdiction to increase its Local Services Tax beyond the maximum amount allowed by the LTEA up to a new maximum rate of \$156.00 on individuals who are employed within its limits. The City of Scranton approved and adopted the Act 47 Exit Plan under 53 P.S. § 11701.245 on July 28, 2017, via File of the Council No. 111, 2017, which specifically recommended an increase in the Local Services Tax to the new maximum rate allowed by law of \$156.00 for fiscal year 2015 and going forward. The City of Scranton petitioned and received Court approval to increase the Local Services Tax from \$52.00 per year to \$156.00 per year in and for fiscal year 2015 and was signed into law on May 8, 2015 imposing a withholding of \$156.00 for the fiscal year 2015 and exempting any person from the local services tax whose total earned income and net profits from all sources within the municipality is less than \$15,600.00 for the calendar year in which the local services tax is levied. MFRA requires Municipalities seeking to continue utilizing an increased Local Services Tax to Petition the Court annually for authorization of the same. On January 31, 2020, the City of Scranton Petitioned the Court of Common Pleas of Lackawanna County for approval to continue levying the Local Services Tax at the Fiscal Year 2019 rate for Fiscal Year 2020 for a total maximum Local Services Tax rate of One Hundred Fifty-Six (\$156.00) dollars for Fiscal Year 2020. On November 5, 2020, the Honorable Thomas J. Munley of the Court of Common Pleas of Lackawanna County granted the City’s Petition to

continue levying the Local Services Tax at the Fiscal Year 2019 rate for Fiscal Year 2020 for a total maximum Local Services Tax rate of One Hundred Fifty-Six (\$156.00) dollars for Fiscal Year 2020.

- ***Purpose – please include the following in the explanation:***

- ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

In seeking to levy the Local Services Tax at \$156.00 represents a vital aspect of bringing meaningful change to Scranton's economic status.

- ***What are the benefits of doing this/Down-side of doing this?***

Benefits -

The Local Service Tax will generate considerable income at the higher rate of \$156.00.

Downside -

N/A.

- ***How does this legislation relate to the City's Vision/Mission/Priorities***

See above.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***
- ***Benefits (initial and ongoing)***
- ***Funding Sources – please include the following in the explanation:
If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.***

The Local Service Tax generates significant income for the City.

- ***Priority Status/Deadlines, if any***

High priority as we just received the Order from the Court dated November 5, 2020 allowing us to introduce and publicly deliberate an ordinance to continue to levy the Local Services Tax at the Fiscal Year 2019 Rate for the Fiscal Year of 2020.

- ***Why should the Council unanimously support this legislation?***

See above.

Legislative Cover Sheet – Scranton City Council

- *Include any other pertinent details and/or relevant information that the Council should be aware of:*

None at this time.



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 95, 2015, AN ORDINANCE, ENTITLED "AMENDING FILE OF THE COUNCIL NO. 79, OF 2015, AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 145 OF 2007 ENTITLED 'AN ORDINANCE RENAMING THE EMERGENCY AND MUNICIPAL SERVICES TAX ("EMST") TO LOCAL SERVICE TAX ("LST")' AND BY IMPOSING A WITHHOLDING OF \$52.00 FOR THE CALENDAR YEAR 2015 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER BY INCREASING THE LOCAL SERVICES TAX WITHHOLDING FROM \$52.00 TO \$156.00 FOR THE CALENDAR YEAR 2015, AND PROVIDING FOR AN EXEMPTION FROM TAXATION FOR ANY PERSON WHOSE TOTAL EARNED INCOME AND NET PROFITS FROM ALL SOURCES WITHIN THE MUNICIPALITY IS LESS THAN \$15,600.00 FOR THE CALENDAR YEAR 2015 UNDER AND PURSUANT TO THE LOCAL TAX ENABLING ACT, ACT 511 OF 1965, P.L. 1257, 53 P.S. §6924.101 ET. SEQ., AND THE MUNICIPALITIES RECOVERY ACT, ACT 47 OF 1987, P.L. 246, 53 P.S. § 11701.101 ET. SEQ. AND THEIR RESPECTIVE AMENDMENTS" EFFECTIVE RETROACTIVE TO JANUARY 1, 2020. THIS TAX ENABLES THE CITY OF SCRANTON TO CONTINUE TO LEVY THE LOCAL SERVICES TAX AT THE FISCAL YEAR 2019 RATE FOR FISCAL YEAR 2020 FOR A TOTAL MAXIMUM LOCAL SERVICES RATE OF ONE HUNDRED FIFTY-SIX (\$156.00) DOLLARS FOR THE FISCAL YEAR 2020 EFFECTIVE RETROACTIVE TO JANUARY 1, 2020.

Very truly yours,

Joseph A. O'Brien
Joseph A. O'Brien, Esquire
City Solicitor

IN RE: CITY OF SCRANTON
REQUEST TO INCREASE LOCAL
SERVICES TAX FOR FISCAL YEAR
2020

IN THE COURT OF COMMON PLEAS
OF LACKAWANNA COUNTY

2020 CV 716

ORDER

AND NOW, this 5th day of November 2020 upon consideration of the City of Scranton's Petition for Approval to Continue Levying the Local Services Tax at the Fiscal Year 2019 Rate for Fiscal Year 2020, and opposition filed thereto, and argument having been heard on September 21, 2020, it is hereby **ORDERED and DECREED** that the City of Scranton's Petition is hereby **GRANTED**.

As stated by Petitioner, "[a]pproval of this petition [does] *not* represent an increase in the Local Services Tax from fiscal years 2015, 2016, 2017, 2018, or 2019; rather, it *[permits] the City to introduce an ordinance at City Council* to continue the previously approved rate for fiscal years 2015, 2016, 2017, 2018, and 2019: One-Hundred and Fifty-Six (\$156) Dollars." (*see* Petition for Approval to Continue Levying the Local Services Tax at the Fiscal Year 2019 Rate for Fiscal Year 2020 at ¶ 27) (*emphasis added*) Pursuant to the Municipalities Financial Recovery Act, 53 P.S. §110701.101 et seq., the City of Scranton, having duly obtained an order of court, may proceed by way of its executive and legislative authorities to introduce and publicly deliberate an ordinance to continue levying the Local Services Tax at the Fiscal Year 2019 Rate of Fiscal Year 2020.

BY THE COURT:

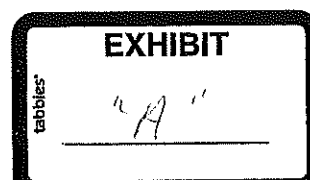


Hon. Thomas J. Munley

CLERK OF
JUDICIAL RECORDS
CIVIL DIVISION

Copies sent from Chambers via electronic mail:
Joseph Price, Esquire; John McGovern, Esquire; Marie Shumacher

MAURIE B. KELLY
LACKAWANNA COUNTY



FILE OF THE COUNCIL NO. _____

2020

AN ORDINANCE

APPROPRIATING FUNDS FOR THE EXPENSES OF THE CITY GOVERNMENT FOR THE PERIOD COMMENCING ON THE FIRST DAY OF JANUARY, 2021 TO AND INCLUDING DECEMBER 31, 2021 BY THE ADOPTION OF THE GENERAL CITY OPERATING BUDGET FOR THE YEAR 2021.

WHEREAS, it is in the best interests of the City of Scranton, and required by the Home Rule Charter, that the City pass a General City Operating Budget for the year 2021.

NOW, THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF SCRANTON that the 2021 General City Operating Budget is hereby approved and authorized in the form as attached hereto and made a part hereof.

SECTION 1. All ordinances or parts of ordinances inconsistent herewith are hereby repealed.

SECTION 2. If any section, clause, provision or portion of this Ordinance shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decision shall not affect any other section, clause, provision or portion of this Ordinance, so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Ordinance or any portion thereof from time to time as it shall deem advisable in the best interests of the promotion of the purposes and intent of this Ordinance, and the effective administration thereof.

SECTION 3. This Ordinance shall take effect January 1, 2021.

SECTION 4. This Ordinance is enacted by the Council of the City of Scranton under the Authority of the Act of the Legislature, April 13, 1972, Act No. 62 known as the "Home Rule Charter and Optional Plans Law" and any other applicable law arising under the laws of the State of Pennsylvania.

RECEIVED
NOV 13 2020

OFFICE OF CITY
COUNCIL/CITY CLERK



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 13, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

Dear Honorable Council Members:

ATTACHED IS AN ORDINANCE APPROPRIATING FUNDS FOR THE EXPENSES OF THE CITY GOVERNMENT FOR THE PERIOD COMMENCING ON THE FIRST DAY OF JANUARY, 2021 TO AND INCLUDING DECEMBER 31, 2021 BY THE ADOPTION OF THE GENERAL CITY OPERATING BUDGET FOR THE YEAR 2021.

Very truly yours,

Joseph A. O'Brien /s/

Joseph A. O'Brien, Esquire
City Solicitor

/PAL



City of Scranton 2021 Budget



Mayor Paige Gebhardt Cognetti
Business Administrator Carl Deeley



City of Scranton 2021 Budget

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 - E. Salary Detail by Department**



DEPARTMENT OF BUSINESS ADMINISTRATION

CITY HALL • 340 NORTH WASHINGTON AVENUE • SCRANTON, PENNSYLVANIA 18503 • PHONE: 570-348-4118 • FAX: 570-348-4225

November 15, 2020

City Clerk Lori Reed
City of Scranton
340 North Washington Avenue
Scranton, PA 18503

Dear Ms. Reed,

According to Section 902 of the Home Rule Charter, the City Administration hereby submits the 2021 Operating Budget. The Budget document includes estimated income from taxes, fees and other revenue for the fiscal year. The document also details proposed expenditures by department including employee- related expenses. Capital expenditures were submitted in the Capital Budget. Proposed expenditures do not exceed the estimated revenue income.

Sincerely,

Carl Deeley
Business Administrator
City of Scranton
570 800 3312



Message from Mayor Cignetti: City of Scranton 2021 Budget

2020: A year of challenges and surprises

When I ran for this office last year, I pledged to end corruption, increase transparency and communication, implement responsible operational management and fiscal accountability, explore tax reforms, cut red tape, fix broken infrastructure, focus on neighborhoods, housing and health, chart a course for economic growth strategies, and foster unity over division.

While 2020 was not the year that any of us could have imagined – the challenges we have all faced have been monumental – my administration has stayed on track to address the wide range of structural problems that we must repair to realize the bright future that Scranton can achieve.

Our achievements include:

- ✓ Focus on ethical governance and accountability, following laws and policies, enforcing the City's Code of Ethics, and running an inclusive, service-oriented government based on resident needs, not political connections
- ✓ Merit-based hiring, wider advertisement of employment opportunities, and internship opportunities across city departments to attract talent to city government
- ✓ Robust communication channels to and from residents, including weekly virtual town halls, social media platforms, Scranton311 email, a comprehensive 2020 Census campaign, voter education, and attending City Council meetings on a regular basis
- ✓ Increased online/remote access to resident and business services
- ✓ Expenditure curtailment across departments, careful cash flow management, and a clear message that "the budget is not cash"
- ✓ Focus on emergency planning, including police, fire, public works, code enforcement, and information technology, and standing up public health capabilities
- ✓ Increased tax collection efforts and a working group exploring structural changes to Scranton's tax regime, with the goal of reforming the structure to encourage business development and investment while protecting residents living on fixed incomes

- ✓ Strict adherence to licensing and permitting ordinances, while tracking potential improvements to propose to City Council in 2021 to ease doing business
- ✓ Communication with business owners to understand their needs and how the City can cut red tape without compromising health or safety
- ✓ Ongoing flood and road infrastructure maintenance, study of Keyser Valley flooding issues to unlock large-scale funding, lobbying for federal and state dollars for storm water infrastructure
- ✓ Ongoing street signs replacement program and crosswalk rehabilitation
- ✓ Pop-up City Halls in 22 neighborhood locations and establishment of Mayor's Volunteer Corps to adopt parks for cleanups and match volunteers with neighbors in need
- ✓ Focus on public health: using city as an information hub, developing strong relationships with hospitals and healthcare providers during COVID-19 pandemic, advocating for vaccinations, proposing exploration of Public Health Department
- ✓ Development of five-year consolidated economic development plan and aggressive pursuit of grant opportunities and partnerships to attract and enable economic growth
- ✓ Measures to address bias in policing, systemic racial discrimination, and improve community services and relations
- ✓ Continued message that more unites us than separates us, as long as we work toward solutions together

I am very proud of what we have been able to accomplish this year, in spite of – and, in many cases, accelerated by – the COVID-19 pandemic and related economic downturn.

Our 2020 year-to-date expenditures are on track to fall under anticipated revenues. In a year where every government, nonprofit organization, and business has had to recalibrate to stay afloat, we have managed our city finances carefully and strictly.

We were one of the first local governments to furlough employees when we identified that tax revenue could be severely impacted. This was a very difficult decision – of course the impact was far more challenging for the employees who sacrificed – but it was necessary, and the actions of other government bodies following our decision show that we were prudent in acting early.

2021: Looking ahead by managing short- and long-term obstacles

We built the 2021 Budget using the same frame that we used to manage through this year: austerity without compromising essential city services.

The 2021 Budget takes this frame one step further, mapping out a city organization better suited to manage a modern government for a city of 80,000. To get our house in order, we must enhance the management structure to improve competency and retention, which will enable the achievement of cost-effective, long-term success.

We have crafted a management structure that begins to enable the executive to engage with a city of 80,000 residents as well as manage the more than 500 employees in the organization. Constituent services are a focus, as well as project management, modern human resources, public health, emergency public works services, neighborhood engagement, recreation for Scranton children, and technology security and support.

In the Budget you will see salaries for senior managers that are more consistent and set at a minimum to compensate their skills and tasks (and are still low compared with what they would be in most municipalities or compared with some nearby public entities). We have created tiers of manager levels and salaries which will help us recruit talent to city government and build a bench for future City leaders.

With this structure in place in 2021, we hope to establish a baseline for what managing the City of Scranton looks like, and set ourselves up for success in 2022 and beyond as the economy hopefully takes a turn for the better.

We must invest now in the human capital, technology systems, and physical infrastructure that will put us on track to true long-term financial health and allow us to capture investment. Through capital budgeting and maintenance planning, we must maintain what we have to save ourselves from avoidable future expenses.

With a serious effort to analyze tax reform options running parallel to these efforts, we will have a real shot at achieving the type of economic growth we would all like to see.

This is a beginning. After nearly a year working within a budget that I inherited, and analyzing what we do, how we do things, and who we are in Scranton city government, I am excited to put forth a document that is a guide to better management, enables inclusive talent recruitment, builds more accountability into our systems, and keeps us all safe.

A budget is not a cash account. These are taxpayers' hard-earned dollars. We are working hard every day to be responsible stewards of these funds to keep Scranton safe and healthy, and steer the City of Scranton onto a course to grow and thrive.

A handwritten signature in cursive script, reading "Paige Gebhardt Cognetti". The signature is written in dark ink and is enclosed within a faint, light-colored circular outline.

Paige Gebhardt Cognetti
Mayor, City of Scranton
November 15, 2020



City of Scranton 2021 Budget Overview

Unlocking Our Potential: Recovery, Restructuring, Aligning with a Strategic Plan

Next year is about recovery from the COVID-19 pandemic and its economic impact, but we cannot stop working toward our long-term vision for Scranton as a regional hub for business and culture. We all hope to exit the down economy, and while that happens maintain and improve city services, and equip the City for future unforeseen events.

The 2021 budget is aimed at improving the City's competitiveness to attract new residents and businesses. In order to do this, we must restructure City management and some departments, building a city government capable of change management, focused on public health and quality of life, and with the critical support of technology and governance infrastructure.

These efforts must align with a shared vision for all stakeholders in Scranton.

We are in the process of setting up working groups and processes to build toward a "strategic plan" for the City's future, including modernizing the City's tax structure to appeal to residents and businesses, working with private, non-profit, and community partners to set a vision for revitalization and economic development. (We have an Appalachian Regional Commission grant for an economic development strategic plan, which is a key element of the larger effort.)

Recovery

The COVID-19 pandemic has impacted every family and every organization, across sectors and industries. Through 2020 many local businesses suffered revenue losses and unplanned capital costs to implement operational changes in line with CDC guidelines.

The City of Scranton continues to support local businesses as best we can by providing grant funds, loans to small businesses, eliminating code enforcement processes and fees where possible to enable COVID-19 precautions, supporting events like the Rally for Restaurants, and promoting local businesses through social media.

As the COVID-19 pandemic is likely to continue through the first quarter of 2021 or longer, the City will continue to be proactive through the communication and coordination of relief programs.

Federal programs meant to help governments have yet to provide direct relief to the City of Scranton, including CARES Act funding. Were it not for projected revenue loss due to COVID-19 impacts, the 2021 Budget would balance without a need for revenue loss protection options.

The Mayor and City Council continue to advocate for direct fiscal relief for local governments through a new federal stimulus program. The 2021 Budget does not include revenues from CARES Act funding or from a potential subsequent stimulus, though we will continue to advocate for such funding and will use this Budget as an example of why the funding is necessary and how it would be responsibly spent on services for Scranton residents.

Restructuring Scranton City Government

The City requires an organization that can deliver services now and on such a strategic plan. The current organization does not have the capacity to adequately manage day to day operations and make necessary improvements. Incremental change is not sufficient to drive service and cost improvements in a timely manner.

The design of the organization must improve for us to manage effectively. This includes dedicated project managers in Business Administration and Public Works, more dedicated financial analysis and internal control capabilities, and a focus on emergency preparedness, among other changes.

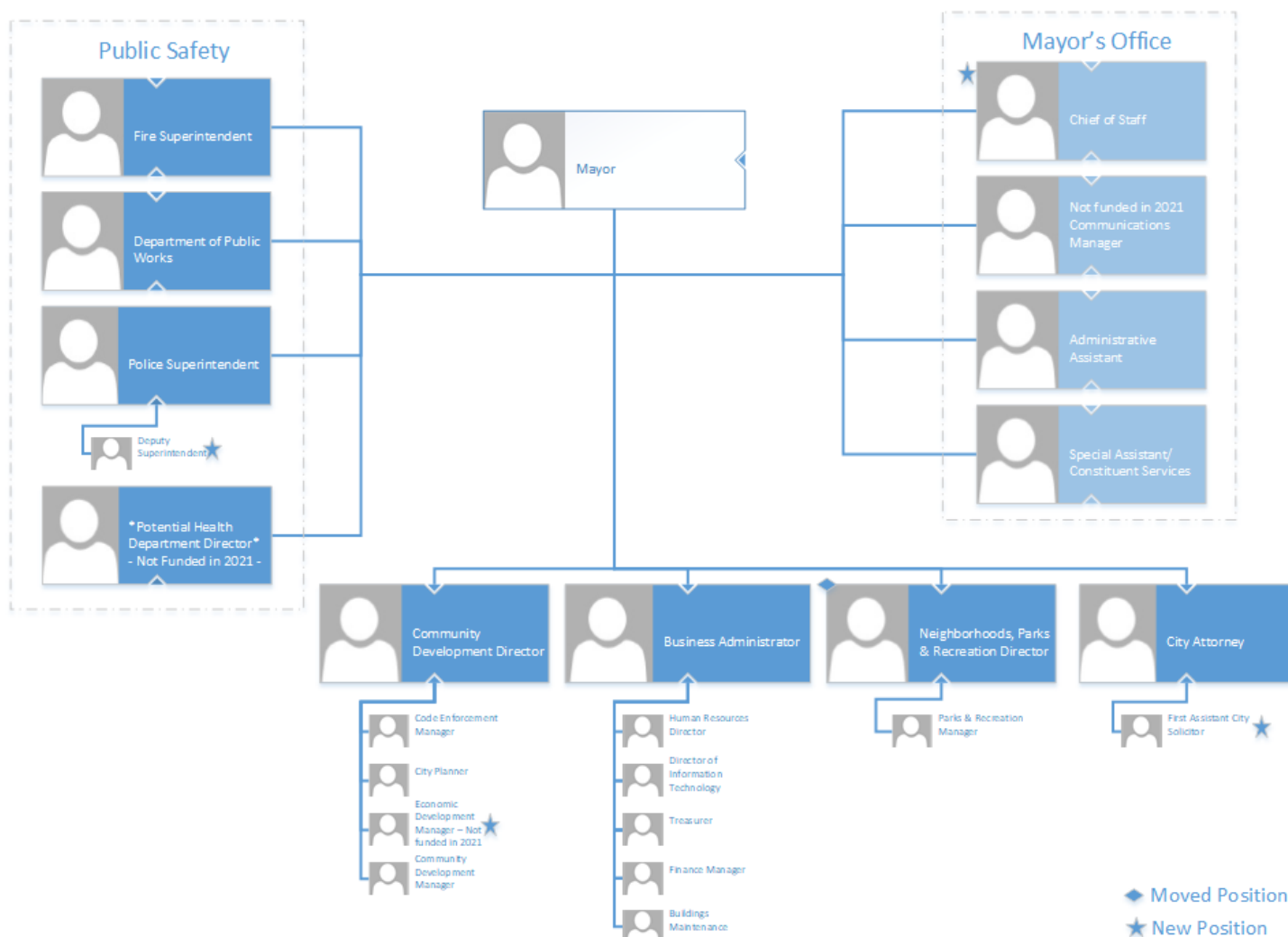
The following organizational changes reflect the resources needed to drive a strategic plan; the key drivers for this change are public health and safety, transparency, process efficiency, and community and neighborhood engagement, which focus on Scranton children and marginalized communities.

Not all new positions will be funded in 2021, but we believe it is critical to show the long-term vision for what this government should look like as the economy and, hopefully, tax collections and tax structures improve to realize more revenue without burdening residents and homeowners, especially those on fixed incomes.

We cannot run this City without the right people, processes, and technology. The following organizational changes will enable us to provide the services our residents require, complete the projects we all want to see come to fruition, and set us on a path to capture growth opportunities.

The administration is working hard to earn back public trust. Not implementing these organizational improvements risks the City treading water, or backsliding, in terms of emergency preparedness, internal controls, financial and budget management, project management, and community engagement. The risk to the City of not making these changes far exceeds the dollar figures.

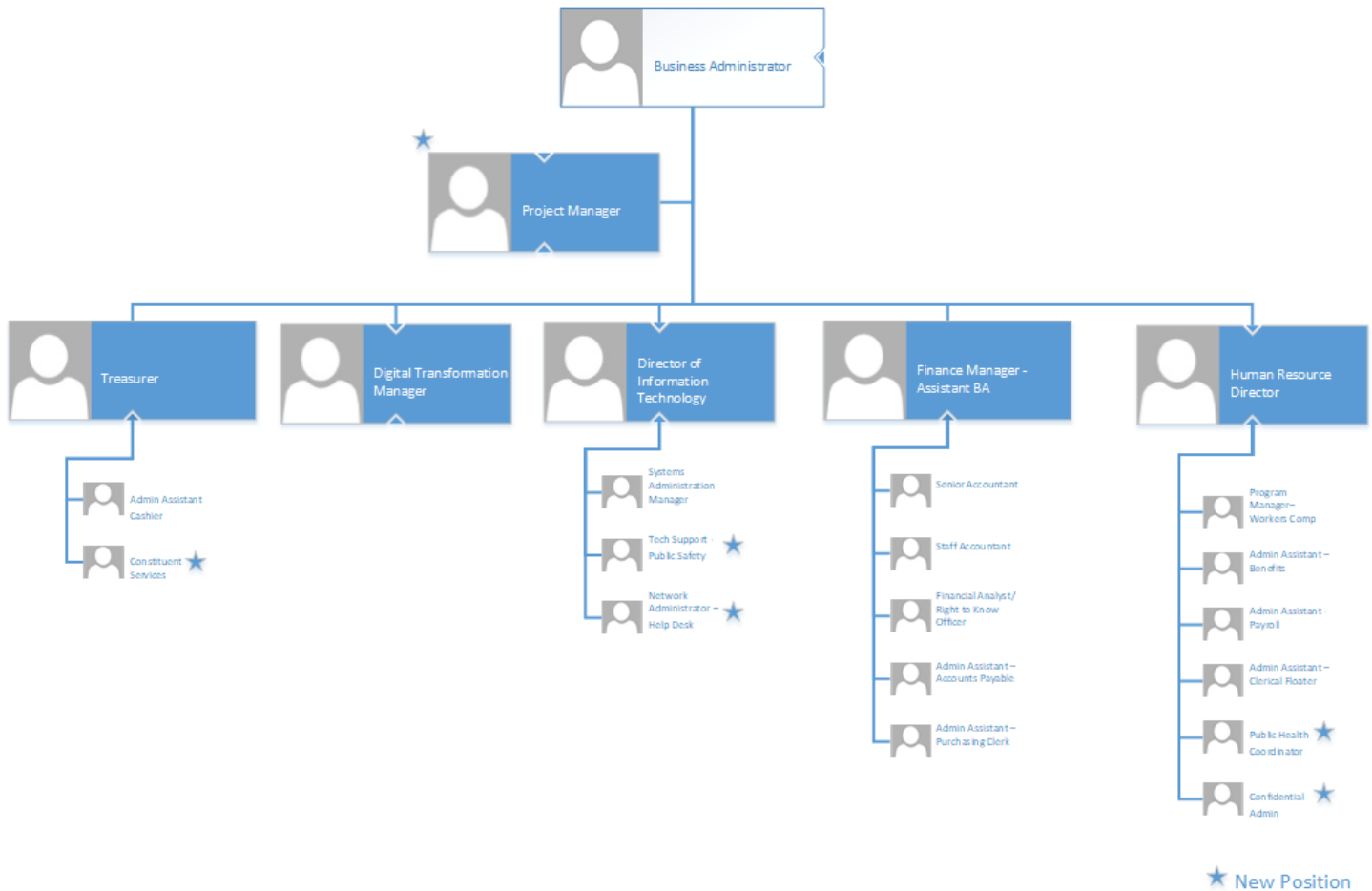
City of Scranton Organization Chart



After ten months in office, the Mayor has identified important shifts to the organization of the city and city departments. The focus of the changes is around public safety, public health, and overall quality of life and constituent services. Key changes include:

- ✓ Department of Public Works elevated to a public safety department
- ✓ Elevating the qualifications for positions, e.g., hiring a CPA as City Treasurer
- ✓ Expanding Parks and Recreation to Neighborhoods, Parks and Recreation, enabling community engagement and activities for Scranton children
- ✓ Consolidating code enforcement and city planning under a larger Office of Community Development, and looking to the future for an expansion of economic development
- ✓ Enabling effective management of city government, which employs over 500 people, by adding a Chief of Staff in the Mayor's Office

Business Administration



Business Administration will continue to be directly responsible for the general oversight, planning, direction and monitoring of departmental activities throughout the City. The general structure of Business Administration will remain current. The operating divisions of the department have been strengthened to increase quality of service and accelerate improvements.

The addition of a Project Manager will provide much needed oversight to City projects which will include Capital and process improvements. All projects will have documented timelines including milestones for key events and expenditures, weekly progress reports and in person/Zoom monthly updates. The Project Manager will report to the Business Administrator.

The City of Scranton has not kept pace with the increasing need for remote access for both residents & City employees. The Cities current operating software will not be supported past 2021. We will take advantage of this situation to make a true step-change in the City's operational efficiency and level of service to the public. To manage and maintain the City's operating platform, we are adding a

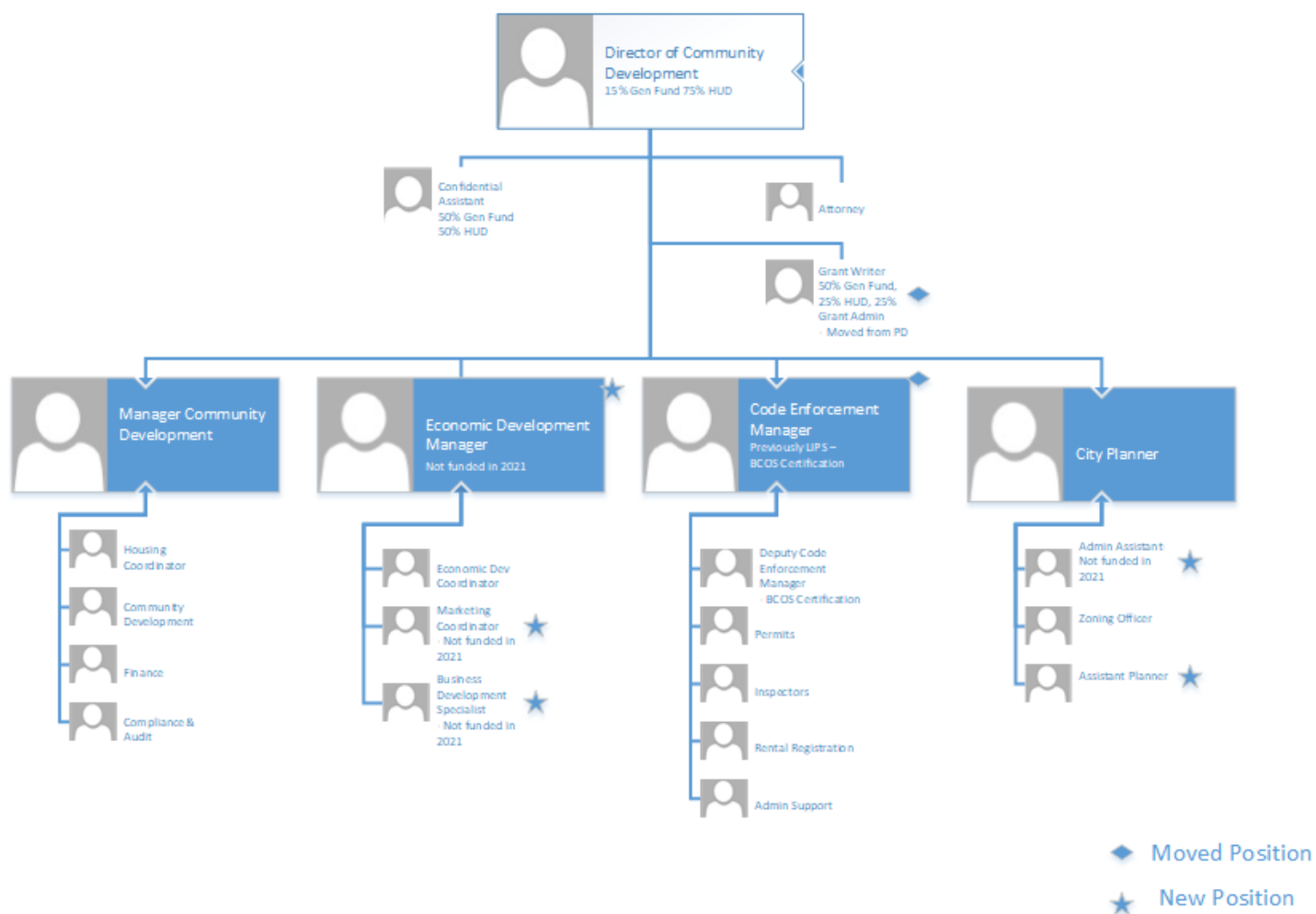
dedicated Public Safety Specialist to manage install, maintain and train users which include Police, Fire and DPW. A second position, Network Administrator, will oversee software maintenance, security, problem-solving “Help Desk” support, ticketing, and training.

Human Resources provides personnel, payroll, and support functions including direct responsibilities for oversight of the City’s insurance and workers compensation, benefit administration and hiring services. The addition of a Confidential Administrative assistant will allow the city to better manage employee development, contract negotiations and disciplinary matters to ensure compliance with City rules, policies, and procedures.

The 2021 Budget includes an allocation for transition costs. Previously there have been no funds allocated to overlapping time for outgoing and incoming employees in a job function. Running a city government is a 365-day per year task; adding in time for employees to learn their job functions with the incumbent will help support smooth transitions and continuity of services.

The City has added the position for Public Health coordinator reporting to the Human Resources Director. This position will be the central point for city health initiatives, public education, coordination and reporting. We anticipate that this position will be funded through partnership with academic, healthcare, and nonprofit organizations in 2021 and perhaps beyond.

Office of Community Development



The New Office of Community Development (OCD) will align the Office of Economic and Community Development; Grants; Code Enforcement (currently called Licensing, Inspections and Permits (LIPs)), and City Planning and Zoning under one umbrella to address community, economic, and workforce development initiatives, as well as construction and building safety, quality of life, and urban planning. This new alignment will better serve the residents and the business community by coordinating services, improving efficiencies and increasing grant funding opportunities.

The new OCD will continue to manage the U.S. Department of Housing and Urban Development (“HUD”) entitlement grants for the City of Scranton, as well as other funding for housing and neighborhood development initiatives. The Department leverages public and private funds to

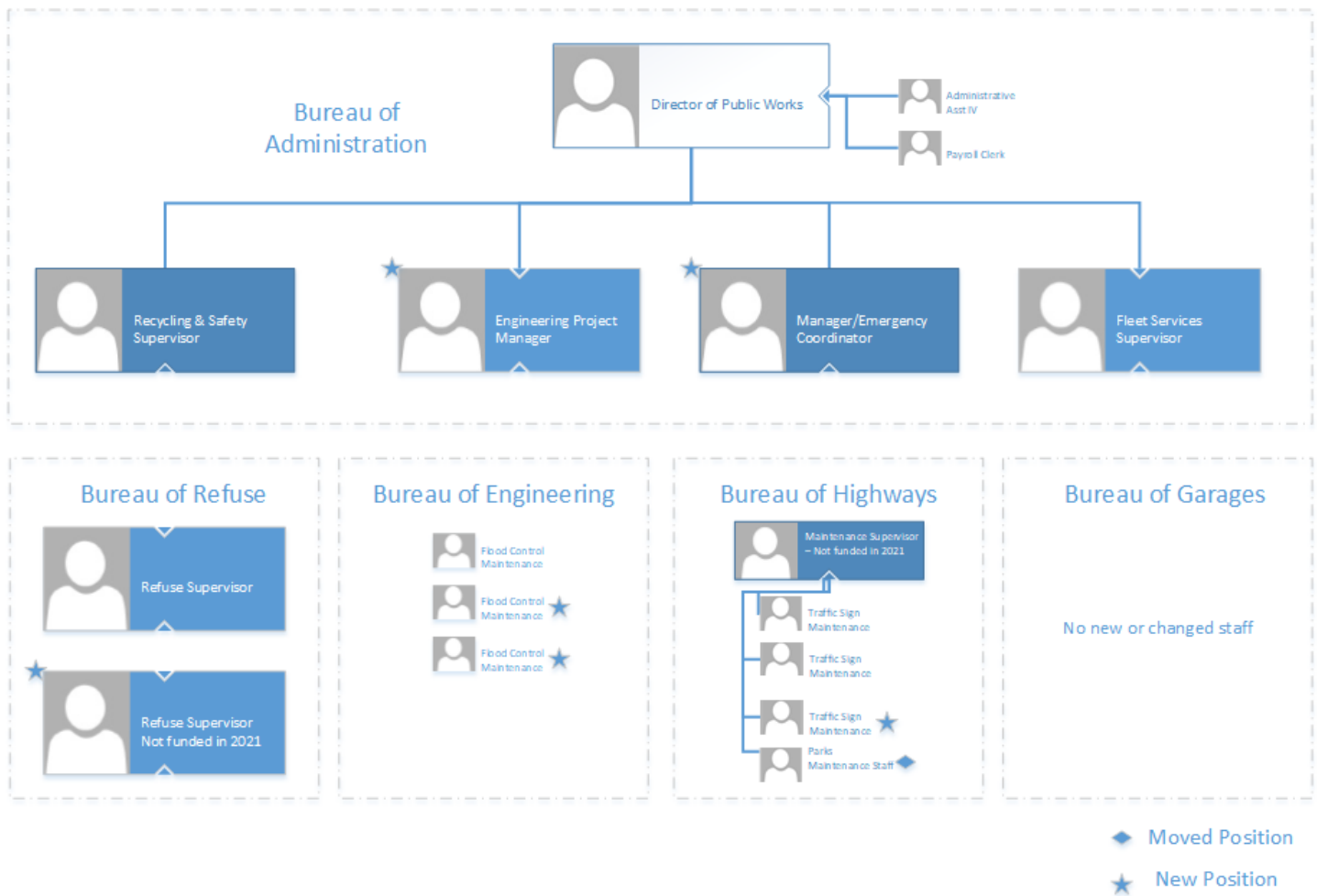
provide affordable housing, promote safe and livable neighborhoods, and stimulate economic development in Scranton.

Economic Development will be elevated and expanded under the new organization, with fundamental goals of attracting new business and streamlining how businesses can open their doors in the city. Having planning/zone and code enforcement under the same organizational structure will allow for a “One Stop” model to business development and allow us to continue to cut red tape.

In addition to expanding economic development, the new structure will expand grant writing, with the relocation of the city grant writer to this organization. The grant writer will aggressively target, federal, state and philanthropic opportunities to maximize potential funding for the City.

Code Enforcement has multiple responsibilities in support of varied City functions. The primary responsibility of Code Enforcement is the planning and regulation of land use and development in Scranton. Additionally they review building plans and site plans, processes zoning applications, and conduct inspections. Code Enforcement is also responsible for the administration and enforcement of the City’s Construction Code and Zoning and Subdivision ordinances. The purpose of the Construction Code is to provide standards to safeguard life, health, property, and public welfare by regulating and controlling the design, construction, quality of materials, use, occupancy, location and maintenance of all buildings and structures within the City of Scranton. The Department also oversees the maintenance and improvements of all City buildings, which includes City Hall, Police and Fire facilities, including the Public Safety Building, and the Department of Public Works.

Department of Public Works



The Department of Public Works (DPW) is a public safety arm for the City of Scranton. The staff at DPW work around the clock, on call seven days a week, to keep our City safe and clean.

Our organizational changes aim to provide DPW with a management structure that respects the level of safety services the department provides, and sets us on a path to improve emergency readiness, all while carefully planning capital and operating expenses, and gaining efficiencies.

The current structure is undermanaged, and while we cannot add all supervisor positions in 2021, in 2022 the department should have an additional refuse and a highways/ maintenance supervisor.

Salary Levels: Competiveness and Consistency

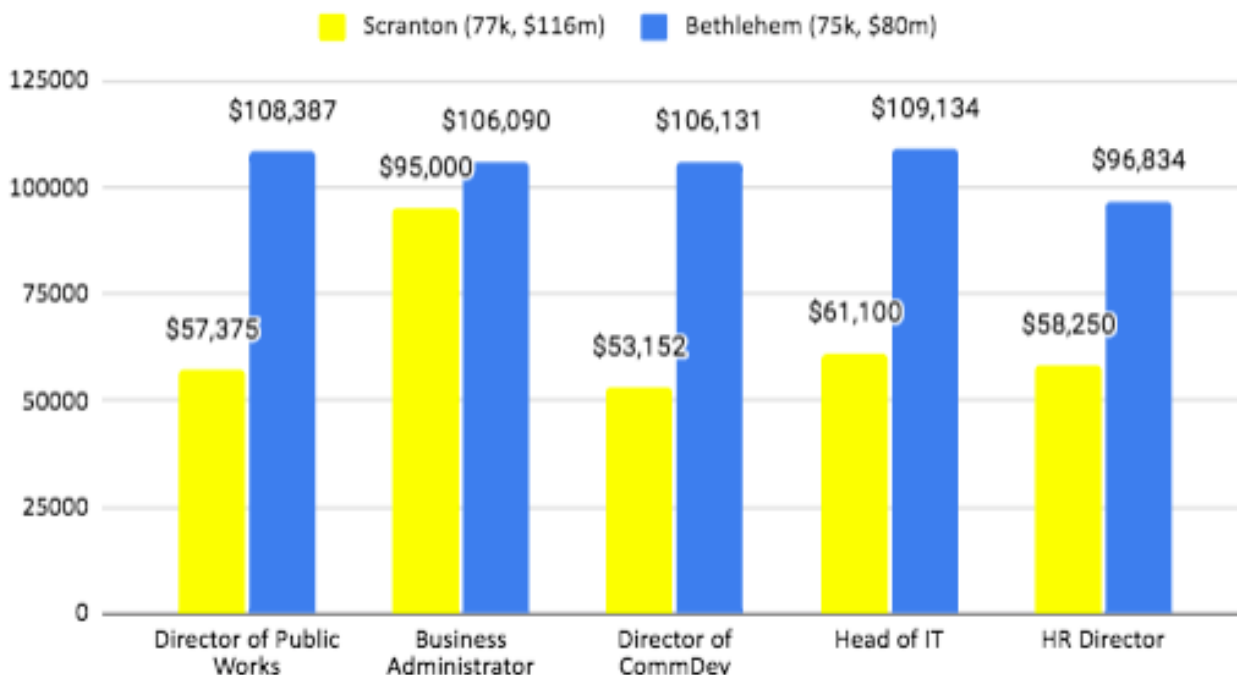
City of Scranton management salaries are below comparable municipalities. In order to retain and recruit professionals that have the skills to run city departments effectively, we must increase salaries to a reasonable level.

In the short-term, this means putting management salaries on tiers of roughly \$70,000 for department directors, and \$50,000-60,000 for managers. Comparison charts (see below) illustrate where these salaries stand now, and importantly, show that even with this step, **in 2021 we will still lack competitiveness compared to peer cities.**

The addition of a Chief of Staff, which is critical in order for the Mayor to manage an organization of more than 500 employees, plus a rebalancing of administrative management salaries, add up to \$119,111, or 0.40% of the 2021 salary budget.

Not making these changes risks putting the City on a trajectory where emergency preparedness is inadequate, financial management lags behind modern best practices, and quality of life backslides.

Comparing Key Salaries to a Similar City



Salary Comparison in Similar Nearby Cities

| | Scranton - 2020 Budget | Average (Bethlehem, York, Erie, Allentown) | Bethlehem | York | Erie | Allentown |
|--------------------------------------|---------------------------|--|-----------|-----------|-----------|-----------|
| Population | 77k | 84k | 75k | 45k | 96k | 121k |
| City Budget | \$116m | \$101m | \$80m | \$50m | \$154m | \$121m |
| Median Income | \$39,066 | \$40,589 | \$54,701 | \$30,283 | \$36,882 | \$40,490 |
| Director Community Development | \$53,152 | \$97,229 | \$106,131 | \$97,375 | \$87,550 | \$97,859 |
| Director Public Works | \$57,375 | \$99,880 | \$108,387 | \$89,687 | \$101,565 | x |
| Business Administrator | \$95,000 | \$110,926 | \$106,090 | \$110,000 | x | \$116,688 |
| Head of IT | \$61,100 | \$89,852 | \$109,134 | \$71,400 | \$67,644 | \$111,228 |
| HR Director | \$58,250 | \$90,388 | \$96,834 | \$77,513 | \$80,763 | \$106,444 |

Sources: 2019 city budgets, U.S. Census ACS 2014-18, Census PEP 2019

Senior Management Pay: Changes for 2021

| Senior Management Restructure | Salary | Change from 2020 |
|---|------------|------------------|
| Mayor | \$ 75,000 | \$ - |
| Chief of Staff | \$ 70,000 | \$ 70,000 |
| Superintendent of Police | \$ 102,605 | \$ - |
| Superintendent of Fire | \$ 100,800 | \$ 16,072 |
| City Clerk* | \$ 60,000 | \$ 5,780 |
| Business Administrator | \$ 80,000 | \$ (15,000) |
| <i>Human Resources**</i> | \$ 65,000 | \$ 6,750 |
| <i>Information Technology**</i> | \$ 65,000 | \$ 3,900 |
| <i>Finance Manager**</i> | \$ 74,900 | \$ - |
| <i>Treasurer**</i> | \$ 65,000 | \$ 17,440 |
| Community Development (76% paid by HUD) | \$ 70,000 | \$ 16,848 |
| Neighborhoods, Parks & Recreation*** | \$ 50,000 | \$ (2,500) |
| Solicitor | \$ 74,500 | \$ - |
| Public Works | \$ 70,000 | \$ 12,625 |
| Sub-Total | | \$ 131,915 |
| <i>less HUD contribution to Community Development salary</i> | | \$ (12,804) |
| Total | | \$ 119,111 |
| Citywide Salary Budget | | \$ 30,011,857 |
| Senior management changes as percentage of total salary budget | | 0.40% |

*reports to City Council

**reports to Business Administrator

***reports to Chief of Staff

Organizational Restructuring Impact/Salary Adjustments

| Department | Position | Change | Salary | Cost | Headcount |
|----------------------------------|------------------------------------|---|--------|---------|-----------|
| Office of the Mayor | | | | | |
| | Chief of Staff | new | 70,000 | 70,000 | 1 |
| | Communications Director | new, not funded in 2021 | | 0 | 0 |
| | Special Assistant | title change | | | 0 |
| Bureau of Police | | | | | |
| | all staff | increase per CBA | | 332,245 | |
| | Deputy Superintendent of Police | moved from within department; salary difference less current salary | 98501 | 34087 | 0 |
| Bureau of Fire | | | | | |
| | all staff | increase per CBA | | 263,355 | |
| | Superintendent of Fire | pay increase (match structure of other departments; | 100800 | 16072 | 0 |
| Office of City Clerk | | | | | |
| | City Clerk | pay increase | 60000 | 5780 | |
| Office of City Controller | | | | | |
| | all staff | 3% salary increase | | 5198 | |
| Business | | | | | |
| | Project Manager | new | 50000 | 50000 | 1 |
| Human Resources | | | | | |
| | Director | pay increase | 65000 | 6750 | 0 |
| | Benefits | pay increase | 39989 | 3266 | 0 |
| | Public Health | new, privately | | 0 | 1 |
| | Confidential Administrative | new | 36000 | 36000 | 1 |
| IT | | | | | |
| | Information Technology | pay increase (still below market rate) | 65000 | 3900 | 0 |
| | Public Safety Technology | new | 38000 | 38000 | 1 |
| | Network Systems/Assistant | new | | 61100 | 1 |
| Treasury | | | | | |
| | Treasurer | pay increase | 65000 | 17440 | 0 |
| | Assistant Cashier | position eliminated | | 32785 | -1 |
| | Constituent | new | 37000 | 37000 | 1 |
| | Assistant Treasurer - billing/data | new, not funded in 2021 | | 0 | 0 |

| Department | Position | Change | Salary | Cost | Headcount |
|---|-------------------------------|---|---------------------|-------|--------------|
| Community Development | | | | | |
| | Director | pay increase (add mgmt of code enforcement, | 70000 | 16848 | 0 |
| | Community Development | pay increase (funded by HUD) | 50000 | 1500 | 0 |
| | Grant Writer | moved from PD | | 0 | 0 |
| | Confidential Administrative | moved from zoning/planning to | | 0 | 0 |
| | Assistant Planner | new | 46651 | 46651 | 1 |
| | Planning Administrative | not funded in 2021 | | 0 | 0 |
| | Code Enforcement | pay increase (size | 56624 | 5864 | 0 |
| | Buildings | pay increase | 46609 | 5959 | 0 |
| | | | | | |
| Neighborhoods, Parks & Recreation | | | | | |
| | Director | pay decrease | 50000 | -2500 | 0 |
| | Parks Manager | new | 46635 | 46635 | 1 |
| | Neighborhood Engagement | new, not funded in 2021 | | 0 | 0 |
| | | | | | |
| Law Department | | | | | |
| | First Assistant City | pay increase | 65000 | 5000 | 0 |
| | Assistant City | pay increase | 45000 | 5000 | 0 |
| | Tax Recovery | new | 15000 | 15000 | 1 |
| | | | | | |
| Department of | | | | | |
| | Director | pay increase | 70000 | 12625 | 0 |
| | Recycling & Safety | pay increase | 45000 | 8000 | 0 |
| | Manager/Emergency Coordinator | new (salary moved from maintenance | 50000 | 3000 | 1 |
| | Engineering Project | new (funded April | 75000 | 50000 | 1 |
| | Maintenance | not funded in 2021 | | | -1 |
| | Refuse Supervisor | not funded in 2021 | | | |
| | Flood Project | position eliminated | | | -1 |
| | Flood Control | new | 46609 | 46609 | 1 |
| | Flood Control | new | 46609 | 46609 | 1 |
| | Traffic/Sign | new | 48134 | 48134 | 1 |
| | | | | | |
| Totals | | | \$ 1,373,912 | | 12 |
| Total Less Police & Fire CBA increases | | | \$ 778,312 | | |
| Citywide Salary/Headcount | | | \$ 30,011,857 | | 512 |
| Percent Increase Less Police & Fire CBA Increases: Salary Budget/Total Employees | | | 2.59% | | 2.34% |

Funding Approach

The revenue forecast has been developed based on 2020 realization rates and key economic indicators including: unemployment projections, economic slowdown due to the ongoing pandemic and the availability of state or federal funding.

The administration's view is that 2021 is not the year for increasing tax or taking on new debt. As of September 2020, the PA unemployment rate is 8.1% vs 7.1% nationwide, down from the 16.1% high in the 2nd quarter (per the Bureau of Labor Statistics). With the onset of a second wave of COVID 19 we believe increasing tax revenues would be counterproductive and damaging to residents already facing unemployment, underemployment, and existential threats to their small businesses or those that they work at.

In order to exit distressed status under Act 47, the City must have a roadmap to debt reduction as part of a balanced budget. **The approximate shortfall in this budget is a not a structural deficit, rather, it is projected revenue loss related to the COVID-19 pandemic.** As the economy recovers, the City should be able to manage to "normal" year revenues and will continue to work toward long-term financial stability and growth.

Tax Levies

The tax structure and levies will remain consistent with the 2020 Budget.

The 2021 revenue streams will largely remain the same. We have removed the line item for non-resident wage tax, as we do not levy it.

We have not included revenue for a Payroll Prep Tax, which will be considered for 2022, in partnership with the Scranton School District. We are analyzing the City's overall tax structure through our Tax Policy Working Group, with the goal of attracting investment, enabling growth, and reducing our overburdened property owners.

The higher collection rate of existing sources of revenue is a priority for this administration; a concerted effort has already started to capture delinquent real estate & refuse through dedicated legal resources and a third party focused on delinquent refuse collection. This effort will further increase in 2021. The administration along with partners in the Single Tax office is combining Refuse fee and real estate billing which will be more convenient for residents and provide more options to spread payment across the year.

Collaboration with the Single Tax Office is also a first step to building a unified database which is a vital component for correct billing to individuals and organizations.

Revenue Loss Protection

The approximate shortfall in this budget is a not a structural deficit. This is revenue loss related to the COVID-19 pandemic and ensuing economic crisis; the projected losses, especially in local wage taxes, should recover in 2022, if not begin to recover during 2021.

This budget would not have a revenue gap were it not for COVID-19 and the projected revenue losses, especially in local wage taxes. Additionally, if the U.S. Senate and federal government had supported direct fiscal relief for cities, this gap would be smaller or could be eliminated entirely.

The administration is not filling a structural deficit with a one-time revenue source, rather, we are scraping what we can from existing funding sources in order to sustain operations and continuity of city services through an unforeseeable crisis, one from which revenues should recover in 2022.

Our estimated COVID-19-related 2021 revenue shortfall is ~\$4.4m, or 3.8% of the total Budget. Over the course of the year, the administration will assess the following options, or combination of options, to meet a potential \$4.4m need due to the continuation of the pandemic and this projected crisis-related revenue loss. We will execute as necessary, via legislation, to continue city operations.

Revenue Loss Protection Option A: Federal COVID-19 Relief: The administration will continue to pursue COVID-19 direct fiscal relief and other government sources of relief through lobbying federal elected officials and the federal administration, in conjunction with the U.S. Conference of Mayors, Pennsylvania Municipal League, and a coalition of Pennsylvania mayors, which Mayor Cogenetti and her front office staff help organize.

Revenue Loss Protection Option B: Cash Collateral Restructure: Utilize cash collateral from sewer sale proceeds, or other financing, which would require restructuring. This is an option to explore at any point during the year, and if the funds were either not necessary, or less than the \$4.4m revenue gap, the refinancing could be tailored to the needed amount.

The City is evaluating its debt and lease portfolio to identify opportunities to refinance and create greater flexibility within the 2021 budget. Long-term interest rates are near historic lows. Greater flexibility may be achieved through a combination of three primary methods: refunding for debt service savings, restructuring principal payments due in 2021 to defer payment until later in the City's debt portfolio, but still in a manner that matches up with the useful life of the assets originally financed, and releasing certain reserve funds related to certain debt instruments.

Revenue Loss Protection Option C: DLI Returned Excess Reserve: Hold \$4.4m of \$6m returned Workers' Compensation excess reserve ("returned excess reserve")¹ as contingency,

¹ On November 9, 2019, the Bureau of Workers' Compensation of the Pennsylvania Department of Labor and Industry (DLI) issued a Notice to Trustee to Return Excess Reserve Amount. This Notice authorized the release of \$6 million to the City that was being held in the City's Workers' Compensation Reserve Trust Account. The Bureau allowed this release of

using as little as possible to sustain operations in order to allocate as much as possible to an OPEB trust when economic conditions improve.²

The balance of \$1.6m will be transferred to a new OPEB trust fund in the coming months, per the recommendation of the Pennsylvania Department of Labor & Industry (DLI). Any funds in this contingency not required in 2021 (and 2022 if the economic impact of the COVID-19 pandemic continues longer than anticipated) will be transferred to the OPEB trust.

The administration's plan, before COVID-19, was to put the full \$6m into an OPEB trust in the first half of 2020. The administration's goal is to hold the full \$6m in the OPEB trust some time in 2022, as DLI recommended in 2019 (if economic conditions allow us to responsibly do so).

Current long-term OPEB obligations stand at approximately \$210m.

Revenue Loss Protection Option D: Combinations of A, B, C: Hold the \$4.4m returned excess reserve (Option C) and if utilized to fund 2021 operations, trigger a restructuring of the cash collateral from sewer sale proceeds (Option B) to access funds to put into the OPEB trust.

Other revenues that may decrease or, in the best case, eliminate the need for accessing revenue loss protection contingency funds include:

- Better revenues than projected as of November 2020
 - COVID-19 vaccine could unlock economic growth sometime in 2021
- Debt refinancing
- Healthcare savings
 - Healthcare opt-out program reductions
- CARES Act funding (current or future federal funds)
- PILOT funding
- Manager salary donations of X%

A comparison of 2021 projected revenues versus the actual receipts in 2020, and our analysis of the strength of our city's overall economy and tax collections, shows that save for the severity of the economic crisis we find ourselves in as a country due to the COVID-19 pandemic, we would not need these contingency funds and would likely feel confident enough in our short- and medium-cash flows to put \$5-6m into an OPEB trust at the beginning of 2021.

funds, which are unrestricted, as a result of the City's improved Workers' Compensation program and a decrease in the number and duration of claims. The funds could be held in contingency in the 2021 Budget to mitigate the economic and financial impact that may arise as a result of the COVID-19 pandemic.

² It is important to note that these funds are not meant to be utilized for future workers compensation payouts, as those funds and processes have recovered from past issues (as exhibited by the return of the excess reserve to the City).

Revenue from Outside Funding

External revenue is the predominant source of funding for 2021 capital projects. In 2020 the city implemented organizational & process changes to increase the focus on identification and application for Grants and Funding from government and private sources. These funding opportunities are reviewed on a weekly basis.

Due to the uncertainty of funding from Grant sources they are not included in the 2021 operating budget. Oversight of city grants will be performed by the new Office of Community Development, with the city grant writer located in this department. The oversight will include all city applications and community application that the city is serving as the fiscal agent and applicant. The alignment will improve the effectiveness and success of the city's grant applications. This alignment will coordinate grant applications with the needs identified in the capital budget. The department will additionally oversee the \$2,172,152 of CDBG-CV and \$1,435,629 of ESG-CV funding awarded to the city due to the COVID-19 pandemic.

To date the city is working on standardizing grant guidance and administrative costs for community grants and coordinating internal applications as to not compete for limited resources. The city will also coordinate city projects and departmental needs with funding options through Community Development Block Grant (CDBG) funding.

The City will continue to seek reimbursement of emergency unavoidable costs resulting from the COVID-19 pandemic through the CARES Act government relief program. **It is important to note, again, that this budget would not have a revenue gap were it not for COVID-19 and the projected revenue losses, especially in local wage taxes.**

The Mayor is sending a PILOT request letter the tax-exempt organizations in the City, requesting help with a focus on public health and public safety.

The administration is standing up a City Hall Restoration Fund as an alternative target for potential PILOT funds. Contributions to this fund could relieve capital spending and maintenance costs over time and preserve the iconic, historic building.

Other PILOT and charitable contributions could go to a City Parks Endowment Fund. The administration is also exploring this option.

Grants (not included in operating budget)

Below is a snapshot of identified current and projected grant applications for the city and community partners. This total includes \$1.7 million in awarded city grants, \$11.6 million in applied for or pending city grants, \$14.9 million in awards to community partners and \$17 million in applied for or pending community partner applications.

| City Applications pending | Amount |
|--|-------------|
| LSA Emergency Services Center | \$250,000 |
| 904 Recycling grants | \$50,000 |
| 902 Recycling grant 2021 | TBD |
| PennDOT Multi Modal- 2020 | \$1,926,000 |
| DEP Truck & Bus Grant | TBD |
| OSFC - Fire Co. & EMS Grant Program | \$15,000 |
| PA American Water - Firefighting Support Grant | \$1,000 |
| FM Global - Fire Prevention Grant Program | \$5,000 |
| FEMA - AFG - AFG | \$500,000 |
| FEMA - AFG - SAFER | \$3,900,000 |
| FEMA - AFG - Fire Prevention & Safety | \$50,000 |

| City Grants Awarded | Amount |
|--|-------------|
| BJA Bulletproof Vest Partnership Grant | \$2,500 |
| BJA Department of Justice COVID Response | \$64,162 |
| BJA Department of Justice JAG (SPD) | \$18,638 |
| DCED Keystone Communities-Linden Street Pocket park | \$50,000 |
| DCED LSA Parking Garage cameras | \$15,000 |
| DCED LSA- Nay Aug pool liner | \$62,500 |
| DCED Small Water -Bloom Avenue Culvert | \$179,575 |
| DEP Clean Diesel- Street sweeper replacement | \$128,723 |
| Keystone Historic Preservation Grant- City Hall Roof south tower | \$80,000 |
| PennDOT Multimodal Bridge Project- Ash Street | \$1,110,200 |
| PNC Foundation SPD Training Grant | \$7,500 |

| Community grant applications applied/pending | Amount |
|--|--------------|
| DCED-Multi modal- Scranton Lace | \$334,366.45 |
| DCED-Multi modal -Iron District | \$1,500,000 |
| LSA- Black Scranton | \$135,893 |
| LSA- University of Scranton | \$315,000 |
| LSA-SACF | \$63,000 |
| LSA-Tripp Park | \$19,500 |
| LSA- Scranton Lace | \$285,863 |
| LSA- Neighborworks | \$70,000 |
| LSA-Life Bldg | \$262,500 |
| LSA- Greenridge Little League | \$121,219 |
| LSA- Johnson College | \$158,918 |
| RACP-SSD | \$2,895,200 |
| RACP- Johnson College | \$2,000,000 |
| RACP- Univ of Scranton | TBD |
| RACP-Ritz Theater | TBD |
| RACP-Bogart Place | \$4,000,000 |
| RACP-Scranton Life Building | \$875,000 |
| RACP-Lackawanna College-401 | \$4,750,000 |
| RACP-Fidelity Bank | TBD |

| Community Grant Applications Awarded | Amount |
|--------------------------------------|-------------|
| RACP-Scranton Counseling | \$4,250,000 |
| RACP-Scranton Lace | \$6,500,000 |
| RACP- West Scranton | \$2,500,000 |
| RACP-Mimi equities | \$1,000,000 |
| LSA-UNC | \$120,000 |
| LSA-Neighborworks | \$78,960 |
| LSA-Scranton Cultural Center | \$180,000 |
| LSA-Scranton Cultural Center | \$36,066 |
| LSA- Leonard Theater | \$225,000 |

| City Grants Applied For | Amount |
|---|-------------|
| PennDot Green Light Go- Cedar Ave Traffic Signals | \$488,000 |
| Scranton Area Community Fd. Community Impact Grant, match funding for ARC Application | \$12,500 |
| DCED Greenway Trails and Recreation- Davis Trail | \$44,903 |
| DCED Blight Remediation | \$297,291 |
| DCED Flood Mitigation Program- acquisition of properties in west Scranton | \$322,569 |
| ARC- funding for Economic Development Strategic Plan | \$50,000 |
| DCED Multimodal- street sign project | \$306,000 |
| DCED ACT 47 City Operating System | \$50,000 |
| RACP- Scranton City Hall | \$2,985,200 |
| 902 Recycling grant 2020 | 350,000 |

Aligning with a Strategic Plan: Business and Community Partners

The City continues to partner with entities like Scranton Tomorrow, the Greater Scranton Chamber of Commerce, NEPA Alliance, Scranton Area Foundation, NeighborWorks, United Neighborhood Centers, Scranton Counseling Center, and others to match residents with resources, support businesses, and plan for growth.

The City's parking systems partner, NDC, is one of the businesses struggling with significant one time losses of revenue. The administration will work with NDC to explore reforms of its current operations, specifically with regard to enforcement, as we are with other sources of City revenue. Such reforms would allow the on and off-street parking systems to improve delinquent collections for greater financial stability, and would include studying the feasibility of de-criminalization of parking citations, reviewing city ordinances to support de-criminalization, the current appeal process, fee schedule, and the vehicle immobilization program, as well assessment of current debt.

Cost Reductions

Operations improvement: The administration has committed to operations improvement across all departments which encompasses People, Processes and Technology. Organization changes will be supported by clear job descriptions, defined roles and responsibilities, and training. Documentation of core business processes is already in work as part of preparations for the new IT operating platform. We have mapped seventy core processes and will continue this work.

By implementing new work flows which leverage technology to reduce non-value-added tasks, we conservatively expected to improve business process efficiency by 15%, which will enable the City to improve services without adding further resources.

Interest Rate Savings: The current interest rate trend is expected to continue through 2021 the city intends to take advantage through the refinance of current debt and consolidating banking services.

Small stuff matters: Our strict budgeting through 2020 has enabled us to likely close out the year without a deficit. These measures, along with careful cash flow management, directives not to spend unless essential, and attention to detail within purchasing and bidding must and will continue.

Austerity initiatives include cuts to:

- Vehicles (e.g., the Mayor not using a City vehicle, improved fleet management)
- Travel budgets
- Subscriptions
- Consumables (e.g., water service paid by employees)
- Stationery expenses – centralizing resources in Business Administration
- Asset sales like decommissioned vehicles
- Improved overtime management

The Budget Process

The operating budget generally includes expenditures that occur annually and reflect single year appropriations, but not in all cases. The operating budget provides for City services but does not usually result in the purchase or construction of major physical assets. The operating budget is funded primarily from recurring revenue sources such as taxes and fees, but may also be supported by one-time revenue sources.

The purpose of the budget is to ensure sufficient funds and cash flows are available to deliver the cities goals and objectives, as defined by the Mayor's plan coming into office at the beginning of 2020. The budget is a baseline to work from, not a cash account. The administration will monitor frequently and adjust activities based on actual results. 'Working to the budget' is not the best management strategy, particularly during this unpredictable economy.



This approach may be different to previous administrations, the objective is to have a clear connection between goals and objectives and how they will be achieved.

The budget is an iterative process – balancing projected revenues with fixed costs such as those controlled by collective bargaining agreements, and controllable expenses which include non-CBA, project, and administration costs.

Revenue realization is inherently variable based on numerous economic factors – due to the continuing effect of the COVID 19 epidemic and forecasted unemployment, this budget has been prepared with austerity in mind.

Main influences on this budget

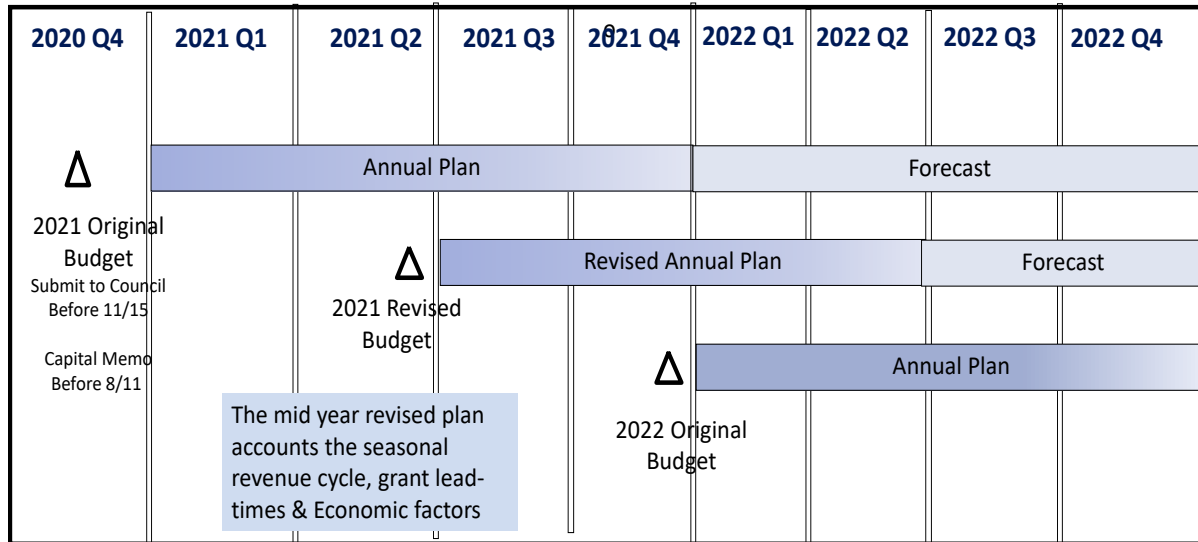
- Austerity
- Improved revenue collection
- Focus on public health & public safety
 - Emergency planning
 - Traffic signs, crosswalks, flood and storm water management
- Accountability and integrity in city government
 - Constituent services, new communication channels
 - Whistleblower hotline
- Community engagement
 - Neighborhood engagement
 - Parks & Recreation
- Restructuring city government organization for success
 - Senior staff structure – consistency across the organization, tiered management
 - IT capability for a modern city, including new operating platform

Other factors to consider for 2021

- Collective bargaining agreement processes:
 - Clerical union (current, by 12/31/2020)
 - DPW union (2021)
 - Police (2021)
 - Fire (2021)

The Budget Schedule

Although financials are tracked and audited based on an annual basis; the city runs continuously. The 2021 budget cycle will include a mid-year update, this will account for the 2nd phase of the COVID pandemic and actual revenues realized including grants and government funding. The mid-year 2021 update will include a 2022 first half year forecast.





City of Scranton 2021 Budget

- A. Revenue Summary**
- B. Revenue Detail**
- C. Expenditure Summary**
- D. Expenditure Detail by Department**
- E. Salary Detail by Department**

| CITY OF SCRANTON 2021 OPERATING BUDGET BUDGETED REVENUES GENERAL FUND | | | | Q | |
|--|--------------------------|-----------------------|--------------------------|---|---|
| Original 11-15-2020 | | | | | |
| Account Description | 2020 Operating Budget | 2020 As of 9/30/20 | 2021 Operating Budget | | |
| Assessed Valuation: Land @ \$89,836,861 Improvements @ \$286,473,768 (09-2019) | | | | | |
| CURRENT REAL ESTATE TAX -IMPROVEMENTS MILLAGE RATE - 50.664 M at 89% | 13,491,809.18 | 18,633,212.92 | 22,000,000.00 | | Adjusted to Balance Land Millage |
| CURRENT REAL ESTATE TAX - LAND MILLAGE RATE - 239.521 MILLS | 19,366,031.15 | 9,252,134.09 | 11,015,000.00 | | Adjusted to RE Current |
| DELINQUENT REAL ESTATE TAX - 90% | 2,975,000.00 | 2,652,362.18 | 2,300,000.00 | | |
| TOTAL REAL ESTATE TAXES | \$ 36,832,840.33 | 30,537,709.19 | \$ 35,315,000.00 | | |
| LANDFILL / REFUSE FEES | | | | | |
| LANDFILL TIPPING FEE - @\$300 RATE IN 2018 | 5,600,000.00 | 4,849,745.75 | 5,600,000.00 | | Increase collection rate with RE Bill |
| DELING REFUSE DISP FEE | 1,196,250.00 | 927,213.45 | 2,000,000.00 | | 3rd Party Collector Partnership |
| TOTAL LANDFILL/REFUSE FEES | \$ 6,796,250.00 | 5,776,959.20 | \$ 7,600,000.00 | | |
| UTILITY TAX | 75,000.00 | - | 75,000.00 | | \$71k ytd - 11-15 |
| NON RESIDENT WAGE TAX | 100,000.00 | - | - | | No rev 2020 or 2019 - defer to Tax committee |
| LOCAL TAXES | | | | | |
| REAL ESTATE TRANSFER TAX (2.20) | 2,750,000.00 | 1,743,827.86 | 2,750,000.00 | | Maintain for Improving Sales Rate |
| DELINQUENT REAL ESTATE TRANSFER TAX | 1,000.00 | - | 1,000.00 | | |
| CURRENT WAGE TAX (2.4) | 28,650,287.77 | 20,874,503.05 | 26,000,000.00 | | Unemployment effect from COVID 19 |
| DELINQUENT WAGE TAX | 110,000.00 | 14,117.38 | 20,000.00 | | |
| MERCANTILE TAX 1.0 MILLS | 1,250,000.00 | 1,149,007.27 | 1,250,000.00 | | Increased tracking/visibility |
| MERCANTILE-DELINQUENT | 50,000.00 | 46,264.75 | 50,000.00 | | |
| LOCAL SERVICES TAX | 4,950,000.00 | 2,339,237.96 | 4,900,000.00 | | Accrue Feb 2021 |
| DELINQUENT BUS. PRIV. TAX | 175,000.00 | 69,239.19 | 100,000.00 | | |
| BUS. PRIV. TAX 1.0 MILLS | 1,100,000.00 | 1,004,152.72 | 1,100,000.00 | | |
| PARKING TAX | | | | | |
| COMMUTER TAX-PENDING COURT APPROVAL | | | | | |
| AMUSEMENT TAX | 295,000.00 | | 50,000.00 | | COVID - Possible upside |
| PAYROLL PREPARATION TAX (25820 or 2.58 mills) | 647,917.74 | | - | | Must be in concert with School District; review through tax working group |
| TOTAL LOCAL TAXES (ACT 511) | \$ 39,979,205.51 | 27,240,350.18 | \$ 36,221,000.00 | | |
| PENALTIES & INT / DELINQUENT TAXES | | | | | |
| PEN/INT/DLQ REAL ESTATE | 135,000.00 | 95,493.55 | 135,000.00 | | |
| PEN/INT/DLQ BUS. PRIV. TAX | 55,000.00 | 23,144.91 | 50,000.00 | | Increased Efforts |
| ADVERTISING/RL EST. REGIS | 100.00 | - | 100.00 | | |
| TAX, REFUSE, DEMOLITION LIEN AND CONDEMNATION SEARCH FEES | 55,500.00 | 29,800.00 | 56,000.00 | | Increased Efforts |
| FILING FEES- DELINQUENT ACCOUNT | - | - | - | | |
| TOTAL PENALTIES & INT/DEL. TAXES | \$ 245,600.00 | 148,438.46 | \$ 241,100.00 | | |

| CITY OF SCRANTON | | Q | | |
|-------------------------------------|--------------------------|-----------------------|--------------------------|---|
| 2021 OPERATING BUDGET | | | | |
| BUDGETED REVENUES | | | | |
| GENERAL FUND | | | | |
| Original 11-15-2020 | | | | |
| Account Description | 2020 Operating Budget | 2020 As of 9/30/20 | 2021 Operating Budget | |
| LICENSES AND PERMITS | | | | |
| ELECTRICAL PERMITS | 116,470.00 | 103,021.89 | 120,000.00 | |
| PLUMBER LICENSES | 22,620.00 | 18,575.00 | 22,000.00 | Possible upside with Housing Market trend. Migration from surrounding larger cities |
| ELECTRICIAN LICENSES | 34,400.00 | 35,775.00 | 35,000.00 | |
| MECHANICAL PERMITS | 112,160.00 | 99,168.00 | 115,000.00 | |
| MECHANICAL LICENSES | 32,500.00 | 31,525.00 | 32,000.00 | |
| CONTRACTOR LICENSES | 85,000.00 | 99,000.00 | 107,000.00 | Housing Mkt Trend |
| SCALE LICENSES | 3,500.00 | 1,960.00 | 2,000.00 | |
| BEVERAGE LICENSES | | | | |
| BUILDING PERMITS | 636,324.00 | 418,355.00 | 600,000.00 | |
| JUNKYARD LICENSES | 8,000.00 | 7,500.00 | 8,000.00 | |
| PARKING FACILITIES | 1,250.00 | 1,250.00 | 1,250.00 | |
| SIGN HANGERS LICENSES | 6,500.00 | 4,400.00 | 6,000.00 | |
| DOG & KENNEL LICENSES | 13,000.00 | 20,955.00 | 15,000.00 | |
| LODGING LICENSES | 25,000.00 | 22,390.00 | 25,000.00 | |
| EATING & DRINKING LICENSES | 92,500.00 | 55,575.00 | 70,000.00 | Small business hardship |
| GASOLINE PUMP LICENSES | 5,000.00 | 4,650.00 | 5,000.00 | |
| MUSIC MACHINE PERMITS | 100.00 | - | 100.00 | |
| PINBALL MACHINE PERMITS | | | | |
| PLUMBER PERMITS | 35,000.00 | 56,436.00 | 72,000.00 | Increase in Property Market |
| SIGN PERMITS | 40,000.00 | 38,378.12 | 40,000.00 | |
| TEMP. PEDDLER PERMIT | 12,500.00 | 1,150.00 | 3,000.00 | COVID Travel restrictions |
| TRANSIENT MERCHANT LICENSES | | | | |
| POOLS & BILLIARDS LICENSES | 50.00 | - | 50.00 | |
| DAILY ENTERTAINMENT LICENSE | 21,000.00 | 15,100.00 | 20,000.00 | Small business hardship |
| ELECTRONIC MACHINE PERMIT | | | | |
| VIDEO AMUSEMENTS | 100.00 | - | 100.00 | |
| AMUSEMENT RIDES | 19,000.00 | 24,000.00 | 20,000.00 | |
| DUMPSTER PERMITS | 4,500.00 | 5,100.00 | 5,000.00 | Property market & code enforcement |
| ARCADE LICENSES | 100.00 | - | 100.00 | |
| NON-CLASS LIC. & PERMITS | | | | |
| SECOND-HAND DEALER REVENUE | 4,500.00 | 3,200.00 | 4,000.00 | |
| SIGN PERMITS/CONSTRUCTION | 2,500.00 | 1,351.00 | 2,000.00 | |
| RENTAL INSPECTIONS | 100.00 | - | 100.00 | |
| CHILD DAY CARE | 5,000.00 | 8,000.00 | 7,500.00 | |
| PERSONAL BOARDING CARE | 3,500.00 | 3,495.00 | 3,500.00 | |
| SANITATION HAULER FEE | | | | |
| HOUSING RENTAL LICENSES | 275,000.00 | 262,245.00 | 275,000.00 | In line worth 2020 actual |
| BUILDING CODE STATE FEE | 1,000.00 | 4,378.50 | 4,000.00 | |
| THIRD PARTY PLAN REVIEWS | 375,000.00 | 288,230.57 | 455,000.00 | Property market & code enforcement improvement |
| FORECLOSURE REGISTRY | 45,000.00 | 28,100.00 | 35,000.00 | |
| TOTAL LICENSES & PERMITS | \$ 2,038,164.00 | 1,663,264.08 | \$ 2,109,700.00 | |

| CITY OF SCRANTON 2021 OPERATING BUDGET BUDGETED REVENUES GENERAL FUND | | | Q | |
|--|--------------------------|-----------------------|--------------------------|---|
| Original 11-15-2020 | | | | |
| Account Description | 2020 Operating Budget | 2020 As of 9/30/20 | 2021 Operating Budget | |
| FINES, FORFEITS & VIOLATIONS | | | | |
| FINES & FORFEITS/MISCELLANEOUS | 100.00 | - | 100.00 | |
| POLICE FINES | 345,000.00 | 192,371.61 | 239,576.58 | Pandemic Travel Restrictions |
| PARKING TICKETS- | 2,000.00 | - | 1,000.00 | |
| PARKING TICKETS-POLICE ISSUED | 11,000.00 | 2,625.00 | 10,000.00 | |
| CIVILIAN PARKING TICKETS | 135,000.00 | 39,225.50 | 80,000.00 | Pandemic Travel Restrictions |
| QUALITY OF LIFE TICKETS- | 20,000.00 | 11,137.59 | 20,000.00 | |
| FINES & PENALTIES - STATE | 37,500.00 | 17,254.16 | 25,000.00 | |
| PARKING METER PERMITS | | | | |
| TAXI DRIVER PERMITS | 500.00 | 470.00 | 500.00 | |
| POLICE TOWING/STORAGE FEES | - | | | |
| TOTAL FINES, FORFEITS & VIOLATIONS | \$ 551,100.00 | 263,083.86 | \$ 376,176.58 | |
| INTEREST EARNINGS | | | | |
| INTEREST-CASH-CHECKING | 275,000.00 | 67,686.37 | 75,000.00 | |
| TOTAL INTEREST EARNINGS | \$ 275,000.00 | 67,686.37 | \$ 75,000.00 | |
| RENTS AND CONCESSIONS | \$ 5,000.00 | 500.00 | \$ 1,000.00 | |
| INTERGOVERNMENTAL REIMBURSEMENT | | | | |
| KEYSTONE Landfill Grant | | | 100,000.00 | |
| OECD REIMB - Overhead /Administration Fee | | | 48,000.00 | OECD - City overhead Reimbursement |
| OECD REIMB - DEMOLITION PROGRAM | - | | - | |
| SUPL STATE AID PENSION | 3,850,000.00 | 3,924,295.11 | 3,850,000.00 | |
| DCA ACT 47 LOAN | 100.00 | - | 100.00 | |
| GOVERNORS OFFICE Cyber Security Grant | | | | |
| ACT 47 AND OTHER GRANTS | 15,000.00 | 449,156.30 | | \$37mm Grant Pipeline - Est 20% success rate to support targeted Capital projects |
| FEMA EMERG PMTS | 100,000.00 | 173,167.30 | | |
| RECYCLING GRANT (Joyce Atalla) | | | 50,000.00 | |
| ACT 13 UNCONVENTIONAL GAS WELL FUND USAGE | | | | |
| FEMA PAYMENTS- FIRE SAFER GRANT | - | | | |
| REIMBURSEMENT SCHOOL RESOURCE OFFICERS | 285,866.00 | 69,924.99 | 200,000.00 | Dependent on back to school |
| PRIOR YEAR REIMBURSEMENT SCHOOL RESOURCE OFFICERS | 100.00 | - | 100.00 | |
| TOTAL INTERGOVERNMENTAL REIMBURSEMENTS | \$ 4,251,066.00 | 4,616,543.70 | \$ 4,248,200.00 | |
| IN LIEU OF TAXES | \$ 250,000.00 | 266,150.44 | \$ 206,000.00 | Lutherwoods & UOS |

| CITY OF SCRANTON 2021 OPERATING BUDGET BUDGETED REVENUES GENERAL FUND | | | | Q | |
|--|--------------------------|-----------------------|--------------------------|---|--|
| Original 11-15-2020 | | | | | |
| Account Description | 2020 Operating Budget | 2020 As of 9/30/20 | 2021 Operating Budget | | |
| DEPARTMENTAL EARNINGS | | | | | |
| PARKING METERS | 6,600.00 | 4,515.00 | 3,000.00 | | |
| BOARD OF ZONING/PLANNING COMMISSION | 22,500.00 | 6,655.00 | 22,500.00 | | |
| PAVE CUTS - PAWC | 83,000.00 | 159,713.00 | 200,000.00 | | |
| PAVE CUTS - UGI ENERGY | 245,000.00 | 90,338.00 | 175,000.00 | | |
| PAVE CUTS - OTHER | 27,500.00 | 74,875.00 | 27,500.00 | | |
| REPORT COPIES-FIRE/POL | | 7,650.00 | 1,200.00 | | |
| FIRE/POL BURGLAR ALARMS | 62,500.00 | | 62,500.00 | | |
| TOTAL DEPARTMENTAL EARNINGS | \$ 447,000.00 | 343,746.00 | \$ 491,700.00 | | |
| USER FEES | 40,000.00 | 16,449.73 | 40,000.00 | | |
| MISC REVENUES/CABLE TV | | | | | |
| OTHER-NOT CLASSIFIED | 100,000.00 | 39,816.45 | 100,000.00 | | |
| PA LCB LICENSE FEES | 67,500.00 | 57,400.00 | 67,500.00 | | |
| CATV REVENUE | 975,000.00 | 520,380.72 | 975,000.00 | | |
| DONATED REVENUE | 100.00 | - | 1,000.00 | | Endowment/PILOT |
| OTHER FINANCING SOURCE | 100.00 | - | 100.00 | | |
| OTHER FINANCING SOURCE - SMALL CELL TOWER | 100,000.00 | - | 150,000.00 | | Increased small tower Install 5G |
| MARKET-BASED REVENUE OPPORTUNITIES | 100.00 | - | 100.00 | | |
| REPAYMENT FROM ICE BOX DEVELOPMENT | 1,000.00 | - | - | | |
| PROCEEDS 2014 BOND ISSUANCE | | - | | | |
| PROCEEDS 2015 BOND ISSUANCE | | - | | | |
| SALE OF ASSETS | 1,520,823.89 | - | 182,000.00 | | Auctions /Asset sales |
| PROCEEDS 2017 BOND ISSUANCE | | - | | | |
| PROCEEDS OF 2018 BOND ISSUANCE | - | - | - | | |
| PROCEEDS OF 2019 BOND ISSUANCE | - | - | - | | |
| PROCEEDS OF 2020 BOND ISSUANCE | 1,000.00 | | 1,000.00 | | |
| TOTAL MISC REVENUES/CABLE TV | \$ 2,765,623.89 | 617,597.17 | \$ 1,476,700.00 | | |
| INTERFUND TRANSFERS | | | | | |
| TRANSFERS IN FROM OTHER FUNDS | 7,059,450.00 | 411,990.12 | 7,059,450.00 | | 6,558,225 DS SC & 158,000 Plan review SC |
| TRANSFERS IN FROM LIQUID FUELS | 2,339,451.00 | 429,767.00 | 2,339,451.00 | | \$2m total 2021 + Balance from 2020. |
| CONTINGENCY - Revenue loss protection | | | 4,406,000.00 | | A) COVID-19 Federal Relief |
| TOTAL INTERFUND TRANSFERS | \$ 9,398,901.00 | 841,757.12 | \$ 13,804,901.00 | | B) Debt restructure C) DLI Excess Reserve |
| TAX ANTICIPATION NOTES | | | | | |
| TAN SERIES A | 12,750,000.00 | 12,750,000.00 | 12,200,000.00 | | |
| TAN SERIES B | | | | | |
| TOTAL TAX ANTICIPATION NOTES | \$ 12,750,000.00 | 12,750,000.00 | \$ 12,200,000.00 | | |
| TOTAL REVENUE | \$ 115,800,750.73 | 85,150,235.50 | \$ 114,681,477.58 | | |

| CITY OF SCRANTON | | | | | |
|--------------------------------|--------------------------------------|------------------|------------------|------|--------------|
| 2021 OPERATING BUDGET | | | | | |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | | |
| | | 2020 | 2021 | | 11/15/2020 |
| | | Budget | Budget | | |
| 4010 | STANDARD SALARY | \$ 28,942,958.69 | \$ 30,010,183.68 | DIFF | 1,067,224.99 |
| 4040 | OTHER SALARY | \$ 753,000.00 | \$ 1,087,900.00 | | 334,900.00 |
| 4070 | LONGEVITY SALARY | \$ 1,836,788.00 | \$ 1,847,637.76 | | 10,849.76 |
| 4080 | OVERTIME SALARY | \$ 1,473,750.00 | \$ 1,448,500.00 | | (25,250.00) |
| 4090 | COURT APPEARANCE SALARY | \$ 174,400.00 | \$ 176,580.00 | | 2,180.00 |
| 4101 | UNIFORM ALLOWANCE | \$ 268,860.00 | \$ 270,650.00 | | 1,790.00 |
| 4112 | HEALTH INSURANCE - POLICE UNION | \$ 6,525,933.31 | \$ 6,562,230.00 | | 36,296.69 |
| 4113 | HEALTH INSURANCE - FIRE UNION | \$ 5,964,788.16 | \$ 5,995,028.00 | | 30,239.84 |
| 4116 | HEALTH INSURANCE - CLERICAL UNION | \$ 1,608,199.65 | \$ 1,617,610.00 | | 9,410.35 |
| 4117 | HEALTH INSURANCE - NON-UNION | \$ 1,460,593.87 | \$ 1,471,624.00 | | 11,030.13 |
| 4118 | HEALTH INSURANCE - DPW UNION | \$ 2,118,394.24 | \$ 2,130,313.95 | | 11,919.71 |
| 4119 | HEALTH INSURANCE - SINGLE TAX OFFICE | \$ 966,154.46 | \$ 970,463.00 | | 4,308.54 |
| 4120 | LIFE/DISABILITY INSURANCE | \$ 346,905.00 | \$ 346,905.00 | | - |
| 4130 | I.A.M. PENSION | \$ 500,000.00 | \$ 500,000.00 | | - |
| 4140 | CITY 10% EARLY RETIREMENT | \$ 255,962.95 | \$ 240,000.00 | | (15,962.95) |
| 4150 | CITY PENSION | \$ 11,289,532.00 | \$ 12,480,930.00 | | 1,191,398.00 |

| CITY OF SCRANTON | | | | |
|--------------------------------|------------------------------|------------------|------------------|--------------|
| 2021 OPERATING BUDGET | | | | |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | |
| | | 2020 Budget | 2021 Budget | 11/15/2020 |
| | | | | DIFF |
| 4170 | POLICE EDUCATION ALLOWANCE | \$ 50,000.00 | \$ 50,000.00 | - |
| 4180 | SOCIAL SECURITY | \$ 1,031,230.00 | \$ 1,031,230.00 | - |
| 4190 | UNEMPLOYMENT INSURANCE | \$ 15,000.00 | \$ 250,000.00 | 235,000.00 |
| | TOTAL EMPLOYEE COMPENSATION | \$ 65,582,450.33 | \$ 68,487,785.39 | 2,905,335.06 |
| 4201 | PROFESSIONAL SERVICES | \$ 1,022,500.00 | \$ 1,318,750.00 | 296,250.00 |
| 4210 | SERVICES & MAINTENANCE FEE | \$ 205,000.00 | \$ 219,250.00 | 14,250.00 |
| 4220 | CONTRACTED SERVICES | \$ 2,500.00 | \$ 2,500.00 | - |
| 4230 | PRINTING & BINDING | \$ 6,450.00 | \$ 6,450.00 | - |
| 4240 | POSTAGE & FREIGHT | \$ 37,625.00 | \$ 25,200.00 | (12,425.00) |
| 4250 | ADVERTISING | \$ 50,000.00 | \$ 50,000.00 | - |
| 4260 | RENTAL VEHICLES & EQUIPMENT | \$ 100,000.00 | \$ 100,000.00 | - |
| 4270 | DUES & SUBSCRIPTIONS | \$ 33,598.48 | \$ 34,100.00 | 501.52 |
| 4280 | MISC SERVICES-NOT CLASSIFIED | \$ 6,750.00 | \$ 5,000.00 | (1,750.00) |
| 4290 | STATIONERY/OFFICE SUPPLIES | \$ 20,450.00 | \$ 17,100.00 | (3,350.00) |
| 4301 | GAS, OIL & LUBRICANTS | \$ 350,000.00 | \$ 350,000.00 | - |
| | | | | - |

| CITY OF SCRANTON | | | | | |
|--------------------------------|----------------------------------|---------------|---------------|------|-------------|
| 2021 OPERATING BUDGET | | | | | |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | | |
| | | 2020 | 2021 | | 11/15/2020 |
| | | Budget | Budget | | |
| 4310 | EQUIPMENT/VEHICLE REPAIR & MAINT | \$ 375,000.00 | \$ 360,000.00 | DIFF | (15,000.00) |
| 4320 | BUILDING REPAIR-SUPPLY & MAINT | \$ 165,250.00 | \$ 197,500.00 | | 32,250.00 |
| 4330 | MEDICAL, CHEMICAL & LAB SUPPLIES | \$ 10,000.00 | \$ 10,000.00 | | - |
| 4340 | CONSTRUCTION-PAVING MATERIAL | \$ 150,000.00 | \$ 150,000.00 | | - |
| 4350 | PAINT & SIGN MATERIAL | \$ 20,000.00 | \$ 50,000.00 | | 30,000.00 |
| 4360 | SMALL TOOLS/SHOP SUPPLIES | \$ 17,000.00 | \$ 10,000.00 | | (7,000.00) |
| 4370 | PARKS & RECREATION SUPPLIES | \$ 37,500.00 | \$ 37,500.00 | | - |
| 4380 | GUNS & AMMUNITION | \$ 43,500.00 | \$ 20,000.00 | | (23,500.00) |
| 4390 | MATERIAL/SUPPLIES (MISC) | \$ 228,600.00 | \$ 165,362.70 | | (63,237.30) |
| 4401 | TIRES | \$ 90,500.00 | \$ 90,500.00 | | - |
| 4410 | SALT | \$ 475,000.00 | \$ 475,000.00 | | - |
| 4420 | TRAVEL & LODGING | \$ 20,500.00 | \$ 4,000.00 | | (16,500.00) |
| 4430 | AIR PACKS/REHAB SUPPLIES | \$ 5,000.00 | \$ 5,000.00 | | - |
| 4440 | TELEPHONE | \$ 245,000.00 | \$ 265,000.00 | | 20,000.00 |
| 4450 | ELECTRICAL | \$ 150,000.00 | \$ 150,000.00 | | - |
| 4445 | SEWER CHARGES | \$ - | \$ - | | - |

| CITY OF SCRANTON | | | | | |
|--------------------------------|---------------------------------------|-----------------|-----------------|----------------|------------|
| 2021 OPERATING BUDGET | | | | | 11/15/2020 |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | | |
| | | 2020 Budget | 2021 Budget | DIFF | |
| 4447 | PG ENERGY GAS | \$ 125,000.00 | \$ 125,000.00 | - | - |
| 4448 | PAWC-WATER | \$ 435,000.00 | \$ 435,000.00 | - | - |
| 4460 | STREET LIGHTING | \$ 230,000.00 | \$ 230,000.00 | - | - |
| 4465 | BUILDING SUPPLIES | \$ 750.00 | \$ 750.00 | - | - |
| 4466 | STREET LIGHTING SERVICE / MAINTENANCE | \$ 150,000.00 | \$ 150,000.00 | - | - |
| 4467 | TRAFFIC SIGNAL ELECTRIC/MAINTENANCE | \$ 90,000.00 | \$ 90,000.00 | - | - |
| 4470 | TRAINING & CERTIFICATION | \$ 185,000.00 | \$ 196,500.00 | 11,500.00 | |
| 4480 | SELF INSURANCE | \$ 95,000.00 | \$ 95,000.00 | - | - |
| 4490 | LANDFILL | \$ 1,410,000.00 | \$ 1,410,000.00 | - | - |
| 4530 | PERFORMING ARTS | \$ 17,500.00 | \$ 17,500.00 | - | - |
| 4540 | SPRING/SUMMER PROGRAM | \$ 3,000.00 | \$ 20,000.00 | 17,000.00 | |
| 4550 | CAPITAL EXPENDITURES | \$ 2,832,750.00 | \$ 370,000.00 | (2,462,750.00) | |
| 4551 | ROAD RESURFACING | \$ 850,000.00 | \$ 1,000,000.00 | 150,000.00 | |
| 4555 | RECYCLING | \$ 350,000.00 | \$ 60,000.00 | (290,000.00) | |
| 4560 | EQUIPMENT MAINTENANCE & LEASES | \$ 92,500.00 | \$ 70,000.00 | (22,500.00) | |

| CITY OF SCRANTON | | | | | |
|--------------------------------|-------------------------------------|-----------------|-----------------|------|--------------|
| 2021 OPERATING BUDGET | | | | | |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | | |
| | | 2020 Budget | 2021 Budget | | 11/15/2020 |
| | | | | | |
| 4570 | MAINTENANCE OF COMMUNICATION EQUIP | \$ 32,750.00 | \$ 27,500.00 | DIFF | (5,250.00) |
| 4575 | MAINTENANCE-EQUIPMENT | \$ 1,000.00 | \$ 13,700.00 | | 12,700.00 |
| 4576 | MAINTENANCE OF SUPER FUND SIGHT | \$ 10,000.00 | \$ 10,000.00 | | - |
| 4580 | GENERAL EQUIPMENT | \$ 62,500.00 | \$ 40,000.00 | | (22,500.00) |
| 4590 | BUILDING DEMOLITION | \$ 145,000.00 | \$ 200,000.00 | | 55,000.00 |
| 4630 | LIABILITY & CASUALTY INSURANCE | \$ 1,175,000.00 | \$ 1,351,250.00 | | 176,250.00 |
| 4901 | PREVENTATIVE MAINTENANCE | \$ 7,500.00 | \$ 7,500.00 | | - |
| 6000 | TAX & MISC REFUNDS | \$ 2,500.00 | \$ - | | (2,500.00) |
| 6001 | TAX COLLECTION COMMITTEE EXPENSE | \$ 500.00 | \$ - | | (500.00) |
| 6002 | SPA CITATION ISSUERS | \$ - | \$ - | | - |
| 6003 | SPCA ANIMAL CONTROL | \$ 69,370.00 | \$ 52,000.00 | | (17,370.00) |
| 6004 | SINGLE TAX OFFICE AUDIT | \$ - | \$ - | | - |
| 6006 | PERSONNEL COST ADJUSTMENT | \$ 4,750.00 | \$ 5,000.00 | | 250.00 |
| 6007 | FLOOD PROTECTION SYSTEM MAINTENANCE | \$ 250,000.00 | \$ 250,000.00 | | - |
| 6009 | WORKERS' COMPENSATION | \$ 3,109,811.00 | \$ 2,955,129.00 | | (154,682.00) |
| 6024 | BANK FEES & CHARGES | \$ 10,000.00 | \$ 20,000.00 | | 10,000.00 |

| CITY OF SCRANTON | | | | |
|--------------------------------|---|-------------------|-------------------|--------------------|
| 2021 OPERATING BUDGET | | | | |
| EXPENDITURE SUMMARY BY ACCOUNT | | | | |
| | | 2020 Budget | 2021 Budget | 11/15/2020 DIFF |
| | | | | |
| | | | | |
| | | | | |
| | NON-DEPARTMENTAL EXPENDITURES: | | | - |
| | | | | - |
| 10020-10140 | BOARDS & COMMISSIONS | 637,000.00 | 392,500.00 | (244,500.00) |
| | | | | - |
| 15010-15360 | INTEREST & DEBT SERVICE (w/o TANs) | 18,201,845.92 | 18,015,172.48 | (186,673.44) |
| | | | | - |
| 15230-15240 | TANs (SERIES A & B) | 13,196,250.00 | 12,400,000.00 | (796,250.00) |
| | | | | - |
| 3090,16090-1700 | UNPAID BILLS/COURT AWARDS/MISCELLANEOUS | 2,568,300.00 | 2,065,978.01 | (502,321.99) |
| | | | | - |
| | TOTAL NON-DEPARTMENTAL EXPENDITURES | 34,603,395.92 | 32,873,650.49 | (1,729,745.43) |
| | | | | - |
| | TOTAL OPERATING EXPENDITURES | \$ 115,800,750.73 | \$ 114,681,477.58 | (1,119,273.15) |

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| Office of the Mayor - #10 2021 Original Budget - 11-15-2020 | | | | | | Diff 2021 -20 Salary \$ | Hcount |
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| POSITION/TITLE | 2020 | | 2021 | | # | | |
| | Total | # | Total | # | | | |
| MAYOR | \$ 75,000.00 | 1 | \$ 75,000.00 | 1 | | | |
| SPECIAL ASSISTANT (constituent services) | \$ 40,085.00 | 1 | \$ 40,085.00 | 1 | | - | |
| CHIEF OF STAFF | | | \$ 70,000.00 | 1 | | | |
| EXECUTIVE ASSISTANT | \$ 31,000.00 | 1 | \$ 31,000.00 | 1 | | - | |
| COMMUNICATIONS MANAGER | | | Not Funded for 2021 | | | | |
| Department of the Mayor Total | \$ 146,085.00 | 3 | \$ 216,085.00 | 4 | | 70,000.00 | 1.00 |

| Department of Public Safety - #11 Bureau of Police - #71 | | 2020 | | 2021 | | Diff 2021 -20 | |
|---|--|-------------------------|------------|-------------------------|------------|-------------------|---------------|
| POSITION/TITLE | | Total | # | Total | # | Salary \$ | Hcount |
| SUPERINTENDENT OF POLICE | | \$ 102,605.12 | 1 | \$ 102,605.12 | 1 | - | - |
| DEPUTY SUPERINTENDENT OF POLICE | | \$ 98,500.00 | 1 | \$ 98,500.00 | 1 | 98,500.00 | 1.00 |
| DEPARTMENT CAPTAIN | | \$ 94,863.73 | 1 | \$ 97,904.74 | 1 | 3,041.01 | |
| LIEUTENANT OF DETECTIVES | | \$ 87,707.15 | 1 | \$ 90,518.75 | 1 | 2,811.60 | |
| LIEUTENANT - ADMINISTRATIVE | | \$ 84,333.71 | 1 | \$ 87,037.16 | 1 | 2,703.45 | |
| LIEUTENANTS | | \$ 253,001.13 | 3 | \$ 261,111.49 | 3 | 8,110.36 | |
| SUPERVISOR - NARCOTICS DIVISION | | \$ 81,090.35 | 1 | \$ 83,689.83 | 1 | 2,599.48 | |
| FIRE MARSHALL | | \$ 81,090.42 | 1 | \$ 83,689.91 | 1 | 2,599.49 | |
| DETECTIVE SERGEANT | | \$ 324,361.68 | 4 | \$ 334,759.62 | 4 | 10,397.94 | |
| DETECTIVES | | \$ 1,247,541.12 | 16 | \$ 1,287,533.08 | 16 | 39,991.96 | |
| SERGEANTS-TRAINING | | \$ 155,942.64 | 2 | \$ 160,941.64 | 2 | 4,999.00 | |
| SERGEANTS | | \$ 1,169,569.80 | 15 | \$ 1,207,062.27 | 15 | 37,492.47 | |
| JUVENILE PATROLMEN | | \$ 299,889.76 | 4 | \$ 309,503.22 | 4 | 9,613.46 | |
| CORPORALS | | \$ 576,710.80 | 8 | \$ 595,198.20 | 8 | 18,487.40 | |
| REGULAR PATROLMEN - (Change Title to PS Officers) | | \$ 5,732,866.47 | 89 | \$ 5,850,163.72 | 88 | 117,297.25 | (1.00) |
| SCHOOL RESOURCE OFFICERS 1/2 FUNDED BY SSD (6 OFFI | | | | | | | |
| Neighborhood Police Officers Paid by OECD (4 in 2019) | | \$ (247,520.35) | (4) | \$ (255,455.02) | (4) | (7,934.67) | |
| SUBTOTAL POLICE OFFICERS | | \$ 10,044,053.53 | 143 | \$ 10,394,763.73 | 143 | 350,710.20 | - |
| ANIMAL CONTROL OFFICER | | \$ 60,117.03 | 2 | \$ 60,117.03 | 2 | - | - |
| SIT CLERKS | | \$ 550,850.86 | 15 | \$ 550,850.86 | 15 | - | - |
| ADMINISTRATIVE ASSISTANT III- PAYROLL CLERK | | \$ 39,748.92 | 1 | \$ 39,748.92 | 1 | - | - |
| GRANT MANAGER/SIT CLERK - Move to Community Development | | \$ 50,387.75 | 1 | \$ - | - | (50,387.75) | (1.00) |
| SIT CLERK/CRIMINAL INFORMATION SPECIALIST | | \$ 46,398.43 | 1 | \$ 46,398.43 | 1 | - | - |
| Subtotal - Administrative Support | | 687,385.96 | 18 | 636,998.21 | 17 | (50,387.75) | |
| Bureau of Police Total | | \$ 10,791,556.52 | 163 | \$ 11,091,878.97 | 162 | 300,322.45 | (1.00) |

* Scranton School District Reimburses the City for salaries & healthcare of 6 officers based on hours spent in the Schools.

(a) Animal Control Officer is 1 full-time & 1 part-time

| Department of Public Safety - #11 Bureau of Fire - #78 | | | | Diff 2021 -20 Salary \$ Hcount | |
|---|-------------------------|------------|-------------------------|-----------------------------------|-------------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | |
| CHIEF/EMERGENCY MANAGEMENT COORDINATOR | \$ 84,728.11 | 1 | \$ 100,799.56 | 1 | 16,071.45 |
| DEPUTY CHIEF | \$ 93,841.06 | 1 | \$ 96,922.66 | 1 | 3,081.60 |
| ASST. CHIEF | \$ 270,695.37 | 3 | \$ 279,584.59 | 3 | 8,889.22 |
| ADMIN. CAPTAIN | \$ 83,424.36 | 1 | \$ 86,163.89 | 1 | 2,739.53 |
| CAPTAIN | \$ 1,251,365.36 | 15 | \$ 1,292,458.33 | 15 | 41,092.97 |
| LIEUTENANT | \$ 1,283,451.65 | 16 | \$ 1,242,748.39 | 15 | (40,703.26) |
| CHAUFFEUR | \$ 2,236,784.73 | 29 | \$ 2,389,900.76 | 30 | 153,116.03 |
| FIRE INSPECTOR | \$ 173,522.66 | 2 | \$ 179,220.89 | 2 | 5,698.23 |
| FIRE PREVENTION OFFICER | \$ 86,761.33 | 1 | \$ 89,610.44 | 1 | 2,849.11 |
| PRIVATE | \$ 4,620,414.09 | 68 | \$ 4,688,085.43 | 69 | 67,671.34 |
| MASTER MECHANIC | \$ 86,761.33 | 1 | \$ 89,610.44 | 1 | 2,849.11 |
| ADMINISTRATIVE ASSISTANT III- PAYROLL CLERK | \$ 39,748.92 | 1 | \$ 39,748.92 | 1 | - |
| Bureau of Fire Total | \$ 10,311,498.97 | 139 | \$ 10,574,854.30 | 140 | 263,355.33 |

| Office of City Clerk/City Council - #20 | | | | | (10,574,854.30) |
|---|--------------|---|--------------|---|-----------------------------------|
| POSITION/TITLE | 2020 | | 2021 | | Diff 2021 -20 Salary \$ Hcount |
| | Total | # | Total | # | |
| CITY COUNCIL | \$ 62,500.00 | 5 | \$ 62,500.00 | 5 | - |
| CITY CLERK | \$ 54,220.00 | 1 | \$ 60,000.00 | 1 | 5,780.00 |
| EXECUTIVE ASSISTANT | \$ 40,312.94 | 1 | \$ 40,312.94 | 1 | - |
| CONFIDENTIAL SECRETARY | \$ 36,723.33 | 1 | \$ 36,723.33 | 1 | - |
| LEGISLATIVE LEGAL ADVISOR (a) | \$ 46,000.00 | 1 | \$ 46,000.00 | 1 | - |
| Department of City Clerk / City Council Total | | | | | 5,780.00 |
| | | | | | - |

| City Controller - #30 | | 3% increase Per Controller | | Diff 2021 -20 |
|---|---------------|----------------------------|-----------|---------------|
| POSITION/TITLE | 2020 | 2021 | Salary \$ | Hcount |
| | Total | Total | | |
| CITY CONTROLLER | \$ 40,000.00 | \$ 40,000.00 | - | |
| SOLICITOR TO CONTROLLER | \$ 25,092.00 | \$ 25,844.76 | 752.76 | |
| CONFIDENTIAL SECRETARY/ASSISTANT | \$ 33,671.00 | \$ 34,681.13 | 1,010.13 | |
| DEPUTY CONTROLLER/ADMIN. | \$ 43,737.00 | \$ 45,049.11 | 1,312.11 | |
| ADMINISTRATIVE ASSISTANT IV -INTERNAL AUDITOR * | \$ 35,696.39 | \$ 36,767.28 | 1,070.89 | |
| PROGRAM MONITOR | \$ 35,077.00 | \$ 36,129.31 | 1,052.31 | |
| PERFORMANCE AUDITOR | \$ 39,737.00 | \$ 39,737.00 | - | |
| Department City Controller Total | \$ 253,010.39 | \$ 258,208.59 | 5,198.20 | - |

| Department of Business Administration - #40 Bureau of Administration - #40 | | | | Diff 2021 -20 Salary \$ | Hcount |
|---|-------------------|----------|-------------------|----------------------------|------------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | |
| BUSINESS ADMINISTRATOR | \$ 95,000.00 | 1 | \$ 80,000.00 | 1 | |
| FINANCE MANAGER/ASST. BUSINESS ADMINISTRATOR | \$ 74,900.00 | 1 | \$ 74,900.00 | 1 | (15,000.00) |
| SENIOR ACCOUNTANT | \$ 40,900.00 | 1 | \$ 40,900.00 | 1 | - |
| STAFF ACCOUNTANT | \$ 41,000.00 | 1 | \$ 41,000.00 | 1 | - |
| FINANCIAL ANALYST | \$ 50,193.48 | 1 | \$ 50,193.38 | 1 | (0.10) |
| PROJECT MANAGER | | - | \$ 50,000.00 | 1 | 50,000.00 |
| ADMINISTRATIVE ASSISTANT III- ACCOUNTS PAYABLE CLERK | \$ 34,780.38 | 1 | \$ 34,780.38 | 1 | - |
| ADMINISTRATIVE ASSISTANT IV -PURCHASING CLERK | \$ 40,795.92 | 1 | \$ 40,795.82 | 1 | (0.10) |
| Bureau of Administration Total | 377,569.78 | 7 | 412,569.58 | 8 | 34,999.80 |
| | | | | | 1.00 |

| Department of Business Administration - #40 Bureau of Human Resources - #41 | | | | Diff 2021 -20 Salary \$ Hcount | |
|--|-------------------|----------|-------------------|-----------------------------------|-----------------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | |
| HUMAN RESOURCES DIRECTOR | \$ 58,250.00 | 1 | \$ 65,000.00 | 1 | - |
| PUBLIC HEALTH COORDINATOR | | | Fund from Outside | 1 | 6,750.00 |
| CONFIDENTIAL ADMINISTRATIVE ASSISTANT | | - | \$ 36,000.00 | 1 | 36,000.00 |
| ADMINISTRATIVE ASSISTANT I-BENEFITS COORDINATOR | \$ 36,723.23 | 1 | \$ 39,988.99 | 1 | 3,265.76 |
| ADMIN ASSISTANT III- PAYROLL CLERK | \$ 39,988.99 | 1 | \$ 39,988.99 | 1 | - |
| ADMINISTRATIVE ASSISTANT I-CLERICAL FLOATER | \$ 36,723.36 | 1 | \$ 36,723.36 | 1 | - |
| WORKER'S COMPENSATION PROGRAM MANAGER | \$ 42,500.00 | 1 | \$ 42,500.00 | 1 | - |
| Bureau of Human Resources Total | 214,185.58 | 5 | 260,201.34 | 7 | 46,015.76 2.00 |

| Department of Business Administration - #40 Bureau of Information Technology - #42 | | | | | Diff 2021 -20 Salary \$ | Hcount |
|---|-------------------|----------|-------------------|----------|----------------------------|-------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | | |
| DIRECTOR INFORMATION TECHNOLOGY | \$ 61,100.00 | 1 | \$ 65,000.00 | 1 | 3,900.00 | |
| SYSTEMS ADMINISTRATOR | | - | \$ 47,900.00 | 1 | 47,900.00 | |
| BUSINESS TRANSFORMATION SPECIALIST (Title change) | \$ 47,900.00 | 1 | \$ 47,900.00 | 1 | - | |
| PUBLIC SAFETY TECHNOLOGY SPECIALIST (Title change) | \$ 48,750.00 | 1 | \$ 38,000.00 | 1 | (10,750.00) | |
| NETWORK SYSTEMS & MANAGER | | | \$ 61,100.00 | 1 | 61,100.00 | |
| Bureau of Information Technology Total | 157,750.00 | 3 | 259,900.00 | 5 | 102,150.00 | 2.00 |

| Department of Business Administration - #40 Bureau of Treasury - #43 | | | | Diff 2021 -20 Salary \$ Hcount | |
|---|----------------------|--|-----------|-----------------------------------|-------------|
| POSITION/TITLE | 2020 | | # | 2021 | |
| | Total | | | Total | # |
| CITY TREASURER | \$ 47,560.00 | | 1 | \$ 65,000.00 | 1 |
| ADMINISTRATIVE ASSISTANT II- CASHIER | \$ 37,468.26 | | 1 | \$ 37,468.26 | 1 |
| ADMINISTRATIVE ASSISTANT II - ASSISTANT CASHIER | \$ 32,784.76 | | 1 | | - |
| CONSTITUENT SERVICES | | | | \$ 37,000.00 | 1 |
| ASSISTANT TREASURER - BILLING & DATA MGMT | \$ - | | - | NOT FUNDED 2021 | - |
| Bureau of Treasury Total | \$ 117,813.02 | | 3 | \$ 139,468.26 | 3 |
| Department of Business Administration Total | \$ 867,318.38 | | 18 | \$ 1,072,139.18 | 23 |
| | | | | 204,820.80 | 5.00 |

| Office of Economic and Community Development - #50 | | | | | Diff 2021 -20 | |
|--|----------------------|-----------|----------------------|-----------|--------------------|-------------|
| Bureau of Administration | | | | | Salary \$ | Hcount |
| Change to Community Development | | | | | | |
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | | |
| DIRECTOR COMMUNITY DEVELOPMENT(salary 70K, 76% HUD) | \$ 53,152.00 | 1 | \$ 16,848.00 | 1 | (36,304.00) | |
| DEPUTY DIRECTOR | \$ 48,500.00 | 1 | \$ 50,000.00 | 1 | 1,500.00 | |
| DIRECTOR OF FINANCE & COMPLIANCE | \$ 44,000.00 | 1 | \$ 45,000.00 | 1 | 1,000.00 | |
| DIRECTOR OF HOUSING/ADA COMPLIANCE | \$ 37,991.00 | 1 | \$ 40,000.00 | 1 | 2,009.00 | |
| SOLICITOR | \$ 52,500.00 | 1 | \$ 45,000.00 | 1 | (7,500.00) | |
| ADMINISTRATIVE ASSISTANT III-FINANCIAL ANALYST | \$ 40,749.00 | 1 | \$ - | - | (40,749.00) | (1.00) |
| HOUSING SPECIALIST | \$ 37,000.00 | 1 | \$ 40,000.00 | 1 | 3,000.00 | |
| ADMINISTRATIVE ASSISTANT (move from Lips) | \$ - | 1 | \$ 30,000.00 | 1 | 30,000.00 | 1.00 |
| PUBLIC SERVICE/ESG SPECIALIST * | \$ 30,500.00 | 1 | \$ 40,000.00 | 1 | 9,500.00 | |
| EQUAL OPPORTUNITY SPECIALIST * | \$ 44,000.00 | 1 | \$ 45,000.00 | 1 | 1,000.00 | |
| GRANT MANAGER - Moved from PD | \$ 50,387.75 | 1 | \$ 40,310.20 | 1 | (10,077.55) | 1.00 |
| Bureau of Administration Total | \$ 438,779.75 | 9 | \$ 392,158.20 | 10 | (46,621.55) | 1.00 |
| non-addition to budget | | | | | | |
| CITY CONTRIBUTION Dir & Grant writer | | | \$ | | | |
| City % of Budget | | | | | | |
| | | | \$ 57,158.20 | | | |
| *Construction/ADA Specialist & Economic Development/Relocation Specialist position became Housing Specialist | | | | | | |
| *Economic Development/Relocation Specialist position became Administrative Assistant | | | | | | |
| Change to Neighborhood Engagement | | | | | | |
| Office of Economic and Community Development - #50 | | | | | | |
| Bureau of Neighborhood Police - #515 | | | | | | |
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | Salary \$ | Hcount |
| NEIGHBORHOOD POLICE OFFICERS - Public Safety | \$ 247,520.35 | 4 | \$ 247,520.35 | 4 | - | |
| Bureau of Neighborhood Police Total | \$ 247,520.35 | 4 | \$ 247,520.35 | 4 | - | |
| OECD Department Total | \$ 686,300.10 | 13 | \$ 639,678.55 | 14 | (46,621.55) | 1.00 |
| non-addition to budget | | | | | | |
| non-addition to budget | | | | | | |

| | | | | | | | |
|---|----------------------|-----------|----------------------|-------|-----------|---------------|--------|
| Reports to Community Development Department of Code Enforcement & City Planning Dept. of Licenses, Inspections & Permits - #51 Bureau of Licenses, Inspections & Permits - #51 | | | | | | Diff 2021 -20 | Hcount |
| POSITION/TITLE | 2020 | # | 2021 | Total | # | Salary \$ | |
| DIRECTOR | \$ 50,760.00 | 1 | \$ 56,623.88 | | 1 | - | - |
| MANAGER | \$ 46,635.00 | 1 | \$ 46,635.00 | | 1 | 5,863.88 | - |
| ADMINISTRATIVE ASSISTANT I- PERMIT (CLERK) Specialist | \$ 73,446.66 | 2 | \$ 73,446.66 | | 2 | - | - |
| ELECTRICAL INSPECTOR | | | | | | - | - |
| PLUMBING INSPECTOR | | | | | | - | - |
| MECHANICAL INSPECTOR | \$ 41,610.51 | 1 | \$ 41,610.51 | | 1 | - | - |
| WEIGHTS & MEASURES/Housing | \$ 40,650.69 | 1 | \$ 40,650.69 | | 1 | - | - |
| ADMINISTRATIVE ASSISTANT I | \$ 36,723.33 | 1 | \$ 36,723.33 | | 1 | - | - |
| ENFORCER OF LICENSES | \$ 40,650.69 | 1 | \$ 40,650.69 | | 1 | - | - |
| ZONING OFFICER/CODE ENFORCER | \$ 37,500.00 | 1 | \$ 37,500.00 | | 1 | - | - |
| CONFIDENTIAL ASSISTANT ZONING/PLANNING Move to Conf | \$ 30,000.00 | 1 | Not funded in 2021 | | - | #VALUE! | (1.00) |
| HOUSING INSPECTORS | \$ 203,253.45 | 5 | \$ 203,253.45 | | 5 | - | - |
| HOUSING/HEALTH INSPECTOR | \$ 40,650.69 | 1 | \$ 40,650.69 | | 1 | - | - |
| RENTAL REGISTRATION ASSISTANT/housing inspector | \$ 81,301.38 | 2 | \$ 81,301.38 | | 2 | - | - |
| CITY PLANNER move to Dept Community Dev | \$ 56,623.88 | 1 | \$ 56,623.88 | | 1 | - | - |
| ASSISTANT PLANNER - (New Move to Community Dev) | | - | | | | 40,650.69 | 1.00 |
| HEALTH INSPECTOR | \$ 40,650.69 | 1 | \$ 40,650.69 | | 1 | - | - |
| DCED will add \$100,000 into our action plan to pay salaries for code enforcement staff | | | | | | (100,000.00) | |
| Bureau of Licenses, Inspections & Permits Total | \$ 820,456.97 | 20 | \$ 736,971.54 | | 20 | (83,485.43) | - |
| Bureau of Buildings - #82 --> Reports to BA | | | | | | Diff 2021 -20 | Hcount |
| POSITION/TITLE | 2020 | # | 2021 | Total | # | Salary \$ | |
| MAINTENANCE | \$ 40,650.69 | 1 | \$ 46,609.47 | | 1 | 5,958.78 | - |
| JANITOR | \$ 70,253.05 | 2 | \$ 70,253.05 | | 2 | - | - |
| Bureau of Buildings Total | \$ 110,903.74 | 3 | \$ 116,862.52 | | 3 | 5,958.78 | - |
| Department of Code enforcement Total | \$ 931,360.71 | 23 | \$ 853,834.06 | | 23 | (77,526.65) | - |

| POSITION/TITLE | 2020 | | 2021 | | # | Diff 2021 -20 | |
|---|-------|---|-----------------|---|---|---------------|--------|
| | Total | # | Total | # | | Salary \$ | Hcount |
| Dept. of Neighborhoods Parks & Recreation | | | | | | | |
| DIRECTOR | \$ - | - | \$ 50,000.00 | 1 | 1 | 50,000.00 | 1.00 |
| MANAGER | \$ - | - | \$ 46,635.00 | 1 | 1 | 46,635.00 | 1.00 |
| COORDINATOR | | | Not Funded 2021 | - | - | #VALUE! | - |
| PROJECT ADMINISTRATOR | | | \$ 44,052.01 | 1 | 1 | 44,052.01 | 1.00 |
| Dept. of Neighborhood Engagement | \$ - | - | \$ 140,687.01 | 3 | 3 | 140,687.01 | 3.00 |

| Law Department - #60 | | | | Diff 2021 -20 | |
|--|---------------|---|---------------|---------------|------------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | Salary \$ Hcount |
| CITY SOLICITOR | \$ 74,500.00 | 1 | \$ 74,500.00 | 1 | - |
| FIRST ASSISTANT CITY SOLICITOR | \$ 60,000.00 | 1 | \$ 65,000.00 | 1 | 5,000.00 |
| ASSISTANT CITY SOLICITOR | \$ 60,000.00 | 1 | \$ 45,000.00 | 1 | (15,000.00) |
| ASSISTANT CITY SOLICITOR | \$ 10,000.00 | 1 | \$ 35,000.00 | 1 | 25,000.00 |
| TAX OFFICE SOLICITOR | \$ 35,000.00 | 1 | \$ 10,000.00 | 1 | 10,000.00 |
| PROJECT MANAGER | | | | | (35,000.00) |
| TAX RECOVERY SOLICITOR - (Recovery fee comp) | | | \$ 15,000.00 | 1 | 15,000.00 |
| CONFIDENTIAL SECRETARY | \$ 36,000.00 | 1 | \$ 36,000.00 | 1 | - |
| SALARY OVERTIME | | | \$ 2,000.00 | | - |
| CONFIDENTIAL SECRETARY | \$ 42,000.00 | 1 | \$ 42,000.00 | 1 | - |
| Department of Law Total | \$ 317,500.00 | 7 | \$ 324,500.00 | 8 | 7,000.00 1.00 |

| Department of Public Works - #80 Bureau of Administration - #80 | | 2020 | | 2021 | | Diff 2021 -20 | |
|--|--|----------------------|----------|----------------------|----------|------------------|----------|
| POSITION/TITLE | | Total | # | Total | # | Salary \$ | Hcount |
| DIRECTOR | | \$ 57,375.00 | 1 | \$ 70,000.00 | 1 | 12,625.00 | |
| RECYCLING & SAFETY SUPERVISOR (Name Change) | | \$ 36,999.95 | 1 | \$ 45,000.00 | 1 | 8,000.05 | |
| MANAGER/EMERGENCY COORDINATOR (Title change) | | \$ 47,000.00 | 1 | \$ 50,000.00 | 1 | 3,000.00 | |
| FLEET SERVICES SUPERVISOR -(Title change) | | \$ 45,000.00 | 1 | \$ 45,000.00 | 1 | - | |
| ADMINISTRATIVE ASSISTANT IV | | \$ 40,795.95 | 1 | \$ 40,795.95 | 1 | - | |
| ADMINISTRATIVE ASSISTANT III- PAYROLL CLERK | | \$ 39,748.92 | 1 | \$ 39,748.92 | 1 | - | |
| DPW Bureau of Administration Total | | \$ 266,919.82 | 6 | \$ 290,544.87 | 6 | 23,625.05 | - |

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| Department of Public Works - #80 Bureau of Engineering - #81 | | | | Diff 2021 -20 | |
|---|------------------------|-----------|------------------------|-------------------|-------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | Salary \$ | Hcount |
| STREET & SIDEWALK INSP (Title change only) | \$ 40,650.62 | 1 | \$ 40,650.62 | - | - |
| FLOOD CONTROL PROJECT COORDINATOR | \$ 28,999.98 | 1 | | (28,999.98) | (1.00) |
| ENGINEERING PROJECT MANAGER (75K starts April 2021) | | - | \$ 50,000.00 | | 1.00 |
| FLOOD CONTROL MAINTENANCE | \$ 46,609.47 | 1 | \$ 139,828.41 | 93,218.94 | 2.00 |
| DPW Bureau of Engineering Total | \$ 116,260.07 | 3 | \$ 230,479.03 | 114,218.96 | 1.00 |
| | | | | | |
| Department of Public Works - #80 Bureau of Highways - #83 | | | | Diff 2021 -20 | |
| POSITION/TITLE | 2020 Total | # | 2021 Total | Salary \$ | Hcount |
| MAINTENANCE SUPERVISOR | \$ 96,056.90 | 2 | \$ 96,056.90 | - | - |
| HEAVY EQUIPMENT OPERATOR/CRAFTSMEN | \$ 192,113.80 | 4 | \$ 192,113.80 | - | - |
| HEAVY EQUIPMENT OPERATOR/LEADER | \$ 330,107.86 | 7 | \$ 330,107.86 | - | - |
| CHAUFFEUR | \$ 278,957.94 | 6 | \$ 278,957.94 | - | - |
| REPAIRMAN | \$ 46,609.47 | 1 | \$ 46,609.47 | - | - |
| WATCH PERSON (change to Dispatcher 3rd shift) | \$ 46,609.47 | 1 | \$ 46,609.47 | - | - |
| DISPATCHER (2nd shift) | \$ 48,470.24 | 1 | \$ 48,470.24 | - | - |
| MAINTENANCE/CRAFTSMAN LEADER | \$ 48,028.45 | 1 | \$ 48,028.45 | - | - |
| TREE TRIMMER | \$ 47,618.90 | 1 | \$ 47,618.90 | - | - |
| SWEEPER OPERATOR/CHAUFFEUR | \$ 47,407.15 | 1 | \$ 47,407.15 | - | - |
| STONE-BRICK LAYER MASON | \$ 96,268.74 | 2 | \$ 144,403.11 | 48,134.37 | 1.00 |
| TRAFFIC/SIGN MAINTENANCE | | | | | |
| Bureau of Highways Total | \$ 1,278,248.92 | 27 | \$ 1,326,383.29 | 48,134.37 | 1.00 |

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| Department of Public Works - #80 Bureau of Refuse - #84 | | | | Diff 2021 -20 | |
|---|------------------------|-----------|------------------------|-------------------|-------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | Salary \$ | Hcount |
| FOREMAN LEAD - Change to Supervisor | \$ 47,087.30 | 1 | \$ 47,087.30 | - | - |
| OPERATOR LEADER | \$ 624,369.85 | 13 | \$ 624,369.85 | - | - |
| COLLECTOR LEADER | \$ 47,183.97 | 1 | \$ 47,183.97 | - | - |
| COLLECTOR | \$ 1,255,310.70 | 27 | \$ 1,255,310.70 | - | - |
| DISPATCHER 1st | \$ 46,492.99 | 1 | \$ 46,492.99 | - | - |
| RECYCLING CHAUFFEUR | \$ 192,113.80 | 4 | \$ 192,113.80 | - | - |
| Team Leader | | - | | | |
| Bureau of Refuse Total | \$ 2,212,558.61 | 47 | \$ 2,212,558.61 | - | - |
| | | | | | |
| Department of Public Works - #80 Bureau of Garages - #85 | | | | Diff 2021 -20 | |
| POSITION/TITLE | 2020 Total | # | 2021 Total | Salary \$ | Hcount |
| AUTO REPAIRMAN | \$ 96,940.48 | 2 | \$ 96,940.48 | - | - |
| EQUIPMENT / VEHICLE MAINTENANCE | \$ 48,470.24 | 1 | \$ 48,470.24 | - | - |
| TIRE-EQUIPMENT REPAIR/HELPER | \$ 47,004.67 | 1 | \$ 47,004.67 | - | - |
| PARTS MANAGER/AUTO REPAIR/PA STATE VEHICLE INSPEC | \$ 47,721.44 | 1 | \$ 47,721.44 | - | - |
| MOTOR VEHICLE REPAIR | \$ 44,073.97 | 1 | \$ 44,073.97 | - | - |
| MECHANIC | \$ 44,073.97 | 1 | \$ 44,073.97 | - | - |
| MECHANIC DIESEL | \$ 44,073.97 | 1 | \$ 44,073.97 | - | - |
| Bureau of Garages Total | \$ 372,358.74 | 8 | \$ 372,358.74 | - | - |
| | | | | | |
| Department of Public Works Total | \$ 4,246,346.16 | 91 | \$ 4,432,324.54 | 185,978.38 | 3.00 |

| <i>Move Grounds keepers to DPW</i> | | | | | | - |
|--|----------------------|----------|----------------------|----------|----------------------------|---------------|
| Department of Parks & Recreation - #100 | | | | | | |
| Bureau of Parks & Recreation - #100 | | | | | | |
| <i>Now department of Neighborhoods, Parks & Recreation</i> | | | | | | |
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | Diff 2021 -20 Salary \$ | Hcount |
| PARKS AND RECREATION DIRECTOR | \$ 52,500.00 | 1 | \$ - | 0 | (52,500.00) | (1.00) |
| PROJECT ADMINISTRATOR (moved to Neighborhood & Parks) | \$ 44,052.01 | 1 | \$ - | 0 | (44,052.01) | (1.00) |
| POOL OPERATORS / GROUNDSKEEPER | \$ 93,413.64 | 2 | \$ 93,413.64 | 2 | - | |
| PARKS & RECREATION GROUNDSKEEPER | \$ 139,478.97 | 3 | \$ 139,478.97 | 3 | - | |
| FACILITY MAINTENANCE / GROUNDSKEEPER | \$ 95,128.80 | 2 | \$ 95,128.80 | 2 | - | |
| Department of Parks & Recreation Total | \$ 424,573.42 | 9 | \$ 328,021.41 | 7 | (96,552.01) | (2.00) |
| | | | | | \$ 89,426.37 | |

| Single Tax Office - #90 (a) | | | | Diff 2021 -20 | |
|--|-------------------------|------------|-------------------------|---------------|-----------------------------|
| POSITION/TITLE | 2020 Total | # | 2021 Total | # | Salary \$ Hcount |
| COLLECTOR OF TAXES | \$ 26,650.00 | 1 | \$ 26,650.00 | 1 | |
| CONTROLLER | \$ 29,500.00 | 1 | \$ 29,500.00 | 1 | |
| LEAD CASHIER | \$ 23,066.30 | 1 | \$ 23,066.30 | 1 | |
| CASHIER | \$ 21,976.12 | 1 | \$ 21,976.12 | 1 | |
| CASHIER | \$ 21,976.12 | 1 | \$ 21,976.12 | 1 | |
| LEAD AUDITOR | \$ 21,976.12 | 1 | \$ 21,976.12 | 1 | |
| LEAD CLERK | \$ 21,976.12 | 1 | \$ 21,976.12 | 1 | |
| LEAD AUDITOR | \$ 23,611.40 | 1 | \$ 23,611.40 | 1 | |
| LEAD AUDITOR | \$ 23,611.40 | 1 | \$ 23,611.40 | 1 | |
| AUDITOR (b) (Cashier) | \$ 41,452.24 | 1 | \$ 41,452.24 | 1 | |
| CLERK | \$ 20,885.94 | 1 | \$ 20,885.94 | 1 | |
| AUDITOR | \$ 21,976.12 | 1 | \$ 21,976.12 | 1 | |
| AUDITOR | \$ 22,864.90 | 1 | \$ 22,864.90 | 1 | |
| CLERK | \$ 14,025.21 | 1 | \$ 14,025.21 | 1 | |
| CLERK | \$ 20,885.94 | 1 | \$ 20,885.94 | 1 | |
| AUDITOR | \$ 14,025.21 | 1 | \$ 14,025.21 | 1 | |
| CLERK | \$ 20,885.94 | 1 | \$ 20,885.94 | 1 | |
| LEAD AUDITOR | \$ 23,611.39 | 1 | \$ 23,611.39 | 1 | |
| Single Tax Office Department Total | \$ 414,956.47 | 18 | \$ 414,956.47 | 18 | - |
| (a) Salaries are paid 50% by the City and 50% by the Scranton School District. | | | | | |
| (b) Full Time City employee | | | | | |
| Total City of Scranton Budgeted Payroll (a) | \$ 28,943,962.29 | 500 | \$ 30,010,184.01 | 512 | \$1,009,063.52 12.00 |
| (a) Does not include OECD Payroll | | | | | |

FILE OF THE COUNCIL NO. _____

2020

AN ORDINANCE

AMENDING FILE OF THE COUNCIL NO. 6, 2020, AMENDING FILE OF THE COUNCIL NO. 59, 2019 ENTITLED "AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 17, 1994 ENTITLED 'AN ORDINANCE (AS AMENDED) AUTHORIZING THE GOVERNING BODY OF THE CITY OF SCRANTON TO ENACT 'A WASTE DISPOSAL AND COLLECTION FEE' FOR THE PURPOSE OF RAISING REVENUE TO COVER THE WASTE DISPOSAL AND COLLECTION COSTS INCURRED BY THE CITY OF SCRANTON FOR THE DISPOSAL OF REFUSE'" BY IMPOSING A WASTE DISPOSAL AND COLLECTION FEE OF \$300.00 FOR CALENDAR YEAR 2021 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER AND TO AMEND THE TIMELINE FOR PAYMENTS ALLOWING CHANGE IN THE MECHANISM OF BILLING, UPDATE THE REQUIREMENTS FOR EXONERATIONS AND INCREASE PENALTIES FOR VIOLATIONS.

WHEREAS, it is necessary for the city to impose a refuse fee of \$300.00 per property unit for calendar year 2021 to cover waste disposal and collection costs incurred; and;

WHEREAS, it is in the best interest of the City to change the schedule and timeline for payments in order to combine the Refuse Fee Billing with the Real Estate Billing which will change the mechanism for billing to allow the discounted payment, installment payments, and the penalty period to match the respective timelines for the real estate tax payment processes; and

WHEREAS, additional revision was made to the Exoneration and Violation/Penalty sections to strengthen the City's ability to verify and account for adjustments for vacancies and/or noncompliance. The revisions also reduce the risk of fraud.

SECTION 1. Be it ordained by the Council of the City of Scranton that Section 3, Section 4 and Section 5 of File of Council No. 17, 1994 (as amended) shall be amended to read as follows:

"SECTION 3. 'FEES'

The fee for waste collection and disposal costs, hereafter referred to as the 'refuse fee,' shall be as follows:

- A. The property owner is responsible for the payment of the refuse fee for each equivalent dwelling unit (EDU) he/she owns. The refuse fee set herein provided may be paid by the owner, tenant, lessee, or occupant of a particular dwelling unit but shall be the ultimate responsibility of the property owner.
- B. The annual fee for each EDU shall be \$300.
 - (1) If the annual waste disposal fee, that is \$300, is paid in full by the 15th of March of the year in which the fee is due, the payer may take a 10% discount from the annual fee. [Amended 5-7-2018 by Ord. No. 17-2018; 3-25-2019 by Ord. No. 59-2019]

- C. The refuse fee fixed by this subsection, if not paid in full as provided for above, shall be, at a minimum, payable in four installments.
- (1) Fees paid on an installment basis shall be due each year in the amount of \$75 per installment on or before the last business day (i.e., Monday through Friday) in March, June, August, and October. Installment payments must be paid in full on or before the respective installment due date, and, if not done so, the installment agreement shall be void.
 - (2) The refuse fee shall be considered delinquent if any installment payment is not paid on or before the established installment due date. A delinquent charge of twelve percent (12%) shall be added to the entirety of any unpaid balance.
 - (3) All fees shall be deposited in a special City interest-bearing account to be established by the Business Administrator. This account will be used to reimburse the General City Operating Fund for costs incurred directly for the disposal of refuse.
 - (4) All fees and associated penalties that remain unpaid as of the end of each calendar year shall constitute a lien against the property and will accrue interest at the rate of ten percent (10%) per annum.
- D. The Director of the Department of Public Works may discontinue all refuse collection service to any delinquent account. If service is stopped, service will be resumed only on payment of all accumulated fees during the delinquent period as well as all interest and penalties assessed against the account.
- E. Any person whose refuse is not being collected by the City under terms of this chapter shall furnish a notarized affidavit to the Director of the Department of Public Works and which describes the method and means of disposal of their garbage/ashes and which affidavit shall also include a statement of the reasons for which said person is not having his/her garbage/ashes disposed of by the City under the terms of this chapter.

SECTION 4. 'EXONERATIONS'

- A. Any dwelling unit which is totally unoccupied and which generates no refuse for an entire consecutive six-month or twelve-month period in a calendar year shall be exonerated from the charges as herein provided. Such exoneration shall be granted only after:
- (1) The owner(s) has filed a notarized affidavit with the City certifying such vacancy; and
 - (2) The owner must provide documentary evidence from two utilities, i.e., electric and water bills, showing the minimum six-month vacancy of the dwelling unit as demonstrated. Gas bills will not be accepted.
- B. The City shall have the right to inspect and verify the occupancy status of any property for which an exoneration request is made.
- C. An exoneration given for one six-month period shall reduce the yearly fee by fifty percent (50%). An exoneration given for an entire calendar year shall reduce the fee by one hundred percent (100%).
- D. The City shall establish administrative procedures for collecting the refuse fee and

granting exonerations

- E. The City Council shall, from time to time, adopt and promulgate rules and regulations setting forth the terms, conditions, and administrative procedures for levying and collecting of the waste disposal collection fee.

SECTION 5. 'PENALTIES'

- A. Any person, whether as principal, agent or employee, violating or assisting in violation of any provisions of this article or of any regulation made by Council, or the Director of the Department of Public Works under the provisions hereof, shall, upon conviction thereof before a District Justice, pay a fine of not less than \$500 and not more than \$1,000 and, in default of the payment of such fine and costs of prosecution, shall be imprisoned in the county jail for a period of not more than 30 days.
- B. Giving false information to City officials in order to avoid or reduce payment of the within fees shall constitute a separate offense which, upon conviction thereof before a District Justice, shall be punishable by a fine of not less than \$500 and not more than \$1,000 and, in default of the payment of such fine and costs of prosecution, shall be imprisoned in the county jail for a period of not more than 30 days."

SECTION 2. If any section, clause, provision or portion of this Ordinance shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Ordinance so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Ordinance of any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Ordinance, and the effective administration thereof.

SECTION 3. This Ordinance shall become effective immediately upon approval.

SECTION 4. This Ordinance is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State and Pennsylvania.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

Department of Business Administration originated this legislation. This initiative originated at the request of the Mayor following a review of real estate tax and refuses fee collections processes.

- ***Summary and Facts of the legislation***

The legislation does not change refuse fee, discount rate or penalty rate from the 2020 rates. Combining Refuse and Real estate billing shifts the timelines for discounted payment, installment payments, and the penalty period to match the respective timelines for the real estate tax payment processes.

The legislation strengthens procedures for residential property owners who elect not to have refuse picked up, requiring a notarized affidavit instead of a statement to the Director of the Department of Public Works and which describes the method and means of disposal of their garbage/ashes and which affidavit shall also include a statement of the reasons for which said person is not having his/her garbage/ashes disposed of by the City.

The legislation also strengthens the procedures and accountability for exonerations, requiring a notarized affidavit rather than a statement related to vacancies and requests for reduced fees and requiring two utilities demonstrating evidence of vacancy. It also eliminates exonerations for three-month consecutive periods, thereby increasing the minimum exoneration period to six months.

The legislation also increases minimum penalties from \$300 to \$500 and maximum penalties from \$500 to \$1,000.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The shift in payment timelines allows for the refuse fee to be included on the real estate tax bill to allow city tax/rate payers to pay at one location, namely the Single Tax Office.

The revisions to the exonerations and penalties clauses strengthen the City's ability to verify and account for adjustments for vacancies and/or noncompliance. The revisions also reduce the risk of fraud.

- ***What are the benefits of doing this/Down-side of doing this?***

Benefits - Putting the refuse fee on the tax bill provides a single point of payment for city real estate tax/refuse fee payers, who will also have the option of spreading payment across installments of up to 4 payments. It will also allow the city to improve the efficiency of collections by centralizing payments and having ready access to databases

for managing collections, budgets, and cash flow forecasting. Combining Refuse and real estate billing will also promote the cross referencing and improvement of property data.

The revisions to the exonerations and penalties clauses strengthen the City's ability to verify and account for adjustments for vacancies and/or noncompliance. The revisions also reduce the risk of fraud.

Downside – N/A

○ ***How does this legislation relate to the City's Vision/Mission/Priorities***

This legislation will streamline payments for residents and improve efficiency of collections; this will centralize and improve the quality of city data. The legislation will also foster improved accountability and deterrence of fraud.

• ***Financial Impact – please include the following in the explanation:***

○ ***Cost (initial and ongoing)***

The city will eliminate the approximately \$15,000 in annual printing and mailing costs associated with a separate refuse fee bill. It will require the reallocation of a collections clerk from City Hall to the Single Tax Office.

○ ***Benefits (initial and ongoing)***

This streamlining of the refuse fee collection process will improve collections by tying the refuse fee payment to the real estate payment. Tax and fee payers will not be able to pay one and not the other. We expect this shift in the collection process to materially improve the collection rate of the refuse fee, which has been a mere 65% in 2018 and 2019, resulting in inequity.

○ ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

N/A

• ***Priority Status/Deadlines, if any***

The ordinance must be approved by Council before year end in order for the new bills to be printed and mailed timely.

• ***Why should the Council unanimously support this legislation?***

(1) To provide a single point of payment for city tax and rate payers; (2) to support improved collection of refuse fees; and (3) to reduce the risk of fraud.

- *Include any other pertinent details and/or relevant information that the Council should be aware of:*

RECEIVED

NOV 13 2020

OFFICE OF CITY
COUNCIL/CITY CLERK



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 13, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

Dear Honorable Council Members:

ATTACHED IS AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 6, 2020, AMENDING FILE OF THE COUNCIL NO. 59, 2019 ENTITLED "AN ORDINANCE AMENDING FILE OF THE COUNCIL NO. 17, 1994 ENTITLED 'AN ORDINANCE (AS AMENDED) AUTHORIZING THE GOVERNING BODY OF THE CITY OF SCRANTON TO ENACT 'A WASTE DISPOSAL AND COLLECTION FEE' FOR THE PURPOSE OF RAISING REVENUE TO COVER THE WASTE DISPOSAL AND COLLECTION COSTS INCURRED BY THE CITY OF SCRANTON FOR THE DISPOSAL OF REFUSE'" BY IMPOSING A WASTE DISPOSAL AND COLLECTION FEE OF \$300.00 FOR CALENDAR YEAR 2021 AND THE SAME SHALL REMAIN IN FULL FORCE AND EFFECT ANNUALLY THEREAFTER AND TO AMEND THE TIMELINE FOR PAYMENTS ALLOWING CHANGE IN THE MECHANISM OF BILLING, UPDATE THE REQUIREMENTS FOR EXONERATIONS AND INCREASE PENALTIES FOR VIOLATIONS.

Very truly yours,

Joseph A. O'Brien /s/

Joseph A. O'Brien, Esquire
City Solicitor

/PAL

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF THE BLACK SCRANTON PROJECT TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$135,893.00 FOR THE PROJECT TO BE KNOWN AS "BLACK SCRANTON PROJECT CENTER FOR ARTS & CULTURE" LOCATED AT 1902 NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$135,893.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Black Scranton Project to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Black Scranton Project Center for Arts & Culture ("Project") located at 1902 North Main Avenue, Scranton, Pennsylvania. A copy of such Project details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$135,893.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

OECD

Local Share Account (LSA) of Monroe County

- ***Summary and Facts of the legislation***

A Resolution ratifying and approving local share account (LSA) grant application on behalf of the BLACK SCRANTON PROJECT CENTER FOR ARTS & CULTURE located at 1902 North Main Street, Scranton.

Black Scranton Project is a 501c(3) non-profit organization and local heritage initiative dedicated to archiving and celebrating Black history and culture of the Scranton, Pennsylvania region. The Black Scranton Project Center for Arts & Culture (BSPCAC) will provide creative and recreational space for all ages by offering free counseling, educational classes, tutoring, job assistance, after-school programs, and so much more. Today as we navigate the racialized impact of Covid-19 and the demand for racial justice and equity by the Black Lives Matter movement it is imperative that provide resources and support those most affected.

BSPCAC will provide a safe and secure diverse environment that will lead to positive outcomes of equity and inclusion for all residents.

In addition to offering job assistance and career readiness training for adults, our mission is to ensure that children (especially BIPOC, LGBTQIA+, low income, and marginalized youths) in Scranton have the opportunity to develop self-confidence, self-esteem, and excel in school so that they can grow to be self-sufficient and successful in society.

BSPCAC will offer a variety of after-school and summer programs designed to help children build leadership skills, enhance academic performance, and learn self-sufficiency skills, all while gaining exposure to positive role models who look like them.

Funds will be used to provide creative and recreational space for all ages by offering free counseling, educational classes, tutoring, job assistance, and after-school programs through building out the center's Art & Design Lab, Incubator Kitchen and Recording Studio.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The Resolution is a requirement within the guidelines for all LSA grants submissions and the City is the fiscal agent for this funding.

- ***What are the benefits of doing this/Down-side of doing this***

Benefits - This directly benefits the Black Scranton Project and minority citizens of Scranton.

Downside – N/A.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF THE BLACK SCRANTON PROJECT TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$135,893.00 FOR THE PROJECT TO BE KNOWN AS “BLACK SCRANTON PROJECT CENTER FOR ARTS & CULTURE” LOCATED AT 1902 NORTH MAIN STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$135,893 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

The grant request for \$135,893 will support the Center with facilities and program for minority citizens. There are no City funds being used for this grant and the City receives an administration fee.

- ***Benefits (initial and ongoing)***

See above.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

**Local Share Account (LSA) of Monroe County
COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE
ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT
AND GAMING ACT**

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

The benefit for the Citizens of Scranton.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

Legislative Cover Sheet – Scranton City Council

None at this time.

EXHIBIT A

Black Scranton Project Center for Arts & Culture Local Share Account (LSA) Monroe County Application

Project Description

Black Scranton Project is a 501c(3) non-profit organization and local heritage initiative dedicated to archiving and celebrating Black history and culture of the Scranton, Pennsylvania region. The Black Scranton Project Center for Arts & Culture (BSPCAC) will provide creative and recreational space for all ages by offering free counseling, educational classes, tutoring, job assistance, after-school programs, and so much more. Today as we navigate the racialized impact of Covid-19 and the demand for racial justice and equity by the Black Lives Matter movement it is imperative that provide resources and support those most affected.

BSPCAC will provide a safe and secure diverse environment that will lead to positive outcomes of equity and inclusion for all residents.

In addition to offering job assistance and career readiness training for adults, our mission is to ensure that children (especially BIPOC, LGBTQIA+, low income, and marginalized youths) in Scranton have the opportunity to develop self-confidence, self-esteem, and excel in school so that they can grow to be self-sufficient and successful in society.

BSPCAC will offer a variety of after-school and summer programs designed to help children build leadership skills, enhance academic performance, and learn self-sufficiency skills, all while gaining exposure to positive role models who look like them.

BSPCAC DEVELOPMENT PROJECT

With the support of LSA funding, we aim to develop three key areas of the BSPCAC:

1. **Incubator (community) Kitchen:** The LSA grant will allow us to build a new incubator commercial kitchen consisting of designated areas with food preparation stations, cooking stations, walk-in pantry, walk-in freezer and cooler, meeting and/or training classroom area, reception area, restroom, office area, providing our community with a new incubator kitchen with multiple uses.
2. **Recording Studio:** A community-based recording studio is a facility that will provide free and/or affordable recording services for local musicians, artists, and students to record their works. There will be local control over the community-based recording studio. Programs that meet the needs of the local communities will be developed and coordinated with the facility. Such programs might include music-related programs such as music history, music genres and recording basics,

but they also could include programs addressing internet and web site development for marketing, as well as distribution strategies used by the musicians and artists.

3. **AD LAB:** (Art and Design Lab) . We place particular emphasis on supporting and amplifying the artistic work of BIPOC and Native artists, LGBTQIA+ artists, woman artists, immigrant artists, artists from rural communities, and artists with disabilities. It is our value that the resources we provide reflect the racial, cultural, and geographic diversity of our region and state, as well as the stories and perspectives of the artists and communities we serve.

LSA Funding Request: \$135,893.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF THE BLACK SCRANTON PROJECT TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$135,893.00 FOR THE PROJECT TO BE KNOWN AS "BLACK SCRANTON PROJECT CENTER FOR ARTS & CULTURE" LOCATED AT 1902 NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$135,893.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien (S)
Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF GREEN RIDGE LITTLE LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$121,220.00 FOR THE PROJECT TO BE KNOWN AS "FIELD SAFETY RENOVATION" LOCATED 2630 OLYPHANT AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$121,220.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Green Ridge Little League to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Field Safety Renovation ("Project") located at 2630 Olyphant Ave Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$121,220.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF GREEN RIDGE LITTLE LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$121,220.00 FOR THE PROJECT TO BE KNOWN AS "FIELD SAFETY RENOVATION" LOCATED 2630 OLYPHANT AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$121,220.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

OECD

Local Share Account (LSA) of Monroe County

- ***Summary and Facts of the legislation***

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of Green Ridge Little League for \$121,220.00 FOR THE "*FIELD SAFETY RENOVATION*" to replace outdated lighting and drainage issues on 2 little league baseball fields.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The Resolution is a requirement within the guidelines for all LSA grants submissions. These safety renovations will improve lighting, bring this to spec, in addition, correct the rainwater drainage which floods both fields.

- ***What are the benefits of doing this/Down-side of doing this?***
Benefits -

This legislation will address safety issues related to lighting and drainage issues and benefits the youth sports program.

Downside -

N/A.

- ***How does this legislation relate to the City's Vision/Mission/Priorities?***

The project is a direct and positive impact on youth sports in the North side of Scranton.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

The grant request is for \$121,220.00 to complete the project and ongoing costs will belong to the Green Ridge Little League. There are no City funds being used for this grant. The City receives an administration fee for being the fiscal agent.

- ***Benefits (initial and ongoing)***

See above.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE
ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT
AND GAMING ACT

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

The project supports safety issues for the 2 little league fields.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Field Safety Renovation Green Ridge Little League

Project Description

This project will address the two largest safety issues we have for our field. Currently, two fields at GRLL have existing and outdated lighting. Not only are the current bulbs not energy efficient, but they also pose safety issues for our youth players as there are spots that are not illuminated to specs. The proposed LED lighting replacement will not only yield the Little League significant annual energy savings, but will also greatly reduce the need for costly bulb changes and solve the safety issue of “dark” spots. The LED lights that are proposed will have a lifetime of 100,000 hours and net a projected annual energy savings of \$3,328.00. In addition, due to our field being located at the bottom of a hill, we receive excess runoff of rainwater which floods our fields. This flooding leaves us with a constant expensive challenge of keeping the fields playable, and safe, throughout the season. The solution is to divert this water back to our additional acreage beyond the fields. It will involve mobilizing heavy equipment to the site for tree removal, construction of swales, regrading, and pavement removal.

LSA Funding Request: \$121,220.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF GREEN RIDGE LITTLE LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$121,220.00 FOR THE PROJECT TO BE KNOWN AS "FIELD SAFETY RENOVATION" LOCATED 2630 OLYPHANT AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$121,220.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien (S)

Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF JOHNSON COLLEGE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$158,918.00 FOR THE PROJECT TO BE KNOWN AS "STORM WATER DRAINAGE PROJECT" LOCATED AT 3427 N. MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$158,918.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Johnson College to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Storm Water Drainage Project ("Project") located at 3427 N. Main Avenue, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$158,918.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF JOHNSON COLLEGE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$158,918.00 FOR THE PROJECT TO BE KNOWN AS “STORM WATER DRAINAGE PROJECT” LOCATED AT 3427 N. MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$158,918.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

OECD

Local Share Account (LSA) of Monroe County

- *Summary and Facts of the legislation*

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of Johnson College for \$158,918.00 for the “Storm Water Drainage Project” located at 3427 N. Main Avenue, Scranton. Funds will be used to divert rainwater toward City of Scranton drains on the lower campus of Johnson College.

- *Purpose – please include the following in the explanation:*
 - *What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?*

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- *What are the benefits of doing this/Down-side of doing this?*

This corrects a large, multi-year problem of safety issues for pedestrians along Lemon Street and Main Avenue, drivers along Main Avenue and potential water damage to homes and campus. The City facilitates the grant, with partners including PennDOT and Johnson College following a plan already created to fix the drainage issue.

- *How does this legislation relate to the City’s Vision/Mission/Priorities*

The project directly impacts the safety of Scranton homes and roadways on the North side of town.

- *Financial Impact – please include the following in the explanation:*
 - *Cost (initial and ongoing)*

The grant request is for \$158,918.00 to follow a created plan to correct storm water drainage away from homes and city streets.

○ ***Benefits (initial and ongoing)***

There are no City funds being used for this grant and the City receives an administration fee for being the fiscal agent.

○ ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act

● ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

● ***Why should the Council unanimously support this legislation?***

It is a benefit for the City, College and Citizens for safety reasons.

● ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Storm Water Drainage Johnson College

Project Description

It has been well documented that the College has no real drainage system other than the natural and semi-piped system currently in place. A series of swails exist throughout the campus to divert rain water toward City of Scranton drains on the lower campus. The grass area in front of Woolworth Hall can become a lake during a storm event, and the retaining wall on Main Avenue can become a waterfall.

On the right side and center of campus, storm water flows downhill toward Main Avenue. On the left side of campus, the water flows from behind Weaver Hall to the south side of Main Avenue where there were never any drains installed by the City of Scranton. There is simply nowhere for storm water to go causing safety issues for pedestrians along Lemon Street and Main Avenue, drivers along Main Avenue and potential water damage to homes and campus. Students and faculty from the nearby Career Technology Center frequently cut through the Johnson College campus for easier access to Main Avenue during peak traffic times. The issue is magnified during winter weather as the areas affected then become icy.

Main Avenue (Route 11) in front of the Johnson College campus is maintained by the City of Scranton but is technically a state road and the responsibility of PennDOT.

Over the years, several attempts have been made in collaboration with approximately 12 homeowners along Lemon Street, to mitigate the damage caused by excessive rain water. Curbing and stone have been installed to help divert rainwater away from the homes and downhill to the only City storm drain near Main Avenue. The issue is compounded by a network of mine shafts that are known to be under the entire area. Johnson College has seen three mine voids open up on campus in the last twenty years.

In the coming months, Johnson College plans to raze the existing Richmond Hall and construct a new student-centered flexible classroom/multi-use building serving as the College's focused entrance to campus. It is our hope to incorporate the plans for an ADA-compliant campus quad area with underground retention basins during the construction of a new Richmond Hall. Correction of the storm water drainage issue will also improve property values along Lemon Street and will allow Johnson College to grow its educational programming to meet the growing workforce development needs of the region.

A planned solution already exists as prepared by engineer John Pocius several years ago. Discussions with three City of Scranton administrations (Mayors Doherty, Courtright, and Cognetti) have focused on this solution but to no avail. It is our focus now to solicit support for a solution through several state agencies focused on safety, access and economic development.

LSA Funding Request: \$158,918.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF JOHNSON COLLEGE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$158,918.00 FOR THE PROJECT TO BE KNOWN AS "STORM WATER DRAINAGE PROJECT" LOCATED AT 3427 N. MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$158,918.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT..

Very truly yours,

Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF LACE BUILDING AFFILIATES, LP TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$275,000.00 FOR THE PROJECT TO BE KNOWN AS "SCRANTON LACE ADAPTIVE USE PROJECT" LOCATED 1315 MEYLERT AVENUE, SCRANTON, PENNSYLVANIA AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$275,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Lace Building Affiliates, LP to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for **SCRANTON LACE ADAPTIVE USE PROJECT** ("Project") located at 1315 Meylert Avenue, Scranton, PA. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$275,000.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF LACE BUILDING AFFILIATES, LP TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$275,000.00 FOR THE PROJECT TO BE KNOWN AS “SCRANTON LACE ADAPTIVE USE PROJECT” LOCATED 1315 MEYLERT AVENUE, SCRANTON, PENNSYLVANIA AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$275,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

OECD

Local Share Account (LSA) of Monroe County

- *Summary and Facts of the legislation*

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf Lace Building Affiliates, LP for \$275,000.00 for the “Scranton Lace Adaptive Use Project” located at 1315 Meylert Avenue, Scranton. Funds will be used to restore the Clock Tower which is part of a new residential community of a historic building.

- *Purpose – please include the following in the explanation:*
 - *What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?*

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- *What are the benefits of doing this/Down-side of doing this?*

Developers must work with the City, who is the fiscal agent for this funding. The City facilitates the grant, while the developer restores the Clock Tower of this new community.

- *How does this legislation relate to the City’s Vision/Mission/Priorities*

The project directly impacts the economic development on the North side of Scranton.

- *Financial Impact – please include the following in the explanation:*
 - *Cost (initial and ongoing)*

The grant request is for \$275,000.00 to restore the Clock Tower on the old Lace Factory building.

- ***Benefits (initial and ongoing)***

There are no City funds being used for this grant, however the City receives an administration fee.

- ***Funding Sources – please include the following in the explanation:
If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.***

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act.

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

It is a benefit for both the City and Developer as the company brings a historic building back to useful space within Scranton.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Lace Village Clock Tower LACE BUILDING AFFILIATES, LP

Project Description

Scranton Lace was the largest producer of Nottingham Lace in the United States and was in operation from 1891 until 2002, employing up to 1600 people. At the time of its closing, Scranton consisted of a maze of 34 buildings on just over eight (8) acres of land. The site was listed on the National Registry of Historic Places in 2012.

Today, the former Scranton Lace Complex is set to be the site of a significant adaptive reuse project. The new "Lace Village" will encompass the entire former Scranton Lace site and three additional parcels, making the total site approximately 11 acres—the equivalent of five square city blocks in an average US city. The entire site which had become severely dilapidated, blighted, and a safety concern to the surrounding neighborhood has already started to transform into a vibrant community. It will once again become the heartbeat of one of the oldest neighborhoods in Scranton which was in danger of fading away.

Lace Village is a planned multi-generational community consisting of 59 newly constructed three-story, two and three bedroom, 2½ bath townhouses as well as 60 apartments ranging from one bedroom lofts to large 3 bedroom units located in the existing historic buildings. Retail and commercial space will complete the new "Village Square" located at the foot of the iconic five story clock tower, surrounded by the architecturally stunning circa 1891 Scranton Lace Buildings. The clock tower is a city landmark and has a bell from Meneely Bell Foundry.

The clock tower is in need of restoration. Local Share Account (LSA) funding will be used to:

- Repair and replace the four broken glass faces and metal Roman Numerals on the four dials;
- repair and replace damaged wood framing of the upper clock tower;
- replace missing copper veneer surrounding the upper clock tower;
- restore the remaining copper veneer that was not stolen or destroyed by vandalism;
- waterproof the upper tower;
- restore the four copper urns around the base of the upper clock tower.
- furnish and install new electrical service and lighting for the clock tower;
- repair the access ladder to the top of the tower;
- repair the light beacon at the top of the tower;
- clean and refurbish the historic Meneely bell in the clock tower;
- repair exterior masonry of the stair tower and foundation of the clock;
- replace and repair of the windows in the stair tower;
- paint the existing exterior of the stair tower;
- repair plaster and masonry on the interior of the stair tower;
- paint interior of the stair tower;
- clean and repair stairs and handrails in the stair tower;
- furnish and install new access door and frame to the stair tower; and
- furnish and install new door hardware.

LSA Funding Request: \$275,000.00

EXHIBIT A

Lace Village Clock Tower LACE BUILDING AFFILIATES, LP

Project Description

Scranton Lace was the largest producer of Nottingham Lace in the United States and was in operation from 1891 until 2002, employing up to 1600 people. At the time of its closing, Scranton consisted of a maze of 34 buildings on just over eight (8) acres of land. The site was listed on the National Registry of Historic Places in 2012.

Today, the former Scranton Lace Complex is set to be the site of a significant adaptive reuse project. The new "Lace Village" will encompass the entire former Scranton Lace site and three additional parcels, making the total site approximately 11 acres—the equivalent of five square city blocks in an average US city. The entire site which had become severely dilapidated, blighted, and a safety concern to the surrounding neighborhood has already started to transform into a vibrant community. It will once again become the heartbeat of one of the oldest neighborhoods in Scranton which was in danger of fading away.

Lace Village is a planned multi-generational community consisting of 59 newly constructed three-story, two and three bedroom, 2½ bath townhouses as well as 60 apartments ranging from one bedroom lofts to large 3 bedroom units located in the existing historic buildings. Retail and commercial space will complete the new "Village Square" located at the foot of the iconic five story clock tower, surrounded by the architecturally stunning circa 1891 Scranton Lace Buildings. The clock tower is a city landmark and has a bell from Meneely Bell Foundry.

The clock tower is in need of restoration. Local Share Account (LSA) funding will be used to:

- Repair and replace the four broken glass faces and metal Roman Numerals on the four dials;
- repair and replace damaged wood framing of the upper clock tower;
- replace missing copper veneer surrounding the upper clock tower;
- restore the remaining copper veneer that was not stolen or destroyed by vandalism;
- waterproof the upper tower;
- restore the four copper urns around the base of the upper clock tower.
- furnish and install new electrical service and lighting for the clock tower;
- repair the access ladder to the top of the tower;
- repair the light beacon at the top of the tower;
- clean and refurbish the historic Meneely bell in the clock tower;
- repair exterior masonry of the stair tower and foundation of the clock;
- replace and repair of the windows in the stair tower;
- paint the existing exterior of the stair tower;
- repair plaster and masonry on the interior of the stair tower;
- paint interior of the stair tower;
- clean and repair stairs and handrails in the stair tower;
- furnish and install new access door and frame to the stair tower; and
- furnish and install new door hardware.

LSA Funding Request: \$275,000.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF LACE BUILDING AFFILIATES, LP TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$275,000.00 FOR THE PROJECT TO BE KNOWN AS "SCRANTON LACE ADAPTIVE USE PROJECT" LOCATED 1315 MEYLERT AVENUE, SCRANTON, PENNSYLVANIA AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$275,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien
Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF NEIGHBORWORKS OF NEPA TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$70,000.00 FOR THE PROJECT TO BE KNOWN AS "WEST SCRANTON BUSINESS CORRIDOR IMPROVEMENT PLAN" LOCATED AT 800 BLOCK OF NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$70,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of NeighborWorks of NEPA to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for West Scranton Business Corridor Improvement Plan ("Project") located at North Main Avenue, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$70,000.00 (Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if

successful, to, accept the grant funds to be used for the Project as attached hereto. This approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF NEIGHBORWORKS OF NEPA TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$70,000.00 FOR THE PROJECT TO BE KNOWN AS “WEST SCRANTON BUSINESS CORRIDOR IMPROVEMENT PLAN” LOCATED AT 800 BLOCK OF NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$70,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

OECD

Local Share Account (LSA) of Monroe County

- ***Summary and Facts of the legislation***

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of NEIGHBORWORKS OF NEPA for \$70,000 for the “West Scranton Business Corridor Improvement Plan” located at the 800 block of North Main Avenue, Scranton. Funds will be used to improve the quality of the sidewalks and pedestrian amenities – such as trash cans, benches, green space, and bus waiting environments on the West Side of Scranton.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- ***What are the benefits of doing this/Down-side of doing this***

Benefits will include reduced blight, increased pedestrian amenities and neighborhood revitalization. The City receives an administration fee for being the fiscal agent.

- ***How does this legislation relate to the City’s Vision/Mission/Priorities***

The project directly impacts the pedestrian safety of Citizens on the West side of town.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

The grant request is for \$70,000.00 to complete the project.

- ***Benefits (initial and ongoing)***

There are no City funds being used for this grant.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act.

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

It is a benefit for the safety of our Citizens.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

West Scranton Business Corridor Improvement Plan **NeighborWorks of NEPA**

Project Description

West Scranton is located west and north of downtown Scranton with a population of about 21,000 residents. Main Avenue is the neighborhood's primary commercial corridor connecting retail, offices, schools, and open spaces in West Scranton. The quality of the sidewalks and pedestrian amenities – such as trash cans, benches, green space, and bus waiting environments - has suffered in recent years as the corridor has become more auto-oriented.

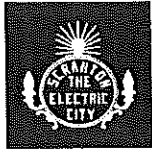
Additionally, as you enter West Scranton from North Scranton using N. Main Avenue coming under the expressway overpass there is a blighted green space that runs to the 800 block of N. Main. This overgrown blighted area that is frequently used by pedestrians.

NeighborWorks of NEPA proposes the West Scranton Business Corridor Improvement Plan to address these issues. The outcome will be reduced blight, increased pedestrian amenities, and neighborhood revitalization.

NeighborWorks NEPA, in partnership with the City of Scranton, will utilize funding for the West Scranton Business Corridor Improvement Plan. The three main activities of this plan are:

- (1) Gateway Park- develop a gateway pocket park along N. Main Avenue near the expressway overpass- funding would be used for clean-up, architectural design, a mural, and the purchase of benches and trash cans.
- (2) Allen Park Improvements- located at Price Street and Main Avenue, Allen Park is in the heart of the West Scranton Business Corridor. This park is an underutilized greenspace in desperate need of improvements. Funding would be used for gazebo repairs/painting, new benches, and picnic tables.
- (3) Trash cans- litter is a frequent nuisance and quality of life issue throughout the corridor, complicated by the lack of trash cans. Funding would be used to purchase trash cans that would be placed along N. Main Avenue.

LSA Funding Request: \$70,000.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF NEIGHBORWORKS OF NEPA TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$70,000.00 FOR THE PROJECT TO BE KNOWN AS "WEST SCRANTON BUSINESS CORRIDOR IMPROVEMENT PLAN" LOCATED AT 800 BLOCK OF NORTH MAIN AVENUE, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$70,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON LIFE REALTY CO. INC. TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$262,000.00 FOR THE PROJECT TO BE KNOWN AS " SPRUCE STREET HISTORIC RENOVATION" LOCATED AT 536 SPRUCE STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$262,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Scranton Life Realty Co. Inc. to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Spruce Street Historic Renovation ("Project") located at 536 Spruce Street, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$262,000.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON LIFE REALTY CO. INC. TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$262,000.00 FOR THE PROJECT TO BE KNOWN AS “SPRUCE STREET HISTORIC RENOVATION” LOCATED AT 536 SPRUCE STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$262,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

OECD

Local Share Account (LSA) of Monroe County

- ***Summary and Facts of the legislation***

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of Scranton Life Realty Co, Inc. for \$262,000.00 for the Spruce Street Historic Renovation of the Scranton Life Building, located at 536 Spruce Street. Funds will be used to preserve the ornate terra cotta facade of this iconic and historic building.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- ***What are the benefits of doing this/Down-side of doing this?***

Developers must work with the City, who is the fiscal agent for this funding. The City facilitates, while the developer is making improvements to the historic Scranton Life building. The Scranton Life Building is a historic 8-story, 70,000 square foot building at the entrance to downtown Scranton on Courthouse Square. Built in 1915 and designed by Edward Langley, it originally served as the headquarters of the Scranton Life Insurance Company. Currently, the building provides high-quality professional office space as well as first floor retail. This building plays a major role in sustaining the downtown core. Office tenants support downtown retailers and restaurants while the first-floor retail in the building draws people to Scranton’s

downtown. This project will maintain the historical integrity of the building so that it can continue to serve as a local landmark and driver of economic revitalization.

○ ***How does this legislation relate to the City's Vision/Mission/Priorities?***

Funds will be used to preserve the ornate terra cotta facade of this iconic and historic building. The current owner purchased the building in 1982 and has continually made improvements throughout the years including new elevators, rebuilding parapets, mechanical, electrical, and plumbing upgrades, and preservation of historic elements to keep the building viable in the current real estate market. Many buildings of this era were not able to be saved because it was not economically viable to do so given the capital intensive repairs on such buildings. Most of the buildings that remain do so because of grant money that made the project viable. Local Share Account (LSA) funds will be used to repair and as needed replace terra cotta and masonry facade, roof repair and replacement, and parapets to protect the lifespan of this historic building. Therefore, the project directly impacts the economic development within downtown Scranton.

● ***Financial Impact – please include the following in the explanation:***

○ ***Cost (initial and ongoing)***

The grant request for \$262,000 will support the façade of the Scranton Life building.

○ ***Benefits (initial and ongoing)***

There are no City funds being used for this grant. The City receives an administration fee for being the fiscal agent.

● ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act.

● ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

● ***Why should the Council unanimously support this legislation?***

It is a benefit for both the City and Developer to keep a vibrant downtown.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Spruce Street Historic Renovation Scranton Life Realty Co. Inc

Project Description

The Scranton Life Building is a historic 8-story, 70,000 square foot building at the entrance to downtown Scranton on Courthouse Square. Built in 1915 and designed by Edward Langley, it originally served as the headquarters of the Scranton Life Insurance Company. Currently, the building provides high-quality professional office space as well as first floor retail. This building plays a major role in sustaining the downtown core. Office tenants support downtown retailers and restaurants while the first-floor retail in the building draws people to Scranton's downtown. This project will maintain the historical integrity of the building so that it can continue to serve as a local landmark and driver of economic revitalization.

Funds will be used to preserve the ornate terra cotta facade of this iconic and historic building. The current owner purchased the building in 1982 and has continually made improvements throughout the years including new elevators, rebuilding parapets, mechanical, electrical, and plumbing upgrades, and preservation of historic elements to keep the building viable in the current real estate market. Many buildings of this era were not able to be saved because it was not economically viable to do so given the capital intensive repairs on such buildings. Most of the buildings that remain do so because of grant money that made the project viable. Local Share Account (LSA) funds will be used to repair and as needed replace terra cotta and masonry facade, roof repair and replacement, and parapets to protect the lifespan of this historic building.

LSA Grant Request: 262,000



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON LIFE REALTY CO. INC. TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$262,000.00 FOR THE PROJECT TO BE KNOWN AS "SPRUCE STREET HISTORIC RENOVATION" LOCATED AT 536 SPRUCE STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$262,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien (s)
Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF TRIPP PARK MISSY E LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$19,950.00 FOR THE PROJECT TO BE KNOWN AS "TRIPP PARK MISSY E LEAGUE FIELD RENOVATIONS" LOCATED AT 2000 DOROTHY STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$19,950.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Tripp Park Missy E League to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Tripp Park Missy E League Field Renovations ("Project") located at 2000 Dorothy Street, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$19,950.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if successful, to, accept the grant funds to be used for the Project as attached hereto. This

approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF TRIPP PARK MISSY E LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$19,950.00 FOR THE PROJECT TO BE KNOWN AS “TRIPP PARK MISSY E LEAGUE FIELD RENOVATIONS” LOCATED AT 2000 DOROTHY STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$19,950.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

OECD

Local Share Account (LSA) of Monroe County

- *Summary and Facts of the legislation*

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of Tripp Park Missy E League for \$19,950.00 for the “Tripp Park Missy E League Field Renovations” to regrade the entire infield, thus improving the softball field for girls sports.

- *Purpose – please include the following in the explanation:*
 - *What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?*

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- *What are the benefits of doing this/Down-side of doing this?*
Benefits:

Benefits will include girls’ softball programs including NEPA Adrenaline, an AAU program that provides softball competition for females between the age of 12 and 18 throughout the summer months along with participating and hosting regional and statewide All-Star tournaments through the summer for girls aged 8 to 16.

Downside:

N/A.

- *How does this legislation relate to the City’s Vision/Mission/Priorities*

The project directly impacts youth sports specifically for young females.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

The grant request is for \$19,950 to complete the project. There are no City funds being used for this grant.

- ***Benefits (initial and ongoing)***

The City receives an administration fee for being the fiscal agent.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to PA
Race Horse Development and Gaming Act

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

The project directly impacts youth sports specifically for young females.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Tripp Park Missy E League Field Renovation Local Share Account (LSA) Monroe County Application

Project Description

Tripp Park Missy E League seeks funding for field renovations. The league seeks to regrade sand on infield so that the pitcher's mound is highest point and slope out towards grass. Dig out grass on outside edge of infield, replace with new sand and layer the infield with approx. 3 inches of new sand. The league teaches young girls the fundamental, intermediate and advanced skills of fastpitch softball. During the 2019 season the league registered nearly 275 players for the three seasons (spring, summer and fall) played at Tripp Park. There are currently 6 recreational divisions of softball played by girls ages 5 thru 17. In addition to the recreational divisions the fields are also the home of NEPA Adrenaline, an AAU program that provides softball competition for females between the age of 12 and 18 throughout the summer months along with participating and hosting regional and statewide All-Star tournaments through the summer for girls aged 8 to 16.

LSA Grant Request: \$19,950.00



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF TRIPP PARK MISSY E LEAGUE TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$19,950.00 FOR THE PROJECT TO BE KNOWN AS "TRIPP PARK MISSY E LEAGUE FIELD RENOVATIONS" LOCATED AT 2000 DOROTHY STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$19,950.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien

Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF UNIVERSITY OF SCRANTON TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$315,000.00 FOR THE PROJECT TO BE KNOWN AS "MECHANICAL ENGINEERING PROGRAM EQUIPMENT PROJECT" LOCATED AT 800 LINDEN STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$315,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of University of Scranton to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for Mechanical Engineering Program Equipment Project ("Project") located at 800 Linden Street, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$315,000.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if

successful, to, accept the grant funds to be used for the Project as attached hereto. This approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF UNIVERSITY OF SCRANTON TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$315,000.00 FOR THE PROJECT TO BE KNOWN AS “MECHANICAL ENGINEERING PROGRAM EQUIPMENT PROJECT” LOCATED AT 800 LINDEN STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$315,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

OECD

Local Share Account (LSA) of Monroe County

- *Summary and Facts of the legislation*

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on behalf of the University of Scranton for \$315,000.00 for the “Mechanical Engineering Program Equipment Project” located at 800 Linden Street, Scranton. Funds will be used to purchase and install equipment to outfit a renovated facility that will house the University’s cutting-edge Mechanical Engineering academic program.

- *Purpose – please include the following in the explanation:*
 - *What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?*

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- *What are the benefits of doing this/Down-side of doing this?*

This project will complement economic and community development projects currently under way in the City of Scranton and it will make a significant economic impact through 4.5 new family sustaining jobs and 60 short-term construction jobs.

- *How does this legislation relate to the City’s Vision/Mission/Priorities?*

The University of Scranton Mechanical Engineering Program Equipment Project will involve purchasing and installing equipment to outfit a renovated facility that will

house the University's cutting-edge Mechanical Engineering academic program. This equipment project is part of the renovation of the University's Hyland Hall, an existing building in downtown Scranton that will be retrofitted to accommodate mechanical engineering laboratories, research and teaching needs. The new space in Hyland Hall will include on the first floor: Engineering Laboratories (4), a Student Work Shop (1), and Faculty and Staff offices (6); and on the lower level: a Machine Shop (1), Machine Shop office (1) and Tool Room (1). Specialized equipment will be installed and used by faculty and students as part of undergraduate study in Mechanics of Materials (Lab 1), Thermodynamics, Fluid Mechanics, and Heat Transfer (Lab 2), Control (Lab 3), Computer Lab (Lab 4) as well as the Senior Project Innovation Gym (Student Work Shop). The equipment is essential to the larger renovation project and the academic program. It will complement economic and community development projects currently under way in the City of Scranton, and it will make a significant economic impact through 4.5 new family sustaining jobs and 60 short-term construction jobs. The Mechanical Engineering program supports workforce development needs through strong academic-industry links and with graduates prepared to work in such sectors as automotive, HVAC, aerospace, biotechnology, computers/ electronics, and manufacturing. This equipment project involves requests for quotations (an RFQ process), equipment orders, and delivery and installation of equipment; it will begin in March 2021 and conclude in August 2021 in time for students in the program to begin to study in the renovated facility in the 2021-2022 academic year. The University enrolled its first 15 mechanical engineering students in fall 2020, exceeding initial expectations; in total, the program is projected to grow to 40 students by year two.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

The grant request for \$315,000.00 will support the course offering and ongoing costs will be The Universities responsibility.

- ***Benefits (initial and ongoing)***

There are no City funds being used for this grant, however the City is the fiscal agent for this funding.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

The legislation helps to strengthen the educational offerings at the City's largest University, helps both organizations generate revenue.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

Mechanical Engineering Program Equipment Project The University of Scranton

Project Description

The University of Scranton Mechanical Engineering Program Equipment Project will involve purchasing and installing equipment to outfit a renovated facility that will house the University's cutting-edge Mechanical Engineering academic program. This equipment project is part of the renovation of the University's Hyland Hall, an existing building in downtown Scranton that will be retrofitted to accommodate mechanical engineering laboratories, research and teaching needs. The new space in Hyland Hall will include on the first floor: Engineering Laboratories (4), a Student Work Shop (1), and Faculty and Staff offices (6); and on the lower level: a Machine Shop (1), Machine Shop office (1) and Tool Room (1). Specialized equipment will be installed and used by faculty and students as part of undergraduate study in Mechanics of Materials (Lab 1), Thermodynamics, Fluid Mechanics, and Heat Transfer (Lab 2), Control (Lab 3), Computer Lab (Lab 4) as well as the Senior Project Innovation Gym (Student Work Shop). The equipment is essential to the larger renovation project and the academic program. It will complement economic and community development projects currently under way in the City of Scranton, and it will make a significant economic impact through 4.5 new family sustaining jobs and 60 short-term construction jobs. The Mechanical Engineering program supports workforce development needs through strong academic-industry links and with graduates prepared to work in such sectors as automotive, HVAC, aerospace, biotechnology, computers/ electronics, and manufacturing. This equipment project involves requests for quotations (an RFQ process), equipment orders, and delivery and installation of equipment; it will begin in March 2021 and conclude in August 2021 in time for students in the program to begin to study in the renovated facility in the 2021-2022 academic year. The University enrolled its first 15 mechanical engineering students in fall 2020, exceeding initial expectations; in total, the program is projected to grow to 40 students by year two.

LSA Grant Request: \$315,000



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF UNIVERSITY OF SCRANTON TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$315,000.00 FOR THE PROJECT TO BE KNOWN AS "MECHANICAL ENGINEERING PROGRAM EQUIPMENT PROJECT" LOCATED AT 800 LINDEN STREET, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$315,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien (s)

Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON AREA COMMUNITY FOUNDATION TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$63,000.00 FOR THE PROJECT TO BE KNOWN AS " NEPA MOVES "LOCATED AT 615 JEFFERSON AVENUE, SUITE 102, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$63,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

WHEREAS, the City of Scranton, through the Office of Economic and Community Development, will submit a grant application on behalf of Scranton Area Community Foundation to the Commonwealth of Pennsylvania ("the Commonwealth") acting through the Commonwealth Financing Authority (the "Grantor") for a Local Share Account Grant, pursuant to the PA Race Horse Development and Gaming Act, for the NEPA Moves ("Project") located at 615 Jefferson Avenue, Suite 102, Scranton, Pennsylvania. A copy of such Project Details is attached hereto as Exhibit "A" and incorporated herein as if set forth at length; and

WHEREAS, the City of Scranton intends herein to ratify and approve the execution and submission of the Grant Application; and

WHEREAS, the Commonwealth of Pennsylvania will review the Grant Application for funding for the Local Share Account Grant in the amount of \$63,000.00 ("Grant") for the Project; and

WHEREAS, if the Grant Application is approved, the City of Scranton intends herein to authorize the execution of the Local Share Account Grant Contract and Commitment Letter, and the acceptance, disbursement, and utilization of the Grant for the Project.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the execution and submission of the Grant Application is hereby ratified and if approved, the Mayor and other appropriate City Officials are hereby authorized to enter into and execute and submit any additional related paperwork for this Grant, and if

successful, to, accept the grant funds to be used for the Project as attached hereto. This approval anticipates and authorizes the execution of any and all related documentation necessary for the Grant or to accept, disburse, and utilize the Grant for the Project.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decisions shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Resolution, and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON AREA COMMUNITY FOUNDATION TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$63,000.00 FOR THE PROJECT TO BE KNOWN AS " NEPA MOVES "LOCATED AT 615 JEFFERSON AVENUE, SUITE 102, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$63,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

- *What Department is this legislation originating from? Where did the initiative for this legislation originate?*

OECD

Local Share Account (LSA) of Monroe County

- *Summary and Facts of the legislation*

A Resolution ratifying and approving Local Share Account (LSA) Grant Application on Behalf of Scranton Area Community Foundation for \$63,000.00 for the "NEPA Moves" to improve transportation options for Citizens of Scranton. Funds will be used to create a develop a Strategic Plan that will guide the initiative for the next 3 to 5 years with the support of Rockland Planning, a multi-modal planning firm that specializes in areas such as Transportation Planning,

- *Purpose – please include the following in the explanation:*
 - *What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?*

The Resolution is a requirement within the guidelines for all LSA grants submissions.

- *What are the benefits of doing this/Down-side of doing this*

Benefits will include comprehensive and equitable transportation system that creates and expands opportunities for those without reliable transportation. The City receives an administration fee for being the fiscal agent.

- *How does this legislation relate to the City's Vision/Mission/Priorities*

The project directly impacts the Citizens of Scranton. The mission and vision of NEPA is that all Northeastern Pennsylvania residents feel part of a thriving region

thanks to a comprehensive and equitable transportation system that creates and expands opportunities. Life is better because everyone has access to possibilities. Since its inception in January 2017, the NEPA Moves Council has grown to nearly 100 partners from diverse sectors across the region, including, but not limited to, local county transit agencies, health and social service providers, funders, regional metropolitan and transportation planning organizations, and local chambers of commerce. As NEPA Moves continues to bring together community leaders and changemakers throughout both Lackawanna and Luzerne counties on the development of collaborative solutions to the everyday transportation challenges that face the people and communities across Northeast Pennsylvania, we seek to develop a Strategic Plan that will guide the initiative for the next 3 to 5 years with the support of Rockland Planning, a multi-modal planning firm that specializes in areas such as Transportation Planning, Financial/Economic Development, Project Development/Management, and Stakeholder Engagement. The development of such a plan will enable our region to become unified and appealing when competing for state and federal resources as it develops a strategy with people, transportation, and economic priority goals at its core.

- ***Financial Impact – please include the following in the explanation:***
 - ***Cost (initial and ongoing)***

The grant request is for \$63,000.00 to complete the project.

- ***Benefits (initial and ongoing)***

There are no City funds being used for this grant.

- ***Funding Sources – please include the following in the explanation:
If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.***

Local Share Account (LSA) of Monroe County
Commonwealth Financing Authority for a Local Share Account Grant pursuant to the PA Race Horse Development and Gaming Act.

- ***Priority Status/Deadlines, if any***

Applications are due to the Commonwealth by November 30, 2020 and this resolution is to be included at such time.

- ***Why should the Council unanimously support this legislation?***

It is a benefit for our Citizens and potential economic development within Scranton.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

EXHIBIT A

NEPA Moves

Scranton Area Community Foundation

Project Description

The mission and vision of NEPA is that all Northeastern Pennsylvania residents feel part of a thriving region thanks to a comprehensive and equitable transportation system that creates and expands opportunities. Life is better because everyone has access to possibilities. Since its inception in January 2017, the NEPA Moves Council has grown to nearly 100 partners from diverse sectors across the region, including, but not limited to, local county transit agencies, health and social service providers, funders, regional metropolitan and transportation planning organizations, and local chambers of commerce. As NEPA Moves continues to bring together community leaders and changemakers throughout both Lackawanna and Luzerne counties on the development of collaborative solutions to the everyday transportation challenges that face the people and communities across Northeast Pennsylvania, we seek to develop a Strategic Plan that will guide the initiative for the next 3 to 5 years with the support of Rockland Planning, a multi-modal planning firm that specializes in areas such as Transportation Planning, Financial/Economic Development, Project Development/Management, and Stakeholder Engagement. The development of such a plan will enable our region to become unified and appealing when competing for state and federal resources as it develops a strategy with people, transportation, and economic priority goals at its core.

LSA Funding Request: \$63,000



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED

NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING OF THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON, ON BEHALF OF SCRANTON AREA COMMUNITY FOUNDATION TO THE COMMONWEALTH OF PENNSYLVANIA ACTING THROUGH THE COMMONWEALTH FINANCING AUTHORITY FOR A LOCAL SHARE ACCOUNT GRANT, PURSUANT TO THE PA RACE HORSE DEVELOPMENT AND GAMING ACT IN THE AMOUNT OF \$63,000.00 FOR THE PROJECT TO BE KNOWN AS " NEPA MOVES "LOCATED AT 615 JEFFERSON AVENUE, SUITE 102, SCRANTON, PENNSYLVANIA, AND AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS OF THE CITY OF SCRANTON, PENNSYLVANIA, TO ACCEPT THE GRANT, IF SUCCESSFUL, AND EXECUTE AND ENTER INTO A LOCAL SHARE ACCOUNT GRANT CONTRACT AND COMMITMENT LETTER WITH THE COMMONWEALTH OF PENNSYLVANIA TO ACCEPT AND UTILIZE THE GRANT IN THE AMOUNT OF \$63,000.00 AWARDED BY THE COMMONWEALTH OF PENNSYLVANIA FOR SUCH PROJECT.

Very truly yours,

Joseph A. O'Brien (S)
Joseph A. O'Brien, Esquire
City Solicitor

RESOLUTION NO. _____

2020

RATIFYING AND APPROVING THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON TO PENNDOT MULTIMODAL TRANSPORTATION BRIDGE PROJECT GRANT FUNDING TO BE UTILIZED TO REPLACE THREE BRIDGES IN THE CITY, NAMELY (1) MARY STREET BRIDGE, (2) HOLLOW AVENUE BRIDGE AND (3) SOUTH WEBSTER AVENUE BRIDGE.

WHEREAS, the City of Scranton, through its Department of Public Works, is desirous of obtaining funds from the PennDOT Multimodal Transportation Funds Grant to be utilized for the replacement of three bridges in the City; and

WHEREAS, the funds from this grant will be used for the replacement of bridges including Mary Street Bridge, Hollow Avenue Bridge and S. Webster Ave. Bridge; and

WHEREAS, the total project estimate cost is outlined by John J. Pocius, P.E., PLS, City Engineer of Labella Associates dated September 28, 2020 attached hereto as Exhibit "A" and incorporated herein; and

WHEREAS, the City has sufficient funds to match a portion of the \$1,926,000.00 with the municipal match of \$825,600.00 which will be included in the 2022 Capital budget with funds maintained in the Capital Project Account. Please refer to the November 4, 2020 letter from City of Scranton Business Administrator, Carl Deeley, attached hereto as Exhibit "B;"

WHEREAS, the City of Scranton Department of Public Works plans to submit an application for the PennDOT Multimodal Transportation Funds Grant regarding the replacement of three bridges, attached hereto as Exhibit "C", and incorporated herein as if set forth at length.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON ratifies and approves the execution and submission of the grant application, incorporated herein as if set forth at length, by the City of Scranton to the PennDOT Multimodal Transportation Fund to be utilized for the replacement of three bridges in the City including Mary Street, Hollow Avenue and South Webster Avenue.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid or unconstitutional by any Court of competent jurisdiction, such decision shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interests of the promotion of the purposes and intent of this Resolution and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of Legislature, April 13, 1972, Act No. 62, Known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

DPW

- ***Summary and Facts of the legislation***

DPW is requesting a Resolution to apply for and execute the PennDOT Multimodal Transportation Fund Application. The City has requested \$1,926,000 in grant funding, while committing to a \$825,600 match, to replace three bridges in the city. These bridges include the Mary Street Bridge, Hollow Avenue Bridge and S. Webster Ave. Bridge. All three bridges are rated substandard and are in need of replacement. This project would take place in 2022 and will be included in the 2022 Capital Budget.

- ***Purpose – please include the following in the explanation:***
 - ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

A resolution is needed to apply for and execute this grant funding if awarded.

- ***What are the benefits of doing this/Down-side of doing this?***

The benefits is increased pedestrian and traffic safety, improved emergency services response and improved quality of life in the city.

- ***How does this legislation relate to the City's Vision/Mission/Priorities?***

Mary Street bridge over Leggetts Creek was built in 1979. It has a Sufficiency Rating of 36.1. It is currently posted for 27 Tons Except Combinations 36 Tons. Mary St. runs through the low-income housing project Bangor Heights and the bridge provides access to one of the main transportation routes in north Scranton. Hollow Avenue bridge over Leggetts Creek was built in 1958. It has a Sufficiency Rating of 37.0. It is currently posted for 9 Tons. Hollow is the only access point for residents in this neighborhood in north Scranton. S. Webster Avenue bridge over Stafford Meadow Brook was built in 1885. It has a Sufficiency Rating of 47.0. It is currently not posted but the upstream sidewalk has been closed since August 2015. This bridge provides an access point for McNichols Plaza, an elementary school in Scranton's southside.

- ***Financial Impact – please include the following in the explanation:***
 - ***Cost (initial and ongoing)***

Total project cost is: \$2,751,500.00

The grant request is: \$1,926,000.00

The municipal match is \$825,600.00

- ***Benefits (initial and ongoing)***

- ***Funding Sources – please include the following in the explanation:
If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.***

The funding source is the PennDOT Multimodal Transportation Fund Program

- ***Priority Status/Deadlines, if any***

High priority

- ***Why should the Council unanimously support this legislation?***

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.



BUREAU OF ENGINEERING

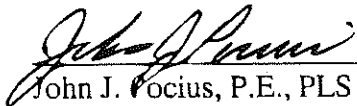
101 WEST POPLAR STREET • SCRANTON, PENNSYLVANIA 18508 • PHONE: 570-348-4180 • FAX: 570-348-0197

September 28, 2020

MARY STREET OVER LEGGETTS CREEK BRIDGE REPLACEMENT PROJECT

| <u>Phase</u> | <u>Project Cost Estimate</u> | <u>Municipal Share (30%)</u> |
|--------------------|------------------------------|------------------------------|
| Engineering/Design | \$ 92,000 | \$ 27,600 |
| Utilities | \$ 10,000 | \$ 3,000 |
| Right-of-Way | \$ 20,000 | \$ 6,000 |
| Construction | <u>\$ 890,000</u> | <u>\$ 267,000</u> |
| TOTAL | \$ 1,012,000 | \$ 303,600 |

Prepared By:



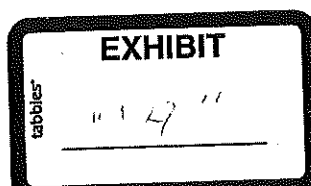
John J. Pocius, P.E., PLS

Labella Associates

City Engineer

JJP/mal

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BUREAU OF ENGINEERING

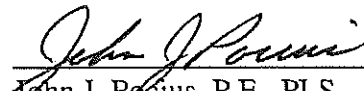
101 WEST POPLAR STREET • SCRANTON, PENNSYLVANIA 18508 • PHONE: 570-348-4180 • FAX: 570-348-0197

September 28, 2020

**SOUTH WEBSTER AVENUE OVER STAFFORD MEADOW BROOK
BRIDGE REPLACEMENT PROJECT**

| <u>Phase</u> | <u>Project Cost Estimate</u> | <u>Municipal Share (30%)</u> |
|--------------------|------------------------------|------------------------------|
| Engineering/Design | \$ 70,000 | \$ 21,000 |
| Utilities | \$ 10,000 | \$ 3,000 |
| Right-of-Way | \$ 20,000 | \$ 6,000 |
| Construction | <u>\$ 670,000</u> | <u>\$ 201,000</u> |
| TOTAL | \$ 770,000 | \$ 231,000 |

Prepared By:


John J. Pocius, P.E., PLS
Labella Associates
City Engineer

JJP/mal

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BUREAU OF ENGINEERING

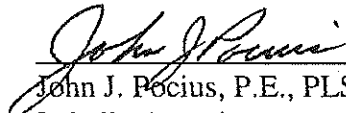
101 WEST POPLAR STREET • SCRANTON, PENNSYLVANIA 18508 • PHONE: 570-348-4180 • FAX: 570-348-0197

September 28, 2020

HOLLOW AVENUE OVER LEGGETTS CREEK BRIDGE REPLACEMENT PROJECT

| <u>Phase</u> | <u>Project Cost Estimate</u> | <u>Municipal Share (30%)</u> |
|--------------------|------------------------------|------------------------------|
| Engineering/Design | \$ 90,000 | \$ 27,000 |
| Utilities | \$ 10,000 | \$ 3,000 |
| Right-of-Way | \$ 20,000 | \$ 6,000 |
| Construction | <u>\$ 850,000</u> | <u>\$ 255,000</u> |
| TOTAL | \$ 970,000 | \$ 291,000 |

Prepared By:



John J. Pocius, P.E., PLS
Labella Associates
City Engineer

JJP/mal

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DEPARTMENT OF BUSINESS ADMINISTRATION

CITY HALL • 340 NORTH WASHINGTON AVENUE • SCRANTON, PENNSYLVANIA 18503 • PHONE: 570-348-4118 • FAX: 570-348-4225

November 4th 2020

Mr. David J Bratina
PennDOT Office of Multimodal Transportation
Commonwealth Keystone Building
400 North Fourth Street 8th Floor.
Harrisburg, PA 17105

RE: Multimodal Grant Funding Application -City of Scranton Mary St, S Webster & Hollow St bridge Project

Dear Mr. Bratina,

Please accept this letter as a statement by the City of Scranton to support the Mary St, S Webster & Hollow St bridge improvement project scheduled for 2022 through a \$825,600 matching contribution.

This City has sufficient funds for the match portion of the \$1,926,000 project; the project will be included in the 2022 Capital budget with funds maintained in a designated Capital project account.

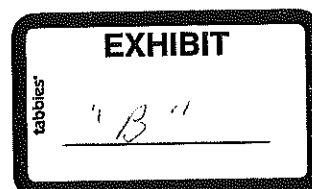
Without this funding the City would not be able to improve these bridges which have received unacceptable ratings.

Thank you for your assistance.

Sincerely,

Carl Deeley
Business Administrator
City of Scranton

CC. Director DPW Tom Preambo
Grant Writer Marguerite McLane
Director OECD Eileen Cipriani



| <div> <div>** NOTE ** Please use Ctrl-P or your browser print option to open printer dialog box</div> <div>Return to Application</div> </div> | | | |
|--|--------------------|---------------------|--------------------|
| Project ID | Application Status | Project Title | |
| 2021-04-35-070 | Submitted | Small Bridge Bundle | |
| Project Summary The City of Scranton is requesting funding to replace three small bridges within the city. | | | |
| Primary County | Municipality | Project Cost | Amount Re |
| Lackawanna | Scranton (City) | \$3,577,600 | |
| Location Description Mary Street bridge and Hallow Avenue bridge are both located in north Scranton, zip code 18508. The S. Webster Avenue b Scranton, zip code 18505. | | | |
| Project Latitude | Project Longitude | Map Link of Project | |
| 41.26592 | 75.39513 | | |
| Applicant | Applicant Type | Federal ID Number | |
| City of Scranton | Municipality | 24-6000704 | |
| Street Address | City | State | Zip Code |
| 340 N. Washington ... | Scranton | PA | 18503 |
| First Name | Last Name | Suffix | Job Title/Position |
| Maggie | Perry | | Grant Manager |
| Email | Phone Number | Website | |
| mamclane@scranto... | 5705588335 | | |
| Statement of the Problem thousands of residents rely on these three bridges as they connect several neighborhoods to the rest of the city, are structurally deficient and functionally obsolete. Mary Street bridge over Leggetts Creek Rating of 36.1. It is currently posted for 27 Tons Except Combinations 36 Tons. Mary St. runs through the low-income housing project Bangor Heights and the bridge provides access to one of the main t Hollow Avenue bridge over Leggetts Creek was built in 1958. It has a Sufficiency Rating of 37.0. It is currently posted for 9 Tons. Hallow is the only access point for residents in this neighborhood in no ver Stafford Meadow Brook was built in 1885. It has a Sufficiency Rating of 47.0. It is currently not posted but the upstream sidewalk has been closed since August 2015. This bridge provides an access elementary school in Scranton's southside. | | | |
| Proposed Solutions and Actions The proposed solution is to replace all three bridges | | | |
| Multimodal nature of the project - Does the project incorporate more than one mode of transportation? Please describe These bridges are used by both pedestrian and vehicular traffic. | | | |
| Describe the economic conditions of the region where the project is located - What is the unemployment rate in th this project will increase opportunities of local employment. Scranton's median household income is \$39,066 which is 35% below Pennsylvania's median household income of \$60,905. a 23% poverty rate which is almost double Pennsylvania's poverty rate of 12.2%.1 Additionally, Scranton is the location of The City of Scranton has been an ACT 47 distressed municipality since 1992 and due to the current pandemic crisis caused Scranton's budget will be facing multimillion-dollar deficits over the next three years causing the City to extend the period o status for an additional 18 months. | | | |
| Consistency with local, regional, and statewide planning - Is the proposed project consistent with and supportive of local and regional statewide plans and initiatives? Please explain. Yes, the proposed project is consistent with all local and regional statewide plans and initiatives (please see attached letter) | | | |
| Benefits to safety, mobility, and transportation system integration - Does the project address a demonstrated safet or activity enhance mobility? Please explain. The proposed project demonstrates a safety need because all three bridges are structurally unsound and hazardous. In the first responders would be unable to respond adequately to residents in these areas without accessing these roadways and b bridges are not replaced emergency response times to these areas will be dramatically increased. | | | |
| Level of matching investment in the project - Increased consideration will be given to those projects that have a great matching funds requirement and local financial support. Provide letters of funding commitments. What amount of matching | | | |

EXHIBIT

This City has sufficient funds for the match portion of the \$1,926,000 project; the project will be included in the 2022 Capital maintained in a designated Capital project account.

Number and quality of jobs - Increased consideration will be given to jobs created or preserved in Pennsylvania by the number of construction and permanent jobs created or preserved? (Please give a full-time equivalent based upon a 40-hour week)
NA

Regional nature of the project - Are there other projects planned in the region that this project links to, is a part of, or connected to? Describe how any planned or scheduled projects (Federal, State, Local, or Private) may impact this proposal. List any pending private development activities that are known at this time and how they will impact this proposal.
NA

Energy efficiency - Does the project involve energy efficiency measures? If so, please describe and identify the calculated energy savings.
NA

Operational sustainability - Once the project is completed, will it sustain itself financially? Does the project require ongoing funding, or is there a commitment to provide ongoing operating funds for the life of the project?
The City of Scranton will maintain the bridges once complete.

Is the project designed?
No, the project design and permit approvals will take approximately 12 months to complete. This process will begin once the City is notified of the grant award.

Project Construction - Is the project ready to go to construction?
Construction will begin within 12 months of award notification.

Local Approval - Do you have the necessary local approval?
Yes, the City of Scranton approves this application. Scranton City Council will pass a Resolution to apply for and execute grant funding at the November 17, 2020 meeting.

Selection Procedure - Has a consultant been selected in compliance with Pub 93 or equivalent qualifications-based selection process?
The City of Scranton will utilize the PENNDOT Engineering and Construction Management System for consultant selection.

Money Expended - Have monies been expended? (Please note that money already expended may not be eligible for reimbursement)
Monies have not been expended.

Grant Agreement
Will you be able to execute the grant agreement within one year of award?
Yes

Three Year Grant - Will you be able to draw down the grant within three years?
Yes

Technical and financial feasibility of the project - Applicants must show all financial commitments will be in place to start and ensure the project will be fully completed with the use of these funds.
All financial commitments will be in place in time for construction and the project will be fully completed with the use of the proposed time frame. Design Phase- 12 months Advertise and Bidding Phase- 2 months Construction Phase- 12 months

Environmental impact - Describe any potential impact on environmental features or public controversy on environmental project require environmental clearance? If so, has the environmental clearance been obtained? If not, it will be required through the PennDOT MTF process.

N/A

If yes, by whom - FHWA, USACOE, PennDOT?

N/A

Right-of Way - Describe any needed or acquired temporary or permanent property rights.
The City of Scranton has Right-of-Way for all three bridges.

PennDOT Involvement -

Has PennDOT been made aware of the proposed project? Have meetings been held with PennDOT involvement? If so, with PennDOT 4-0 is aware of the need to replace these bridges. The City will include these bridges in the Capital Bridge Project Authorization Bill.

****Current Project Status** Ready to begin pre-construction work

| Milestone | Start Date | End Date | Local Match Funding | Local Match Funding Source |
|-----------------|------------|------------|---------------------|--|
| Administrative | 1/1/2022 | 12/31/2022 | \$0 | Select |
| Contingency | | | \$0 | Select |
| Pre-Engineering | 1/1/2022 | 12/31/2022 | \$75,600 | Municipal / Boro / City / Twp / County Funds |
| Env. Clearance | | | \$0 | Select |
| Final Design | | | \$0 | Select |
| Right of Way | 1/1/2023 | 6/30/2023 | \$18,000 | Municipal / Boro / City / Twp / County Funds |
| Utilities | 1/1/2023 | 6/30/2023 | \$9,000 | Municipal / Boro / City / Twp / County Funds |
| Construction | 7/1/2023 | 12/31/2024 | \$723,000 | Municipal / Boro / City / Twp / County Funds |
| Inspection | | | \$0 | Select |
| Totals | | | \$825,600 | |

Percent of Local Matching Funds to Amount Requested

Contingency percent cannot exceed 5% of the grant request

Admin. percent cannot exceed 2% of the grant request

Eng. percent cannot exceed 10% of the grant request

Please list additional funding you have in place. Identify the source of the funding and the amount. You may also include dc "Attachment" page.

Please list the name, organization, and position/title of individuals who support this project. You may also include letters of "Attachment" page.

Senator John Blake

Representative Marty Flynn

2018 Audit Report.pdf
Bridge Project 2022 - Funding Match Notification -11-3-2020 (2).pdf
Cost Estimates (1).pdf
Maps (1).pdf
Permits.pdf
Planning Letters (1).pdf
Resolution.pdf



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 10, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 10 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

Dear Honorable Council Members:

ATTACHED IS A RESOLUTION RATIFYING AND APPROVING THE EXECUTION AND SUBMISSION OF THE GRANT APPLICATION BY THE CITY OF SCRANTON TO PENNDOT MULTIMODAL TRANSPORTATION BRIDGE PROJECT GRANT FUNDING TO BE UTILIZED TO REPLACE THREE BRIDGES IN THE CITY, NAMELY (1) MARY STREET BRIDGE, (2) HOLLOW AVENUE BRIDGE AND (3) SOUTH WEBSTER AVENUE BRIDGE.

Very truly yours,

A handwritten signature in cursive script that reads "Joseph A. O'Brien" followed by a circled "S" or similar mark.

Joseph A. O'Brien, Esquire
City Solicitor

FILE OF THE COUNCIL NO. _____

2020

AN ORDINANCE

AUTHORIZING THE ISSUANCE OF A TAX AND REVENUE ANTICIPATION NOTE, SERIES OF 2021 IN THE PRINCIPAL AMOUNT NOT TO EXCEED \$12,200,000; PROVIDING FOR THE DATED DATE, INTEREST RATE, MATURITY DATE, REDEMPTION PROVISIONS, PAYMENT AND PLACE OF PAYMENT IN RESPECT OF THE NOTE; ACCEPTING THE PROPOSAL ATTACHED HERETO AS EXHIBIT "B" FROM THE FINANCIAL INSTITUTION NAMED THEREIN FOR THE PURCHASE OF THE NOTE; NAMING A SINKING FUND DEPOSITARY/PAYING AGENT; AUTHORIZING THE PROPER OFFICERS OF THE CITY TO EXECUTE AND DELIVER THE NOTE AND CERTAIN OTHER DOCUMENTS AND CERTIFICATES IN CONNECTION THEREWITH; AUTHORIZING AND DIRECTING THE PREPARATION, CERTIFICATION AND FILING OF THE NECESSARY DOCUMENTS WITH THE DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT OF THE COMMONWEALTH OF PENNSYLVANIA; SETTING FORTH A FORM OF THE NOTE.

WHEREAS, the City of Scranton, Lackawanna County, Pennsylvania (the "City"), is a home rule charter city operating under the Home Rule Charter and Optional Plans Law of the Commonwealth of Pennsylvania (the "Commonwealth"); and

WHEREAS, the City anticipates receiving taxes and other revenues during the fiscal year ending December 31, 2021, which taxes and other revenues are currently uncollected; and

WHEREAS, the City has estimated, on a monthly basis, its expected taxes, revenues and expenditures for the fiscal year ending December 31, 2021, and has determined that during a portion of such fiscal year it will experience a "cumulative cash flow deficit" as such phrase is defined in the regulations promulgated under Sections 103 and 148 of the Internal Revenue Code of 1986, as amended (the "Code"); and

WHEREAS, the City has determined to borrow monies for the purpose of funding, in part, such cumulative cash flow deficit by issuing a note to be repaid from the anticipated taxes and revenues, all in accordance with the Local Government Unit Debt Act of the Commonwealth of Pennsylvania, Act 53 Pa.C.S., Chapters 80-82, as reenacted and amended (the "Debt Act"); and

WHEREAS, the City has received an acceptable proposal for the purchase of its Tax and Revenue Anticipation Note as hereinafter described; and

WHEREAS, as required by Section 8126 of the Debt Act, officials of the City have heretofore made an estimate of the taxes and revenues to be received during such fiscal year ending December 31, 2021 and, by their execution of a certificate with respect thereto dated this date, have certified to such estimate.

NOW, THEREFORE, BE IT ORDAINED by the Council (the "Council") of the City of Scranton, Lackawanna County, Pennsylvania, as follows:

Section 1. For the reasons and purposes recited above, the City hereby exercises its power and authority to borrow money and authorizes the issuance and sale of its Tax and Revenue Anticipation Note, Series of 2021, in the principal amount not to exceed \$12,200,000 (the "Note") in anticipation of the receipt of current taxes and revenues during the fiscal year ending December 31, 2021, such Note to be issued, sold and delivered as hereinafter provided.

Section 2. The Mayor, the Controller and the City Clerk of the City, or any duly appointed successor to any thereof, as the case may be, are hereby authorized and directed, in the name and on behalf of the City, to prepare and file with the Department of Community and Economic Development of the Commonwealth of Pennsylvania a certified copy of this Ordinance, a certificate setting forth the taxes and revenues remaining to be collected in the fiscal year ending December 31, 2021 and a true copy of the accepted Proposal (hereinafter defined) for the purchase of the Note, all as set forth in and required by Section 8128 of the Debt Act. The Mayor, the Controller and the City Clerk of the City are also hereby authorized and directed, in the name and on behalf of the City, to prepare and verify a certificate, in accordance with Sections 103 and 148 of the Code and the regulations applicable thereto, setting forth the anticipated use of the proceeds, to prepare and deliver to the Purchaser (hereinafter defined) of the Note the certificate required by Section 8127 of the Debt Act relating to the total amount of the City's tax and revenue anticipation notes outstanding on the date of delivery of the Note, and to take any and all other action, and to execute and deliver any and all other documents and instruments, as may be necessary, proper or desirable to effect the issuance and sale of the Note as contemplated herein. The certification made on the date of enactment of this Ordinance by the aforementioned officials of the City, relating to the amount of taxes and other revenues remaining to be collected by the City in the fiscal year ending December 31, 2021, is hereby ratified and approved.

Section 3. The Note shall be designated "City of Scranton, Lackawanna County, Pennsylvania, Tax and Revenue Anticipation Note, Series of 2021," or such other name or designation as shall be selected by the Mayor of the City upon delivery of the definitive Note in accordance with this Ordinance, shall be in registered form, without coupons, shall be dated the date of issuance, and shall be in such denominations, shall be subject to such redemption, and shall bear interest from the date of delivery until maturity at the rate of interest all as set forth in the Proposal, shall mature on or before December 31, 2021 as set forth in the Proposal, and shall be payable as to principal and interest at the place and in the manner and be otherwise in substantially the form set forth in the form of Note attached as Exhibit "A" hereto and the Proposal attached as Exhibit "B" hereto.

Section 4. The Note shall be executed in the name and on behalf of the City by the true or facsimile signatures of the Mayor and Controller of the City, or, if applicable, their duly qualified respective successors, and the true or facsimile official seal of the City shall be affixed thereunto, duly attested by the true or facsimile signature of the City Clerk of the City. Said officers are authorized and directed to execute and attest the Note. The execution and delivery of the Note in accordance with Section 3 hereof and this Section 4 shall constitute conclusive proof of the approval of the final terms and provisions of the Note by the City.

Section 5. The Note shall be general credit obligations of the City, and, together with any other tax and revenue anticipation notes issued, or to be issued, by the City during the City's fiscal year ending on December 31, 2021, shall, upon issuance thereof, be equally and ratably secured by a pledge of, security interest in, and a lien and charge on, the taxes and other revenues to be received by the City during the period when the Note is outstanding; and the Mayor and Controller of the City, attested by the City Clerk, are hereby authorized and directed to prepare and file, or to have filed, such financing statements as may be necessary to fully perfect such pledge, security interest, lien and charge pursuant to the Pennsylvania Uniform Commercial Code and Section 8125 of the Debt Act. The City covenants and agrees that any additional tax and revenue anticipation notes issued by the City after the date of issuance of the Note and while the Note is still outstanding shall mature on a date after the final maturity date of the Note.

Section 6. The City covenants that it will make no use of the proceeds of such issue or do or suffer any other action which, if such use or action had been reasonably expected on the date of issue of the Note, would cause the Note to be an "arbitrage bond" or "private activity bond" as those terms are defined in Section 148 and Section 141 of the Code and the applicable regulations thereunder. The City further covenants that it will comply with the requirements of such Section 148 and Section 141 and with the regulations thereunder throughout the term of the Note. In addition, the Mayor and Controller of the City, being the officials responsible for issuing the Note, attested by the City Clerk of the City, are hereby authorized and directed to execute and deliver, in the name and on behalf of the City, any and all

documents or other instruments which Bond Counsel may reasonably request in connection with the providing of its opinion that the Note is not an "arbitrage bond" or "private activity bond" within the meanings of Section 148 and Section 141 of the Code and the regulations promulgated thereunder, including, without limitation, a certificate dated the date of issuance and delivery of the Note, which certificate shall set forth the reasonable expectations of the City as to the amount and use of the proceeds of the Note.

Section 7. The proposal of the lender identified therein, presented to the Council is attached hereto as Exhibit "B", or such other proposal and lender, and with such other terms and provisions, as may be selected by the Mayor of the City (such proposal selected in accordance with this Section 7 being hereinafter referred to as the "Proposal" and such lender selected in accordance with this Section 7 being hereinafter referred to as the "Purchaser"), is hereby accepted and the Note is hereby awarded to the Purchaser at a private sale for the purchase price of 100% of the par amount of the Note issued. The terms of the Proposal are incorporated herein by reference with the same effect as if set forth in full at this place. The Mayor and Controller, attested by the City Clerk, are hereby authorized and directed to, accept the Proposal, in the name of and on behalf of the City, by executing the City's acceptance on an original copy of the Proposal, to deliver a copy of the same to the Purchaser and to file the original with the records of the City. The Mayor and Controller are hereby authorized to deliver the Note to the Purchaser upon receipt of the full principal amount of the purchase price for the Note and upon compliance with all conditions precedent to such delivery as required by the Debt Act, this Ordinance and the Proposal; and the Mayor and Controller, attested by the City Clerk, is hereby authorized and directed to prepare, verify and deliver to the Purchaser concurrently with the delivery of the Note, the certificate required by Section 8127 of the Debt Act, a copy of which certificate shall be retained with the records of the City until all tax and revenue anticipation notes issued by the City during the fiscal year ending on December 31, 2021, shall have been paid in full.

Section 8. The Note shall be in substantially the form set forth in Exhibit "A" hereto. The form of the Note as submitted to the City are hereby approved in substantially such form, with such changes, insertions and variations as are necessary or appropriate to reflect the final terms, including, but not limited to, series designation, interest rates, principal amounts, denominations, registered owner, the name or designation and redemption provisions, of the Note as specified to the City in the delivery instructions of the Purchaser and such other changes as the Mayor of the City may approve upon advice of the Solicitor to the City, such approval to be evidenced by such officer's execution and delivery of the Note.

Section 9. The Mayor and Controller of the City is hereby authorized, empowered and directed to contract with The Fidelity Deposit and Discount Bank, Dunmore, Pennsylvania, as paying agent, or such other paying agent, which shall be a bank or bank and trust company authorized to do business in the Commonwealth, as may be selected by the Mayor of the City upon delivery of the Note in accordance with this Ordinance (any such paying agent selected in accordance with this Section 9 being hereinafter referred to as the "Paying Agent"), for its services as paying agent and sinking fund depository in accordance with the terms and conditions of the Proposal, this Ordinance and the Act. Payment of the principal of and interest on the Note shall be made, when due, in accordance with the provisions of the Note, at the corporate trust office of the Paying Agent in lawful money of the United States of America. The Controller or other proper officer is hereby authorized and directed to make deposits to the sinking fund to be held for the payment of principal and interest on the Note as set forth in the Note and the Proposal.

Thereafter, the Paying Agent shall, without further authorization or direction from the City or any of its officials, withdraw moneys from the sinking fund and apply such moneys to the payment of principal and interest then due on the Note.

Section 10. Stevens & Lee, P.C., Scranton, Pennsylvania, is hereby appointed Bond Counsel in connection with the issuance and sale of the Note, and PFM Financial Advisors LLC is hereby appointed to act as Financial Advisor to the City in connection with the issuance and sale of the Note, and any other professionals, as necessary or appropriate, in connection with the purposes of and to facilitate the intent of this Ordinance as the Mayor of the City may appoint.

Section 11. All expenses incurred in connection with issuance of the Note shall be paid out of the proceeds derived from the issuance of the Note or from other available funds of the City and the Mayor of the City is authorized to approve requests for payment of such expenses and to pay or direct the payment of such expenses.

Section 12. The Mayor, Controller and City Clerk of the City and all other proper officers of the City are hereby authorized, jointly and severally, to do any and all other things necessary to effectuate the issuance, execution, delivery and sale of the Note, including the execution and delivery of any and all additional documents, representations, declarations, depositary agreements, debt service agreements, control agreements, deposit account control agreements, loan agreements, reimbursement agreements, security agreements, promissory notes, intercreditor agreements, derivative and/or interest rate management agreements, escrow agreements, assignments, financing statements, certificates, authorizations, contracts, engagement letters, agreements, insurance binders and other papers as may be necessary to effectuate any of the foregoing, and such execution and delivery shall be conclusive evidence of the authorization and approval thereof by the City.

Section 13. If any provision, section, sentence, clause or part of this Ordinance shall be held to be invalid or unconstitutional by any court of competent jurisdiction, such decision shall not affect or impair any remaining provision, section, sentence, clause or part of this Ordinance, it being the intent of the City that the remainder of this Ordinance shall remain in full force and effect. The City reserves the right to amend this Ordinance or any portion hereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Ordinance, and the effective administration hereof.

Section 14. This Ordinance shall become effective immediately upon approval.

Section 15. All ordinances or parts of ordinances, insofar as the same shall be inconsistent herewith, shall be and the same expressly hereby are repealed.

Section 16. This Ordinance is enacted by the Council of the City under the authority of the Act of the Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Optional Plans Law," and any other applicable law arising under the laws of the Commonwealth.

EXHIBIT "A" TO ORDINANCE

[Form of Note]

R-__

\$12,200,000

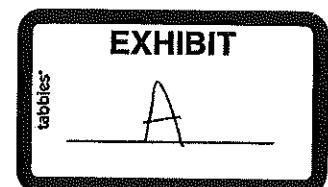
CITY OF SCRANTON, LACKAWANNA COUNTY, PENNSYLVANIA
TAX AND REVENUE ANTICIPATION NOTE, SERIES OF 2021

KNOW ALL MEN BY THESE PRESENTS, that the City of Scranton, Lackawanna County, Pennsylvania (the "City"), a city existing under the laws of the Commonwealth of Pennsylvania (the "Commonwealth"), for value received, hereby promises to pay to the order of _____ (the "Bank" or "Purchaser"), the sum of Twelve Million Two Hundred Thousand Dollars (\$12,200,000) on the 31st day of December, 2021, together with interest on said sum from the 4th day of January, 2021 until maturity at the rate of _____ hundredths percent (____%) per annum, computed on the basis of a 360-day year of twelve 30 day months. Interest on the Note is payable at maturity, or if redeemed prior to maturity, on the date of redemption. Both the principal of and interest on this Note shall be payable in such coin or currency as at the place and at the time of payment shall be legal tender for the payment of public and private debts in the United States (or by instrument payable in immediately available legal tender as aforesaid), upon presentation and surrender hereof at the principal office of The Fidelity Deposit and Discount Bank (the "Paying Agent") in Dunmore, Pennsylvania.

Whenever the due date for payment of interest on or principal of the Note or the date fixed for redemption of any Note shall be a Saturday, Sunday, legal holiday or a day on which banking institutions in the Commonwealth are authorized by law or executive order to remain closed, then payment of such interest, principal, or redemption price need not be made on such date, but may be made on the next succeeding day which is not a Saturday, Sunday, legal holiday or a day upon which banks are authorized by law or executive order to remain closed, with the same force and effect as if made on the due date for payment of principal, interest or redemption price and no interest shall accrue thereon for any period after such due date.

This Tax and Revenue Anticipation Note, Series of 2021, authorized and issued in the aggregate principal amount of Twelve Million Two Hundred Thousand Dollars (\$12,200,000) (the "Note") in accordance with the provisions of the Local Government Unit Debt Act of the Commonwealth of Pennsylvania (the "Act"), and pursuant to an Ordinance enacted on December __, 2020 by the Council of the City (the "Ordinance"). Reference is made to the Act and the Ordinance for a complete statement of the rights and limitations of rights of the holder of the Note, to all of which the holder hereof by acceptance of this Note assents. The terms and provisions of the Ordinance are hereby incorporated by reference as if set forth fully herein.

Upon any taxing authority's final decree or judgment that: (i) this Note is an arbitrage bond; or (ii) the interest on this Note is not excluded from gross income of the Bank for purposes of federal income taxation, the interest rate on this Note shall be reset to the Bank's



taxable equivalent rate (the "Taxable Rate") as determined by the Bank, effective as of the date of the taxing authority's final decree or judgment. The City shall pay the Bank the difference between (i) the interest that would have been paid on this Note had the interest been set at the Taxable Rate as of the date of the taxing authority's final decree or judgment; and (ii) the interest actually paid under this Note. In addition, the City shall pay all taxes, interest, and penalties assessed to the Bank by any taxing authority with respect to this Note's tax status.

The Authority shall pay to the Bank a late charge for any payment of principal and/or interest not received by the Bank within fifteen (15) days of the due date in an amount equal to five percent (5.00%) of the amount of the delinquent installment of principal and/or interest or \$10.00, whichever is greater. The delinquency charge shall be paid promptly but only once for each delinquent payment.

Upon the occurrence of an Event of Default (as described herein), the City shall pay interest on the unpaid principal balance of this Note at the Default Rate.

For purposes hereof, the following terms shall have the following meanings:

"Default" means any Event of Default, and any event which with the passage of time or notice, or both, would become an Event of Default.

"Default Rate" means a rate per annum equal to the interest rate as then in effect on this Note plus 5.00%.

"Event of Default" shall have the meaning set forth herein under the heading "Events of Default".

This Note is issued under and in accordance with the Act, for the purpose of providing funds for current expenses payable in the current fiscal year in anticipation of the receipt of taxes and other revenues by the City from the date of original delivery of the Note to the stated maturity date thereof.

The City has pledged and granted (equally and ratably with all other tax and revenue anticipation notes issued by the City for the City's 2021 fiscal year) to the Paying Agent, for the benefit of the holder of the Note, a lien on, and security interest in, its taxes and revenues to be received by the City during the period when this Note is outstanding in order to secure the payment of the principal indebtedness evidenced hereby and the interest hereon, all as more fully set forth in the Debt Service Agreement, dated the date hereof (the "Debt Service Agreement"), between the City and the Paying Agent, the Continuing General Security Agreement, dated the date hereof (the "Security Agreement"), between the City and the Paying Agent, and the Deposit Account Control Agreement, dated the date hereof (the "Control Agreement" and together with the Debt Service Agreement and the Security Agreement, the "Note Security Agreements"), between the City and the Paying Agent. Such pledge, security interest, and lien are enforceable in the manner provided by the Act and the Note Security Agreements.

In the Ordinance, the City has covenanted that it will make no use of the proceeds of the Note, or do or suffer any other action, which, if such use or action had been reasonably expected on the date of issuance of the Note, would cause the Note to be an "arbitrage bond" or "private activity bond" as those terms are defined in Section 148 and Section 141 of the Internal Revenue Code of 1986, as amended (the "Code"), and the applicable regulations thereunder.

The City shall have the privilege, at any time, and from time to time, to prepay the unpaid principal balance of this Note, in whole or in part, without premium or penalty.

The City covenants that it will deposit in the sinking fund for the Note established by the Ordinance with the Paying Agent the taxes and other revenues collected in amounts which will be sufficient to pay the principal of and interest on all Note issued pursuant to the Ordinance as and when the same shall become due and payable, and such sinking fund shall be applied exclusively to such purpose.

The City agrees to provide to the Bank, (i) not later than 270 days after the end of each fiscal year of the City, financial statements of the City; and (ii) any other financial information or operating reports as reasonably requested by the Bank.

Events of Default. Any of the following events shall constitute an "Event of Default" under this Note:

(a) the nonpayment when due, after ten (10) days written notice or oral notice followed by written confirmation, of any amount payable under this Note or of any amount owed to the Bank with regard to this Note when due, or the failure of the City to observe or perform, after thirty (30) days written notice, any agreement of any nature whatsoever with the Bank, including but not limited to, those contained in the documents executed in connection with the issuance of this Note; provided that such thirty (30) day notice period shall not apply to events which, in the Bank's reasonable judgment, are not capable of being cured within thirty (30) days and the notice so provides;

(b) if the City becomes insolvent or makes an assignment for the benefit of creditors, or if any petition is filed against the City under any provision of any state or federal law or statute alleging that the City is insolvent or unable to pay its debts as they mature or under any provision of the Federal Bankruptcy Code, and the failure to cause the same to be discharged within ninety (90) days, or the City voluntarily files any petition for such purpose;

(c) the entry of any judgment in excess of \$500,000 against the City or any of the property of the City which remains unsatisfied for forty five (45) days;

(d) if any information or signature furnished to the Bank by the City at any time in connection with this Note is false or incorrect; or

(e) the failure of the City to furnish timely to the Bank such financial and other information as the Bank may reasonably request or require; and

(f) the City defaults in the payment of any amounts due under any other bond, note, contract, lease or obligation.

Remedies.

(a) At any time after occurrence of an Event of Default, the Bank may, at the Bank's option and sole discretion and without notice or demand, exercise any right or remedy as may be provided in this Note, the Ordinance, any other writing delivered with this Note or which is provided at law or in equity.

(b) The interest rate on the unpaid principal balance of this Note shall accrue at the Default Rate from the date on which an Event of Default occurs until the date on which all defaults are cured or the entire unpaid principal balance and all other sums due under this Note are actually received by the Bank.

In any action under this Note, the Bank may recover all reasonable costs of suit and other expenses in connection with the action, including the cost of any attorneys' fees, paid or incurred by the Bank.

The rights and remedies provided to the Bank in this Note and in the Ordinance, including all warrants of attorney, (a) are not exclusive and are in addition to any other rights and remedies that the Bank may have at law or in equity, (b) shall be cumulative and concurrent, (c) may be pursued singly, successively or together against the City, and/or any of the security at the sole discretion of the Bank, and (d) may be exercised as often as occasion therefor shall arise. The failure to exercise or delay in exercising any such right or remedy shall not be construed as a waiver or release thereof.

The Bank shall not be deemed, by any act of omission or commission, to have waived any of its rights or remedies hereunder unless such waiver is in writing and signed by Bank. Such a written waiver signed by the Bank shall waive the Bank's rights and remedies only to the extent specifically stated in such written waiver. A waiver as to one or more particular events or defaults shall not be construed as continuing or as a bar to or waiver of any right or remedy as to another or subsequent event or default.

The Purchaser shall have the right to exercise the remedies set forth in the Act. Any failure by the Purchaser to exercise any right or privilege hereunder shall not be construed as a waiver of the right or privilege to exercise such right or privilege, or to exercise any other right or privilege, at any other time, and from time to time, thereafter.

No recourse shall be had for the payment of the principal of or interest on this Note, or for any claim based hereon or on the Ordinance, against any member, officer or employee, past, present, or future, of the City or of any successor body, as such, either directly or through the City or any such successor body, under any constitutional provision, statute or rule of law, or by the enforcement of any assessment or by any legal or equitable proceeding or

otherwise, and all such liability of such members, officers or employees is released as a condition of and as consideration for the issuance of this Note.

All acts, conditions and things required to be done or performed precedent to and in the issuance of this Note or in the creation of the obligation of which this Note is evidence have been done and performed as required by law.

[The remainder of this page intentionally left blank.]

IN WITNESS WHEREOF, the City of Scranton, Lackawanna County, Pennsylvania, has caused this Note to be signed in its name and on its behalf by the signatures of the Mayor and Controller of the City and its corporate seal to be hereunder affixed, duly attested by the signature of the City Clerk of the City, as of the 4th day of January, 2021.

CITY OF SCRANTON
Lackawanna County, Pennsylvania

(SEAL)

By: _____
Mayor

By: _____
Controller

Attest: _____
City Clerk

APPROVED AS TO FORM:

City Solicitor

(END OF NOTE FORM)



City of Scranton, Pennsylvania
Summary of Terms & Conditions¹
\$12,200,000 General Obligation Tax and Revenue Anticipation Note
(The "Loan")

The terms and conditions contained herein are subject to satisfactory completion of due diligence, internal credit approval and such other conditions as may be required by Webster Bank, N.A. and the Webster Public Finance Corporation in its sole discretion. This term sheet is confidential and is not to be relied upon by third parties. Webster Bank, N.A. and the Webster Public Finance Corporation are not registered municipal advisors and cannot provide advice in connection with municipal financial products.

Submission Date: October 28, 2020.

Borrower: City of Scranton, Pennsylvania.

Lender: Webster Public Finance Corporation.

Paying Agent: Webster Bank, N.A.

Par Amount: Up to \$12,200,000.

Closing: Settlement will occur on or around January 4, 2021.

Final Maturity: December 15, 2021.

Purpose: Proceeds from the Loan will be used to fund FY 2021 operations in anticipation of tax revenue.

Tax Status: The Loan will be exempt from Federal and State Income Tax.

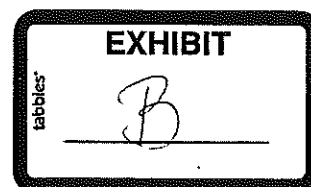
Bank Qualification: The Loan will not be designated Bank Qualified under IRS Section 265(b)(3) as amended.

Sinking fund payments: Balances available in the Debt Service Account on the 15th of each month, beginning April 15, 2021, shall be applied in full towards any outstanding principal on the Loan until the Loan is repaid in full.

Security: The Loan will be payable from and equally and ratably secured by a pledge of, security interest in, and lien upon, the taxes and other revenues of the City to be received during the period in which in the Loan is outstanding, as set forth in the Ordinance, and as evidenced by a UCC-1 filing.

The Loan will be further secured by a lockbox structure in which the Borrower will direct its Earned Income Tax Collector to transmit to the Paying Agent, the Borrower's Earned Income Taxes collected in fiscal year 2021 each business

¹ For discussion purposes only. Does not constitute an offer or agreement to lend.



day starting January 3, 2021. The Paying Agent will retain approximately 60% of the collections for the period from January 3, 2021 through December 15, 2021, in a restricted account for withdrawal, payment or transfer at the sole discretion of the Paying Agent until the Loan is paid in full. In connection with such structure, the City will enter into a Continuing General Security Agreement, a Debt Service Agreement, and a Deposit account Control Agreement, collectively referred to herein as "Note Security Agreements." Note Security Agreements will be in substantially similar forms to those utilized in connection with the City's Tax and Revenue Anticipation Notes, Series of 2018, dated December 20, 2017, as accessed via emma.msrb.org.

The Loan is a general obligation of the City and, if the Amounts due on the Loan are not paid within the fiscal year in which the Loan is issued, the Act provides that the Loan will be deemed to be non-electoral debt of the City enforceable in the manner of a general obligation of the City, which, unless otherwise funded, shall be included in the budget of the City for the ensuing fiscal year and payable from the taxes and revenues of such ensuing year without regard to any other debt limitations which may be imposed upon the City by the Act.

Legal Opinion:

A Legal Opinion will be provided documenting (i) the validity of the Loan, (ii) that the Loan will be legally binding general obligations of the Borrower payable as to both principal and interest from ad valorem taxes which may be levied on all taxable property subject to taxation by the Borrower without limitation as to rate or amount, and (iii) that interest will be excluded from federal and state income tax.

Private Placement:

The Loan is being offered pursuant to private placement. No offering document constituting an "official statement" will be prepared, and the Loan will be in minimum authorized denominations of \$100,000. The Lender will deliver a certificate to the Borrower and Bond Counsel at Settlement to the effect that the Lender agrees to purchase the Loan without an official statement and will not reoffer the Loan for sale or sell the Loan to more than thirty-five persons each of whom the Borrower reasonably believes: (i) has such knowledge and experience in financial and business matters that it is capable of evaluating the merits and risks of the prospective investment; and (ii) is not purchasing for more than one account or with a view to distributing the Loan and shall agree on the foregoing limitations within the meaning of Section 1273 of the Internal Revenue Code of 1986, as amended.

Rating & Disclosure:

The Loan will not be rated and no offering document constituting an "official statement" will be prepared.

Interest Rate:

Based upon current market conditions, the indicative fixed tax-exempt rate is: **1.209%.**

This fixed rate is preliminary and subject to change based upon but not limited to, market conditions, due diligence, and final structure.

The final fixed rate may be set up to seven (7) calendar days prior to closing.

Based upon current market conditions, the indicative tax-exempt variable rate is **0.831%** based on the formula:

$$\text{Rate} = 79\% \times (1\text{-month LIBOR} + 90 \text{ bps}).$$

This rate is subject to a floor of 0.80% and will be capped at a maximum rate of 4.50%.

If the Lender, in its sole discretion, determines that (a) (i) the interest rate applicable to LIBOR loans cannot be readily determined or does not adequately and fairly reflect the cost of making or maintaining the Note or (ii) deposits of a type and maturity appropriate to match fund the Note are not available to the Lender, and such circumstances are likely to be permanent, (b) ICE Benchmark Administration (or any Person that takes over the administration of such rate) ceases its administration and publication of interest settlement rates for deposits in U.S. dollars, or (c) the supervisor for the administrator of the publication of such rates or a Governmental Authority having jurisdiction over the Lender has made a public statement identifying a specific date after which such interest settlement rate shall no longer be used for determining interest rates for loans, then the Lender shall determine an alternate rate of interest to the LIBOR rate taking into account then prevailing standards in the market for determining interest rates for comparable commercial loans made by financial institutions in the United States at such time, provided that such alternate rate of interest shall be based on an index and with an applicable spread that is equivalent to the index and applicable spread in existence immediately prior to the time that one of the circumstances described in clause (a), (b) or (c) above occurs. The Lender and the Borrower hereby agree to enter into an amendment to the Loan Agreement, if necessary, to incorporate such alternate interest rate and other accompanying changes to the Loan Agreement that are reasonably determined to be applicable thereto. In the event that the alternate rate of interest determined pursuant to this Section shall be less than zero, such rate shall be deemed to be zero for the purposes of the Loan Agreement.

Other Fees

The Lender will charge no fees for this loan; however the Borrower is responsible for any issuer expenses incurred including, but not limited to bond counsel, Lender's Counsel, verification agent and municipal advisor. Lender's Counsel will be at a cost to the Borrower not to exceed \$5,000 to be paid at closing.

Covenants:

Usual and customary for transactions of this type, including, without limitation, the following: (i) payment of obligations; (ii) compliance with laws; (iii) maintenance of books and records; (iv) use of proceeds; and (vi) such other customary covenants for a transaction of this type. The Lender requires that the Borrower submit the following information to the Lender annually, unless otherwise made publicly available on emma.msrb.org:

1. Audited annual financial statements of the Borrower, delivered to the Lender within nine months of the close of the Borrower's fiscal year.
2. Most recent tax roll data that shows the assessed value of the Borrower and top ten taxpayers.
3. Proposed and approved budgets of the Borrower.

4. Statement of monthly earned income tax collections deposited into the Debt Service Account.

Any other information as the Lender may reasonably request.

Conditions Precedent:

The Closing will be conditioned upon: (i) the negotiation, execution and delivery of definitive documentation in form and substance satisfactory to the Lender, (ii) delivery to the Lender in form and substance satisfactory to the Lender of a standard approving opinion of bond counsel regarding validity and legality of, and security for the Loan, an opinion of Borrower's counsel in customary form, and closing certificates of officials of the Borrower's as to the issuance of the Loan, (iii) receipt of satisfactory financial information, budgets, projections, etc. as requested by the Lender, and (iv) such other conditions precedent as are customary for a financing of the type contemplated.

Representations and Warranties:

Usual and customary for transactions of this type including, without limitation, the following: (i) legal existence, qualification and power; (ii) due authorization and no contravention of law, contracts or organizational documents; (iii) governmental and third party approvals and consents; (iv) enforceability; (v) accuracy and completeness of specified financial information; (vi) no material litigation; (vii) no default; (viii) compliance with laws; (ix) no bankruptcy or insolvency proceedings; (x) no Material Adverse Change with respect to the Borrower and its subsidiaries; and (xi) such other representations and warranties as are customary for a transaction of this type.

"Material Adverse Change" means, with respect to any change or effect, a material adverse change in, or effect on, as the case may be, (a) the business, assets, operations or condition, financial or otherwise, of the Borrower and its subsidiaries taken as a whole, (b) the ability of the Borrower and its subsidiaries, taken as a whole, to perform their obligations under the loan documents to which they are a party, (c) the rights of, or benefits available to, the Lender under the loan documents, or (d) the legality or enforceability of any loan document.

Redemption:

Prepayment is allowed at any time.

Expiration:

This term sheet will expire forty (40) days from the Submission Date.

[Remainder of Page Left Blank]

WEBSTER PUBLIC FINANCE CORPORATION

IN WITNESS WHEREOF, and acknowledging acceptance and agreement of the foregoing, the Lender and the Borrower affix their signatures hereto on this 5th day of November, 2020.

By:

Name:

Title:



Xaykham Khamdyvoravong

Delegate for Christopher Moti

Vice President

Webster Public Finance Corporation

CITY OF SCRANTON, PENNSYLVANIA

IN WITNESS WHEREOF, and acknowledging acceptance and agreement of the foregoing, the Lender and the Borrower affix their signatures hereto on this ____ day of _____, 2020.

By:

Name:

Title:



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 6, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

Dear Honorable Council Members:

ATTACHED IS AN ORDINANCE AUTHORIZING THE ISSUANCE OF A TAX AND REVENUE ANTICIPATION NOTE, SERIES OF 2021 IN THE PRINCIPAL AMOUNT NOT TO EXCEED \$12,200,000; PROVIDING FOR THE DATED DATE, INTEREST RATE, MATURITY DATE, REDEMPTION PROVISIONS, PAYMENT AND PLACE OF PAYMENT IN RESPECT OF THE NOTE; ACCEPTING THE PROPOSAL ATTACHED HERETO AS EXHIBIT "B" FROM THE FINANCIAL INSTITUTION NAMED THEREIN FOR THE PURCHASE OF THE NOTE; NAMING A SINKING FUND DEPOSITARY/PAYING AGENT; AUTHORIZING THE PROPER OFFICERS OF THE CITY TO EXECUTE AND DELIVER THE NOTE AND CERTAIN OTHER DOCUMENTS AND CERTIFICATES IN CONNECTION THEREWITH; AUTHORIZING AND DIRECTING THE PREPARATION, CERTIFICATION AND FILING OF THE NECESSARY DOCUMENTS WITH THE DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT OF THE COMMONWEALTH OF PENNSYLVANIA; SETTING FORTH A FORM OF THE NOTE.

Very truly yours,

Joseph A. O'Brien
Joseph A. O'Brien, Esquire
City Solicitor

JAO/sl

RECEIVED

NOV 06 2020

OFFICE OF CITY
COUNCIL/CITY CLERK

RESOLUTION NO. _____

2020

AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS TO APPLY FOR AND EXECUTE A GRANT APPLICATION THROUGH THE PENNSYLVANIA DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT ACT 47 GRANT PROGRAM IN THE AMOUNT OF \$50,000.00 TO BE USED TO HIRE A CONSULTANT TO ASSIST THE CITY IN THE RE-DESIGN OF ITS OPERATING SYSTEM.

WHEREAS, the City of Scranton desires to obtain funds from the Pennsylvania Department of Community and Economic Development Act 47 Grant Program in the amount of \$50,000.00 to hire a consultant to assist the city in the re-design of its Operating System. Please refer to the Project Description attached hereto as Exhibit "A."

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SCRANTON that the Mayor and other appropriate city officials are hereby authorized to apply for and execute a Grant Application, and if successful, a Grant Agreement, and any and all related documentation which may be necessary to complete the grant application including but not limited to the Grant Application through the Pennsylvania Department of Economic and Community Development Act 47 Grant Program in the amount of \$50,000.00 to hire a consultant to assist the City in re-designing its Operating System.

SECTION 1. If any section, clause, provision or portion of this Resolution shall be held invalid, or unconstitutional by any Court of competent jurisdiction, such decision shall not affect any other section, clause, provision or portion of this Resolution so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Resolution or any portion thereof from time to time as it shall deem advisable in the best interests of the promotion of the purposes and intent of this Resolution and the effective administration thereof.

SECTION 2. This Resolution shall become effective immediately upon approval.

SECTION 3. This Resolution is enacted by the Council of the City of Scranton under the authority of the Act of Legislature, April 13, 1972, Act No. 62, Known as the "Home Rule Charter and Optional Plans Law", and any other applicable law arising under the laws of the State of Pennsylvania.

- ***What Department is this legislation originating from? Where did the initiative for this legislation originate?***

Business Administration

- ***Summary and Facts of the legislation***

The City of Scranton is requesting grant funding from DCED Act 47 Grant to implement the “Information Technology Update” which will be used to hire a consultant to assist the city to re-design the Operating System and standardize the processes.

- ***Purpose – please include the following in the explanation:***

- ***What does the legislation do – what are the specific goals/tasks the legislation seek to accomplish?***

The purpose of this Grant Application is to receive funding to update the City’s Operating System. As the City has been operating on paper and/or digitized paper, an updated Operating System will increase efficiency, decrease costs, make informed decision-making and improve service to the residents and businesses of the City of Scranton.

- ***What are the benefits of doing this/Down-side of doing this?***

Benefits - Streamline the infrastructure for interdepartmental collaboration

Downside – To be supplemented, if applicable.

- ***How does this legislation relate to the City’s Vision/Mission/Priorities***

This legislation will move the City from antiquated and less efficient processes to improve service delivery, shorter wait time and streamlined workflows which will lead to increased citizen satisfaction and decreased citizen calls.

- ***Financial Impact – please include the following in the explanation:***

- ***Cost (initial and ongoing)***

N/A.

- ***Benefits (initial and ongoing)***

The funding will benefit the city by improving the city’s efficiency and level of service to the public.

- ***Funding Sources – please include the following in the explanation:***

If transferring funds, please ensure specific accounts are noted; if appropriating funds from a grant, list the agency awarding the grant.

The agency that would award the grant is the Pennsylvania Department of Community and Economic Development.

- ***Priority Status/Deadlines, if any***

In the grant, the timeline shows that the RFP would be developed and posted in November 2020.

- ***Why should the Council unanimously support this legislation?***

The new Operating System will make the City more efficient, more responsive to citizen needs and improve cross departmental collaboration.

- ***Include any other pertinent details and/or relevant information that the Council should be aware of:***

None at this time.

Scranton Police Headquarters
100 South Washington Avenue
Scranton, Pennsylvania 18503
Tel: (570) 558-8335
Fax: (570) 207-0412
mamclane@scrantonpa.gov

Police Department
Maggie Perry
Grant Manager



SCRANTON

October 26, 2020

Atty. Joseph Price
City of Scranton
340 North Washington Avenue
Scranton, Pa 18503

Re: DCED ACT 47 Grant

Atty. Price,

I respectfully request that you send legislation to City Council to apply for and execute \$50,000 in grant funding through the Department of Community and Economic Development ACT 47 Grant Program.

The City of Scranton is requesting grant funding to implement the "Information Technology Update". This funding will be used to hire a consultant to assist the city re-design the Operating System and standardize processes.

If you have any questions or concerns, please feel free to contact me.

Thank you,

Maggie Perry
Grant Manager

Single Application for Assistance

Web Application Id: 8484234

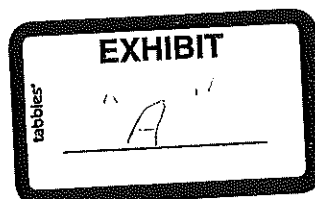
Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Applicant Information

| | |
|------------------------|--------------------------|
| Applicant Entity Type: | Government |
| Applicant Name: | City of Scranton |
| NAICS Code | 9211 |
| FEIN/SSN Number | XXXXXXXXXX |
| DUNS Number: | |
| CEO: | Paige Cagnetti |
| CEO Title: | Mayor |
| SAP Vendor #: | XXXXXX |
| Contact Name: | Maggie |
| Contact Title: | Perry |
| Phone: | (570)-558-8335 Ext. |
| Fax: | (570)-207-0412 |
| E-mail: | MaMcLane@scrantonpa.gov |
| Mailing Address: | 340 N. Washington Avenue |
| City: | Scranton |
| State: | PA |
| Zip Code: | 18503 |



Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Enterprise Type

Indicate the types of enterprises that describe the organization listed above. You may select more than one type.

| | | | | |
|--|--|---|---|---|
| <input type="checkbox"/> Advanced Technology | <input type="checkbox"/> Agri-Processor | <input type="checkbox"/> Agri-Producer | <input type="checkbox"/> Authority | <input type="checkbox"/> Biotechnology / Life Sciences |
| <input type="checkbox"/> Business Financial Services | <input type="checkbox"/> Call Center | <input type="checkbox"/> Child Care Center | <input type="checkbox"/> Commercial | <input type="checkbox"/> Community Dev. Provider |
| <input type="checkbox"/> Computer & Clerical Operators | <input type="checkbox"/> Defense Related | <input type="checkbox"/> Economic Dev. Provider | <input type="checkbox"/> Educational Facility | <input type="checkbox"/> Emergency Responder |
| <input type="checkbox"/> Environment and Conservation | <input type="checkbox"/> Exempt Facility | <input type="checkbox"/> Export Manufacturing | <input type="checkbox"/> Export Service | <input type="checkbox"/> Food Processing |
| <input checked="" type="checkbox"/> Government | <input type="checkbox"/> Healthcare | <input type="checkbox"/> Hospitality | <input type="checkbox"/> Industrial | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Mining | <input type="checkbox"/> Other | <input type="checkbox"/> Professional Services | <input type="checkbox"/> Recycling | <input type="checkbox"/> Regional & National Headquarters |
| <input type="checkbox"/> Research & Development | <input type="checkbox"/> Retail | <input type="checkbox"/> Social Services Provider | <input type="checkbox"/> Tourism Promotion | <input type="checkbox"/> Warehouse & Terminal |

Government,

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Overview

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Overview

Project Name:

COVID-19 related revenue reductions

Is this project related to another previously submitted project?

No

If yes, indicate previous project name:

Have you contacted anyone at DCED about your project?

No

If yes, indicate who:

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Site Locations

| | |
|---------------------|---------------------------------------|
| Address: | 340 N. Washington Avenue |
| City: | Scranton |
| State: | PA |
| Zip Code: | 18504 |
| County: | Lackawanna |
| Municipality: | Scranton City |
| PA House: | Kyle Mullins (112), Marty Flynn (113) |
| PA Senate: | John P. Blake (22) |
| Current Employees: | 450 ♦ |
| Jobs To Be Created: | 1 ♦ |
| Designated Areas: | Act 47 Distressed Community |

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Budget

| | Act 47 | City of Scranton Local | Total |
|-----------------------------------|-------------|------------------------------|-------------|
| | | | |
| Related Costs | \$50,000.00 | \$0.00 | |
| Professional Services/Consultants | \$50,000.00 | \$0.00 | \$50,000.00 |
| Total | \$50,000.00 | \$0.00 | |
| | | Budget Total: | \$50,000.00 |

Basis of Cost

Provide the basis for calculating the costs that are identified in the Project Budget.

Bids/Quotations

Budget Narrative

The narrative must specifically address each of the cost items identified in the Project Budget section. If an amount is placed in any of the OTHER categories, you must specify what the money will be used for. **NOTE:** Some programs have specific guidelines regarding the narrative necessary to qualify for that particular resource. Please read the Program Guidelines for details.

The City of Scranton will design an RFP with a budget of \$50,000, requesting services from a consultant who can provide the needed services within the confines of the budget.

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Narrative

What do you plan to accomplish with this project?

Identify the problem(s) that need to be resolved.

The City of Scranton has been operating on paper or digitized paper (Microsoft Word and Excel). This method is reliable and tested but it leaves much room for increased efficiency, decreased cost, more informed decision-making, and improved service to Scranton residents and businesses. The City brought on a Digital Transformation Manager to start building a strategy needed to upgrade the City's Operating System (OS). The Manager found areas for improvement and was able to integrate information formerly siloed into different segments of City government. The Manager is working with a team to map the different processes which will be used to spark process improvement and facilitate interdepartmental collaboration going forward, so far the team has identified 72 processes that need to be redesigned. The Digital Transformation team will spend the Q4 of 2020 and Q1 and Q2 of 2021 working to implement process improvements identified by city employees upon reviewing the current process maps.

How do you plan to accomplish it?

Include expected outcomes that are measurable, obtainable, clear and understandable, and valid. Examples of measurable outcomes include jobs created or retained, people trained, land or building acquired, housing units renovated or built, etc.

Many city processes are manual and prone to error with limited infrastructure for interdepartmental collaboration. COVID-19 spotlighted the gap in digital citizen-facing services such as the website and citizen concern reporting. Technical support for the city's current operating system will cease at the end of 2021. We can use this to improve the city's efficiency and level of service to the public.

Goals and Objectives:

- 1) SERVICE: Improved service delivery, shorter wait times, streamlined workflows
- 2) QUALITY: Increased citizen satisfaction, decreased citizen calls
- 3) COST: Cross-department collaboration to reduce duplication, increased productivity, early fraud detection, reduced paper consumption times, improved citizen trust

How do you plan to use the funds?

Should include specific use of funds and reflect the budget provided with the application.

Funding will be used to hire a consultant who can assist with re-designing the City's OS and standardizing the processes that could benefit from more digital and data infrastructure and implement improved workflows. Scranton can also include more citizen-facing digital services in this effort as well, having seen in 2020 how important a digital option for city services can be. The RFPs for the Financial Management System and City Management System was released in mid-October and will be decided by December.

Projected Schedule and Key Milestones and Dates

A detailed schedule of activities, including key milestones and dates, must accompany this application if applicable to the project.

Oct. 2020- finish the current mapping process

Nov. 2020 - develop and post RFP to hire a consultant

Dec. 2020- finish future process mapping

Jan. 2021-hire consultant

Single Application for Assistance

Web Application Id: 8484234

Single Application Id: 202010208145

Applicant: City of Scranton

Program Selected: Act 47

Project Narrative

Feb. - July 2021- The consultant will redesign the Operating System and processes.

Aug. 2021- the new system will be implemented



Pennsylvania Department of Community and Economic Development

Single Application for Assistance

Single Application #: 202010208145

This page must accompany all required supplemental information **Mail to:**

**Pennsylvania Department of Community and Economic Development
Commonwealth Keystone Building
Attn: Customer Service Center
400 North Street, 4th Floor
Harrisburg, PA 17120-0225**

I hereby certify that all information contained in the single application and supporting materials submitted to DCED via the Internet, Single Application # 202010208145 and its attachments are true and correct and accurately represent the status and economic condition of the Applicant, and I also certify that, if applying on behalf of the applicant, I have verified with an authorized representative of the Applicant that such information is true and correct and accurately represents the status and economic condition of the Applicant. I also understand that if I knowingly make a false statement or overvalue a security to obtain a grant and/or loan from the Commonwealth of Pennsylvania, I may be subject to criminal prosecution in accordance with 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and 31 U.S.C. §§ 3729 and 3802 (relating to false claims and statements).



Signature: Maggie Perry

The Pennsylvania Department of Community and Economic Development reserves the right to accept or reject any or all applications submitted on the Single Application for Assistance contingent upon available funding sources and respective applicant eligibility.



DEPARTMENT OF LAW

City Hall | 340 North Washington Avenue | Scranton, PA 18503 | 570.348.4105

November 4, 2020

To the Honorable Council
Of the City of Scranton
Municipal Building
Scranton, PA 18503

RECEIVED
NOV 04 2020

Dear Honorable Council Members:

OFFICE OF CITY
COUNCIL/CITY CLERK

AUTHORIZING THE MAYOR AND OTHER APPROPRIATE CITY OFFICIALS TO APPLY FOR AND EXECUTE A GRANT APPLICATION THROUGH THE PENNSYLVANIA DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT ACT 47 GRANT PROGRAM IN THE AMOUNT OF \$50,000.00 TO BE USED TO HIRE A CONSULTANT TO ASSIST THE CITY IN THE RE-DESIGN OF ITS OPERATING SYSTEM.

Very truly yours,

Joseph A. O'Brien (S)

Joseph A. O'Brien, Esquire
City Solicitor